

# History of Mathematics

## The Journey of Mathematics



Dr Marco Benini      ([marco.benini@uninsubria.it](mailto:marco.benini@uninsubria.it))

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# History of Mathematics: Lecture 1

## Syllabus:

Bureaucracy

- Program & texts
- Examination
- Timing
- Questions

Let's start!

- Methodology
- Prehistory



## Introduction

This course offers a comprehensive exploration of the evolution of mathematical thought and practice.

The first part provides a concise overview of the development of Mathematics from prehistory to the close of the 20<sup>th</sup> century, highlighting major advancements and shifts in mathematical understanding.

The second and third parts are monographic, allowing for a deeper investigation into specific areas of mathematical history. The themes and instructors for these two parts may vary from year to year, reflecting current research and scholarly interests.





## Program

The program of the whole course is then

1. 32 hours: **General part** (these slides)
2. 16 hours: **Monographic part A**
3. 24 hours: **Monographic part B**

Since the monographic parts vary from year to year, their programs will be detailed at their beginnings.

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## Program

The program of the general part illustrates the most significant periods from the mathematical point of view:

1. *Egyptian and Mesopotamian Mathematics*
2. *Greek Mathematics*
3. *Ancient Asian Mathematics*
4. *The Islamic Golden Age*
5. *Middle Ages and Renaissance*
6. *Mathematics in the 17<sup>th</sup> century: The dawn of modernity*
7. *Mathematics in the 18<sup>th</sup> century: The age of Euler*
8. *Mathematics in the 19<sup>th</sup> century: The age of revolution*
9. *The 20<sup>th</sup> century in Mathematics*





## Texts

All the slides are available at the course website:

<https://marcobenini.me/lectures/history-of-mathematics/>

Also, at the end of each lesson, references to resources which may be of interest to those interested in learning more, will be provided. While the content of the slides is mandatory, looking at the references is optional.

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)

are the official reference texts for the first part of the course.

Furthermore, links to the original sources are available on the course web page, as well as other relevant material.





## Examination

There are two ways to take the examination:

1. Writing and discussing a relation
2. Taking three partial assignments

If the student chooses the first way, the examination consists of writing a paper on a topic agreed upon with one of the course instructors, chosen from those covered during the teaching period.

The paper will be assessed during an oral discussion considering its historical accuracy, the ability to correlate the topic with its developmental context and with other related areas of mathematics, and the quality of its explanation, particularly for a technical but non-specialist audience, and for an interested but non-technical audience.

Alternatively, at the student's choice, three intermediate written tests will be conducted during the course period, aimed at verifying the learning and comprehension of the three parts into which the course is divided.

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## Timing

The schedule of lessons is fixed and not modifiable.

Please note that lessons typically begin at the official start time and conclude 15 minutes before the official end time, with no breaks within.

Intermediate assignments will be integrated into the course schedule.

Students will have the flexibility to choose a date for these assignments after completing the relevant section of the course.





## Questions

Questions are welcome. Please, do not hesitate to ask questions when you do not understand something during a lesson.

Questions could be asked also before the start of a lesson, or after the end. Another possibility is to ask questions by email: in case write at the address [marco.benini@uninsubria.it](mailto:marco.benini@uninsubria.it) specifying your name, the course, and the question. Please, use your official email from uninsubria.

There are no office hours in this course: students have to fix an appointment. Please, do so only if you really think there is no other way to solve your problem: although I am usually available during the course term, when I am not teaching it is often the case that I am not in University, so use this opportunity as your last resource.

Online appointments are always possible and encouraged.



# Let's start!

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# The history of mathematics

Today's plan:

- **Course aims and approach:**

Understanding the unique perspective of this course

- **Sources in the history of Mathematics:**

How do we know what we know?

- **Acknowledging the narrative focus:**

Understanding the course's scope and limitations

- **Major eras in Mathematics:**

A chronological roadmap

- **Mathematics in pre-history:**

Looking back before written records





## Course aims and approach

**Our goal:** To understand **how** and **why** mathematical concepts evolved, connecting past breakthroughs to modern understanding.

**Navigating the evolution of mathematical ideas:**

- This course offers a **journey** through the history of Mathematics, specifically designed **for mathematicians**





## Course aims and approach

- It is not intended as a general historical survey, but rather a focused exploration with a particular emphasis:
  - Highlighting the **groundbreaking results** of each period and their revolutionary impact
  - Providing **concrete references** to key achievements, foundational texts, and the researchers behind them
  - Whenever possible, drawing **direct support from primary sources** to convey the authentic mathematical voice of the time
  - An illustration style that emphasises **what is important within a continuous line of narration**, rather than an exhaustive list
  - By design, the course is **not exhaustive**; it focuses on depth and insight over comprehensive coverage of every detail
  - We prioritise a **sound and coherent narrative** that illuminates the development of mathematical thought over a purely chronological or encyclopedic description





## Sources in the history of mathematics

Where does our knowledge come from?

**The historian's challenge:** Reconstructing the past

- Unlike mathematics itself, history relies heavily on **evidence**
- For the history of mathematics, this evidence isn't always straightforward
- We often piece together fragments from diverse origins





## Sources in the history of mathematics

**Primary sources:** The voices of the past

- **Original mathematical texts:** Treatises, letters, notebooks, ancient tablets (e.g., Babylonian clay tablets, Egyptian papyri, Greek manuscripts)
- Provide direct insight into how mathematicians of the time thought, formulated problems, and presented their solutions
- Often challenging to interpret due to language, notation differences, and lost context
- **Archaeological evidence:** Tools, structures, artefacts that suggest mathematical understanding (e.g., bone carvings for counting)





## Sources in the history of mathematics

**Secondary sources:** Interpretations and syntheses

- **Historical studies and commentaries:** Books and articles written by modern historians of mathematics
- These works analyse, translate, and contextualise primary sources, often providing critical perspectives and identifying broader trends
- Crucial for understanding complex developments, but always subject to scholarly debate and new discoveries





## Sources in the history of mathematics

### Challenges in interpretation:

- **Lost knowledge:** Many texts are lost, damaged, or incomplete
- **Translation difficulties:** Nuances of ancient languages and specialised terminology can be hard to render precisely
- **Anachronism:** The danger of viewing past ideas through modern lenses, misinterpreting their original meaning or significance.  
We strive to avoid this





## A western-centric journey

### The scope of our exploration:

- This course, while aiming for a broad historical understanding, will primarily follow a **Western-centric narrative** of mathematical development
- This means our journey will largely trace the lineage of mathematical ideas from ancient Greece through the European Renaissance, and into modern Western academia





## A western-centric journey

### Why this focus?

- **Academic tradition:** This aligns with the historical development of university curricula in which the course itself is situated
- **Source availability:** While growing, readily accessible primary and robust secondary sources for a comprehensive global history are still more concentrated in the Western tradition for a single course
- **Direct lineage:** The mathematics taught in most contemporary Western curricula (and thus relevant to “mathematics for mathematicians”) directly descends from this specific historical trajectory





## A western-centric journey

- It is absolutely vital to acknowledge that mathematical thought is a **universal human endeavour** with vibrant, independent, and profoundly influential traditions across the globe
- Significant contributions arose from:
  - **Ancient civilisations:** Egypt, Mesopotamia (as we'll see)
  - **China:** Independent development of algebra, geometry, number theory
  - **India:** Place-value system, zero, foundations of trigonometry and calculus
  - **The Islamic Golden Age:** Preservation and advancement of Greek and Indian knowledge, development of algebra, algorithms, and non-Euclidean geometry precursors
  - **Mesoamerica:** Sophisticated calendar systems and numerical notation
- We will touch upon these as they inform or intersect with the Western line of development, but a full global history would require dedicated courses





## A western-centric journey

We will speak about ancient civilisations; we will sketch Indian and Chinese contributions, and we will treat the influence of Islamic mathematics on the European scene

We will sketch a bit the Japanese contribution: the reason for this is that Japanese mathematics is a somewhat unique case of an advanced but still independent mathematical tradition.

**A necessary limitation, not a value judgement:** Our focus is a pragmatic choice for this course's scope. It in no way diminishes the immense value and ingenuity of mathematical traditions worldwide, which represent humanity's collective intellectual heritage





## Major eras in the history of mathematics

**Dividing the past:** A conventional periodisation

- History is continuous, but periodisation helps us organise and understand major shifts in mathematical thought and methodology
- These periods are broad and can overlap, especially when considering global developments





# Major eras in the history of mathematics

## A chronological roadmap

### 1. Pre-historic Mathematics (before ~3000 BCE):

- Early concepts of number, counting, basic measurement, recognition of patterns, fundamental figures
- Evidence from archaeological discoveries (e.g., tally sticks)

### 2. Ancient Mathematics (~3000 BCE – 600 CE):

- **Mesopotamia & Egypt**: Practical arithmetic, geometry, early algebra (solving equations)
- **Greece**: Rise of deductive reasoning, axiomatic systems (Euclid), rigorous geometry, number theory (Pythagoreans, Diophantus), early calculus ideas (Archimedes)
- **China & India** (Contemporary developments): Independent advancements in numeration (place value, zero), algebra, trigonometry





## Major eras in the history of mathematics

### 3. Medieval Mathematics (~600 CE – 1400 CE):

- **Islamic Golden Age:** Preservation of Greek and Indian texts, major advancements in algebra, trigonometry, algorithms, number theory, and early analytical geometry. Transmission to Europe
- **Europe:** Limited until late period, re-engagement with classical texts via Islamic scholars

### 4. Renaissance and early modern Mathematics (~1400 CE – 1700 CE):

- Revival of European mathematics. Solutions to cubic/quartic equations, logarithms, analytic geometry (Descartes, Fermat), foundations of probability theory
- **Calculus:** Independent development by Newton and Leibniz





## Major eras in the history of mathematics

### 5. Modern Mathematics (~1700 CE – 20<sup>th</sup> century):

- Extensive development of calculus, mechanics, analysis (Euler, Lagrange, Laplace) and raise of structural problems
- **19<sup>th</sup> century:** Rigorisation of analysis, abstract algebra, non-Euclidean geometries, foundations of set theory

### 6. Contemporary Mathematics (20<sup>th</sup> century – present):

- Abstraction, axiomatisation, foundational crisis, mathematical logic, computability, topology, functional analysis
- Explosion of new fields, interdisciplinary connections (physics, computer science), grand problem-solving





## Mathematics before written records

**The dawn of mathematical thought:** A challenge for historians

- Our understanding of “pre-historic” mathematics relies on archaeological and anthropological evidence, as written records did not yet exist
- This period spans from the earliest human cognitive development (tens of thousands of years ago) up to the emergence of complex civilisations with writing systems (~3000 BCE)
- While we cannot speak of formal “mathematics”, we can infer the development of fundamental mathematical concepts





## Mathematics before written records

Evidence of early mathematical thinking:

- **Counting and numerosity:**

- Evidence of tally marks on bones (e.g., the **Ishango Bone**, ~20,000 BCE), suggesting counting and perhaps prime number awareness
- One-to-one correspondence for comparison (e.g., stones for sheep)
- Development of number words and early counting systems



The Ishango bone (~20,000 BCE)





## Mathematics before written records

**Evidence of early mathematical thinking:**

- **Measurement:**

- Basic understanding of length, weight, volume, and time (cycles of the moon and seasons) for practical needs (building, trading, agriculture)
  - Use of body parts (cubit, foot) as early units

- **Geometry and spatial reasoning:**

- Recognition of basic geometric patterns in nature (spirals, symmetries)
  - Practical geometry for shelter construction, tool making, and early art
  - Understanding of spatial relationships, balance, and proportions





## Mathematics before written records

### The emergence of abstract concepts:

- The crucial step from “three deer” to the abstract concept of “three”
- This abstraction allowed for the development of counting systems independent of the objects being counted

**From concrete needs to abstract ideas:** Pre-historic mathematical activity, driven by practical necessity, laid the cognitive groundwork for the formal systems that would emerge with the advent of writing and complex societies, representing the fundamental human impulse to quantify, order, and understand the world





## References

Three classical references are:

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

The course is based on them

Also, very good references to authors and ideas can be found in the Stanford Encyclopedia of Philosophy: <https://plato.stanford.edu/>





# History of Mathematics: Lecture 2

## **Syllabus:**

Egyptian and Mesopotamian Mathematics



# Egyptian mathematics

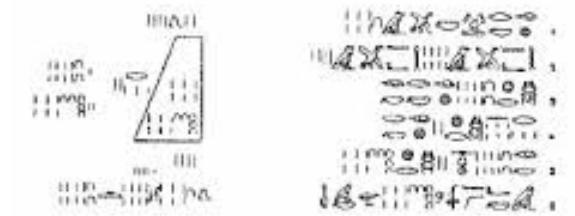
Mathematics was an essential tool for practical needs such as:

- **Constructions:** pyramids, temples, obelisks
- **Administration:** taxes, land surveying, labour management
- **Astronomy and calendars**

Our primary understanding comes from:

- the *Moscow papyrus* (~1800 BCE):
  - a collection of 25 problems, including the volume of a truncated pyramid
- the *Rhind papyrus* (~1650 BCE):
  - a collection of 85 problems, including arithmetic, geometry, and algebra

We will primarily focus on the Rhind papyrus

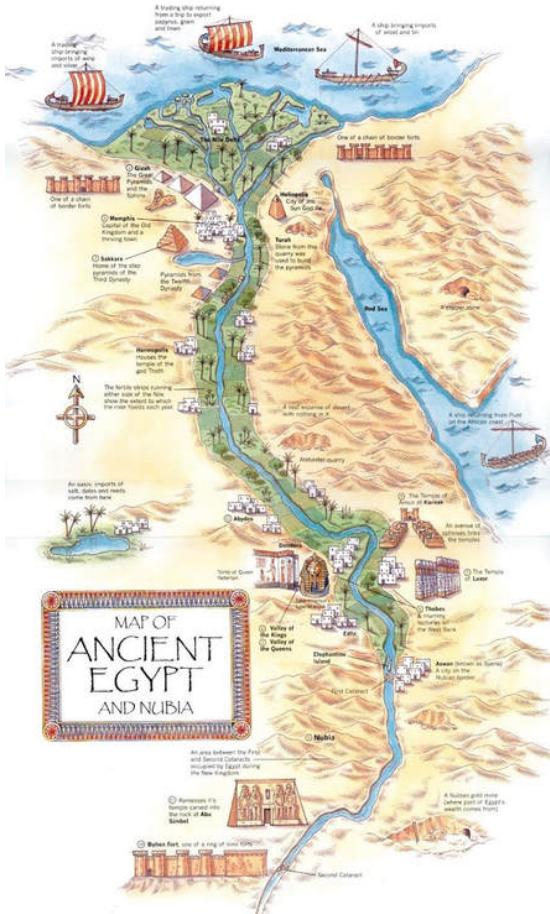


(fragment of the Moscow papyrus)





# Key periods and mathematical relevance



- **Early Dynastic Period (~3100–2686 BCE)**
  - Early development of writing, calendars, and basic administration
- **Old Kingdom (~2686–2181 BCE)**
  - Period of pyramid building (e.g., Giza); implies advanced surveying and geometry
- **Middle Kingdom (~2055–1650 BCE)**
  - Era of the *Moscow papyrus* (~1800 BCE)
  - Flourishing of administrative systems and early mathematical texts
- **Second Intermediate Period (~1650–1550 BCE)**
  - Period of the *Rhind papyrus* (~1650 BCE)
- **New Kingdom (~1550–1070 BCE)**
  - Continued use of mathematical practices; building of grand temples





# Egyptian numerals

Einer			Zehner			Hunderte			Tausende		
1	I	I	1	10	100	1000	10000	100000	1000000	10000000	100000000
2	II	II	II	IIII	IIII	IIII	IIII	IIII	IIII	IIII	IIII
3	III	III	III	III	III	III	III	III	III	III	III
4	III	III	III	III	III	III	III	III	III	III	III
5	III	III	III	III	III	III	III	III	III	III	III
6	III	III	III	III	III	III	III	III	III	III	III
7	III	III	III	III	III	III	III	III	III	III	III
8	III	III	III	III	III	III	III	III	III	III	III
9	III	III	III	III	III	III	III	III	III	III	III
	hierogl.	hierat.	demot.	hierogl.	hierat.	demot.	hierogl.	hierat.	demot.	hierogl.	hierat.

By Florian Cajori, A History of Mathematical Notations (1928)

Two numbering systems:

- *Hieroglyphic numerals*: the formal way of writing, for ceremonial use
- *Hieratic script*: it was the everyday script for scribes, more cursive

Both are **additive systems**



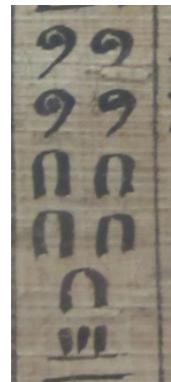


# Egyptian numerals

## Hieroglyphic numerals:

1	a single stroke
10	a hobble for cattle
100	a coil of rope
1,000	a lotus plant
10,000	a finger
100,000	a tadpole or frog
1,000,000	a god

453



4,622



1,333,330



Photographs by Cynthia J. Huffman (Pittsburgh State University)  
from *An Ancient Egyptian Mathematical Photo Album*,  
Mathematical Association of America





## Egyptian arithmetic

The fundamental operation is addition.

Multiplication was computed by *duplication*: to calculate  $a \times b$ , duplicate  $b$  until the number of duplications becomes greater or equal than  $a$ . Then<sup>a</sup>  $a \times b$  is the sum of those duplications whose sum gives  $a$ .

---

<sup>a</sup>We tried to write the rule as found in the translation of the Rhind papyrus.

Admittedly, the example is much clearer.

$$23 \times 17$$

$$1 \quad 17$$

$$2 \quad 34$$

$$4 \quad 68$$

$$8 \quad 136$$

$$16 \quad 272$$

Since  $23 = 16 + 4 + 2 + 1$ ,  
 $23 \times 17 = 272 + 68 + 34 + 17 = 391$





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2	34
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16	272

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Since  $23 = 16 + 4 + 2 + 1$ ,  
 $23 \times 17 = 272 + 68 + 34 + 17 = 391$

**Why?** In contemporary terms, the *binary representation* of 23 is 10111 thus

$$\begin{aligned}23 \times 17 &= (2^4 \times 1 + 2^2 \times 1 + 2^1 \times 1 + 2^0 \times 1) \times 17 \\&= 2^4 \times 17 + 2^2 \times 17 + 2^1 \times 17 + 2^0 \times 17 \\&= 272 + 68 + 34 + 17 = 391 .\end{aligned}$$

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## Egyptian arithmetic

Division is *reverse multiplication*: consider  $234/13$

$$\begin{array}{r} 13 \\ 26 \\ 52 \\ 104 \\ 208 \end{array} \quad \begin{array}{r} 1 \\ 2 \\ 4 \\ 8 \\ 16 \end{array}$$

$$234 = 208 + 26 \text{ thus}$$

$$234/13 = 16 + 2 = 18$$

$$\begin{aligned} 234 &= 208 + 26 \\ &= 2^4 \times 13 + 2^1 \times 13 \\ &= (2^4 + 2^1) \times 13 \\ &= (16 + 2) \times 13 \\ &= 18 \times 13 \end{aligned}$$

Hence  $234/13 = 18$ .

When a division resulted in a remainder, representing “parts of a whole” was crucial for practical problems like sharing goods or dividing land.

Since the Egyptians did not use common fractions like  $3/5$ , they developed a unique system: **Unit fractions**.





## Unit fractions

### What are unit fractions?

- A fraction of the form  $1/n$ , where  $n$  is a positive integer
- Represented by placing a symbol resembling an open mouth (hieroglyph for 'part') over the numeral. E.g.  $1/3$  is



- The only exception was  $2/3$ , which had its own special symbol:



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## Unit fractions

### Why unit fractions?

- Egyptians did not use fractions like  $3/4$  or  $5/7$  as single entities
- Any non-unit fraction was expressed as a *sum* of distinct unit fractions
  - e.g.,  $3/5$  would be written as  $1/2 + 1/10$
  - The decomposition required all denominators to be distinct
- This system allowed for precise division and sharing of resources (e.g., loaves of bread, grain)



(A fragment of the Rhind papyrus)





## Unit fractions

Example 2.1. Sharing loaves (*Rhind papyrus*, problem 3)

- **Problem:** Divide 6 loaves equally among 10 men  
(Modern:  $6/10 = 3/5$  per man)
- **Egyptian solution:** Each man receives  $1/2 + 1/10$  of a loaf
  - Check:  $1/2 + 1/10 = 5/10 + 1/10 = 6/10$
- This approach made sense for physical division:
  - Give each man half a loaf. (5 loaves used)
  - Divide the remaining 1 loaf among 10 men, giving  $1/10$  to each

The  $2/n$  Table (*Rhind papyrus*)

- To aid in these decompositions, the Rhind papyrus begins with a large table listing the unit fraction expansions for  $2/n$  for odd  $n$  from 5 to 101
- Example:  $2/7 = 1/4 + 1/28$
- The methods for finding these decompositions are still debated, but show considerable ingenuity

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## Algebraic equations

Example 2.2. (*Rhind papyrus*, problem 24)

- **Problem:** A quantity (*aha*) and its  $1/7$  added together become 19.  
What is the quantity? (Modern: find  $x$  such that  $x + \frac{1}{7}x = 19$ )

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## Algebraic equations

Example 2.2. (*Rhind papyrus*, problem 24)

- **Problem:** A quantity (*aha*) and its  $1/7$  added together become 19.  
What is the quantity? (Modern: find  $x$  such that  $x + \frac{1}{7}x = 19$ )

Pose  $x = 7$ . Then

$$\begin{array}{r} 1 \quad 7 \\ 1/7 \quad 1 \\ \hline 8 \end{array}$$

*False position* method.

( 41 )





## Algebraic equations

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Pose  $x = 7$ . Then

Calculate  $19/8$ :

$$\begin{array}{r} 1 \quad 7 \\ 1/7 \quad 1 \\ \hline 8 \end{array}$$

$$\begin{array}{r} 1 \quad 8 \\ 2 \quad 16 \quad * \\ 1/2 \quad 4 \\ 1/4 \quad 2 \quad * \\ 1/8 \quad 1 \quad * \\ \hline 2 + \frac{1}{4} + \frac{1}{8} \quad 19 \end{array}$$

*False position* method.

Thus  $\frac{1}{7}x = 2 + \frac{1}{4} + \frac{1}{8}$ .

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## Algebraic equations

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- **Problem:** A quantity (*aha*) and its  $1/7$  added together become 19.  
What is the quantity? (Modern: find  $x$  such that  $x + \frac{1}{7}x = 19$ )

Pose  $x = 7$ . Then

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*False position* method.

Calculate  $19/8$ :

$$\begin{array}{r} 1 & 8 \\ 2 & 16 & * \\ 1/2 & 4 \\ 1/4 & 2 & * \\ 1/8 & 1 & * \\ \hline 2 + \frac{1}{4} + \frac{1}{8} & 19 \end{array}$$

Indeed,

$$\begin{array}{r} 1 & 2 + \frac{1}{4} + \frac{1}{8} \\ 2 & 4 + \frac{1}{2} + \frac{1}{4} \\ 4 & 9 + \frac{1}{2} \\ \hline 7 & 16 + \frac{1}{2} + \frac{1}{8} \\ 1/7 & 2 + \frac{1}{4} + \frac{1}{8} \\ \hline 19 \end{array}$$

Thus  $\frac{1}{7}x = 2 + \frac{1}{4} + \frac{1}{8}$ .

Hence  $x = 16 + \frac{1}{2} + \frac{1}{8}$ .

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## Geometry

Example 2.3. (*Rhind papyrus*, problem 48)

- **Problem:** Compare the area of a circle and of its circumscribing square.

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## Geometry

Example 2.3. (*Rhind papyrus*, problem 48)

- **Problem:** Compare the area of a circle and of its circumscribing square.

### Egyptian method for circle area

*Rule:* Take 1/9 off the diameter, and square the result.

For a circle of diameter  $D = 9$ :

$$\text{Area}_{\text{circle}} = \left(D - \frac{D}{9}\right)^2 = 64$$

Ahmes found the area of the circumscribing square of side 9:

$$\text{Area}_{\text{square}} = 9^2 = 81$$

( 42 )





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### Deriving the implied $\pi$

In modern terms

$$\text{Area}_{\text{circle}} = \pi r^2$$

$$64 = \pi \left(\frac{9}{2}\right)^2$$

$$\pi = \frac{64 \times 4}{81}$$

$$\pi = \frac{256}{81} \approx 3.16\dots$$

( 42 )





## Geometry

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$$\pi = \frac{64 \times 4}{81}$$

$$\pi = \frac{256}{81} \approx 3.16\dots$$

**A remarkably good approximation:** This value of  $\pi \approx 3.16$  is surprisingly accurate for its time (compare to Archimedes' later bounds, for example)

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## Geometry

Example 2.4. (*Moscow papyrus*, problem 14)

- **Problem:** The volume of a truncated pyramid (*frustum*)

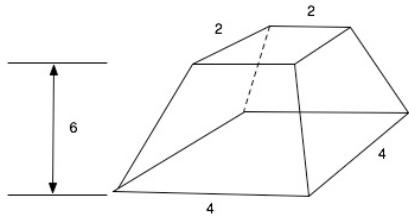


Diagram of a truncated pyramid

- This corresponds to:

- Height ( $h$ ) = 6 units
- Side of lower base ( $a$ ) = 4 units
- Side of upper base ( $b$ ) = 2 units





## Geometry

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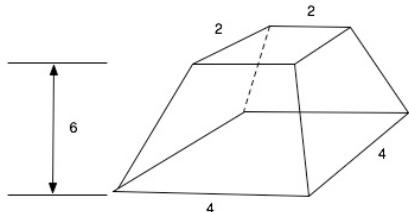


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- This corresponds to:

- Height ( $h$ ) = 6 units
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### The Egyptian method

1. Square the base side:  $4^2 = 16$
2. Square the top side:  $2^2 = 4$
3. Multiply the base by the top side:  
 $4 \times 2 = 8$
4. Add these three results:  
 $16 + 4 + 8 = 28$
5. Take one third of the height:  
 $1/3 \times 6 = 2$
6. Multiply the sum from step 4 by the result from step 5:  
 $28 \times 2 = 56$





## Geometry

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- **Problem:** The volume of a truncated pyramid (*frustum*)

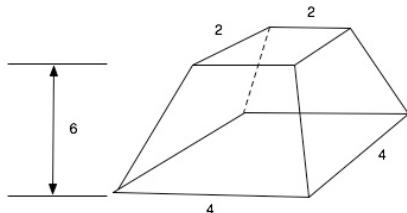


Diagram of a truncated pyramid

- This corresponds to:

- Height ( $h$ ) = 6 units
- Side of lower base ( $a$ ) = 4 units
- Side of upper base ( $b$ ) = 2 units

The calculated volume is:

**56 cubic units**

### The Egyptian method

1. Square the base side:  $4^2 = 16$
2. Square the top side:  $2^2 = 4$
3. Multiply the base by the top side:  
 $4 \times 2 = 8$
4. Add these three results:  
 $16 + 4 + 8 = 28$
5. Take one third of the height:  
 $1/3 \times 6 = 2$
6. Multiply the sum from step 4 by the result from step 5:  
 $28 \times 2 = 56$





## Geometry

**Modern formula for a square frustum:** The volume  $V$  of a square frustum with height  $h$  and base sides  $a$  and  $b$  is:

$$V = \frac{h}{3}(a^2 + ab + b^2)$$

Substituting the values from the problem ( $h = 6$ ,  $a = 4$ ,  $b = 2$ ):

$$\begin{aligned} V &= \frac{6}{3}(4^2 + 4 \cdot 2 + 2^2) \\ &= 2(16 + 8 + 4) \\ &= 2 \cdot 28 = 56 \end{aligned}$$

**Significance:** The Egyptian calculation yields the *exact modern formula*. The method by which they derived this formula is unknown, showcasing remarkable sophistication





## The character of Egyptian mathematics

### Key characteristics:

- *Highly practical & utilitarian*: Developed as a tool for administration, construction (pyramids, temples), land surveying, and calendar keeping. Not abstract mathematics for its own sake
- *Algorithmic & procedural*: Focus on step-by-step methods and “recipes” to solve specific problems, rather than generalised theories or proofs
- *Additive arithmetic*: Multiplication and division relied heavily on doubling and halving (binary-like approach)
- *Unit fraction system*: A distinctive feature where all fractions (except  $2/3$ ) were expressed as sums of distinct reciprocals ( $1/n$ ). This led to complex manipulation techniques (e.g.,  $2/n$  table)





## The character of Egyptian mathematics

### Major achievements:

- *Efficient number system*: Their hieroglyphic and hieratic systems allowed for large numbers and practical calculations
- *Sophisticated arithmetic techniques*: Mastery of operations with integers and unit fractions, enabling complex financial and resource management
- *Advanced geometry*:
  - Area of a circle with a remarkably good approximation of  $\pi \approx 3.16$
  - Exact formula for the volume of a truncated square pyramid (frustum), demonstrating advanced spatial reasoning
- *Early record keeping*: The Rhind and Moscow papyri provide invaluable insights into their mathematical thought process and problem-solving

**A foundation for future developments:** Egyptian mathematics was robust and effective for their needs, laying groundwork for later traditions, even if their methods differed significantly from modern axiomatic approaches





## Mesopotamian mathematics

### Key themes to explore:

- The revolutionary *Sexagesimal* (Base-60) *Positional System*
- Sophisticated algebraic problem-solving
- Deep insights into number theory (Pythagorean triples)
- Remarkable geometric precision (e.g.,  $\sqrt{2}$ )





# Geographic and chronological context



- “Land between the rivers”  
(Tigris and Euphrates)
- Cradle of civilisation:  
Sumer, Akkad, Babylon,  
Assyria
- Abundant clay (source of  
cuneiform tablets)





# Geographic and chronological context



- “Land between the rivers” (Tigris and Euphrates)
- Cradle of civilisation: Sumer, Akkad, Babylon, Assyria
- Abundant clay (source of cuneiform tablets)

- **Sumerian Period** (~4000–2000 BCE)
  - Earliest writing (cuneiform) and number systems
  - Basic accounting, metrology
- **Old Babylonian Period** (~2000–1600 BCE)
  - **Golden age** of Babylonian mathematics
  - Most of our mathematical tablets (especially, *Plimpton 322* and *YBC 7289*) date from this era
  - Focus on solving practical and theoretical problems
- **Later Periods**  
(Kassite, Neo-Babylonian, . . .)
  - Continued mathematical activity, especially in astronomy





# The revolutionary sexagesimal system

## Positional system:

- Similar to ours, the *position* of a digit determines its value
- Uses combinations of two wedge symbols
- Numbers within each position go from 1 to 59

1	11	21	31	41	51
2	12	22	32	42	52
3	13	23	33	43	53
4	14	24	34	44	54
5	15	25	35	45	55
6	16	26	36	46	56
7	17	27	37	47	57
8	18	28	38	48	58
9	19	29	39	49	59
10	20	30	40	50	





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6	16	26	36	46	56
7	17	27	37	47	57
8	18	28	38	48	58
9	19	29	39	49	59
10	20	30	40	50	

Example 2.5.  $1:2:3_{60}$

$$\begin{aligned}1:2:3_{60} &= 1 \times 60^2 + 2 \times 60^1 + 3 \times 60^0 \\&= 1 \times 3600 + 2 \times 60 + 3 \times 1 \\&= 3600 + 120 + 3 = 3723_{10}\end{aligned}$$

Note: They did not have a true 'zero' placeholder (initially) or a sexagesimal point. Context often inferred magnitude

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## The revolutionary sexagesimal system

### Strength in fractions:

- Allows for convenient representation of many fractions:

$$1/2 = 30/60 = 0,30_{60}$$

$$1/3 = 20/60 = 0,20_{60}$$

$$1/4 = 15/60 = 0,15_{60}$$

$$1/5 = 12/60 = 0,12_{60}$$

$$1/6 = 10/60 = 0,10_{60}$$

$$1/10 = 6/60 = 0,06_{60}$$





# The revolutionary sexagesimal system

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$$1/10 = 6/60 = 0,06_{60}$$

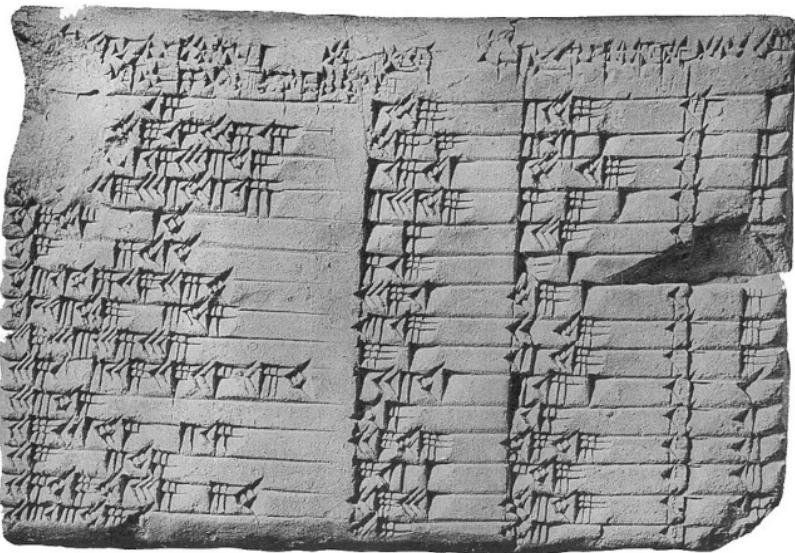
## Why is this powerful?

- 60 is highly composite (divisors: 1, 2, 3, 4, 5, 6, 10, 12, 15, 20, 30, 60)
- This means many common fractions have finite (terminating) sexagesimal representations
- Made division and handling of fractions much simpler than in base-10 or Egyptian unit fractions





# Plimpton 322: A Pythagorean puzzle



Plimpton 322 (~1800 BCE)

## What is it?

- A Babylonian clay tablet from the Old Babylonian period
- Discovered in southern Iraq in the early 20<sup>th</sup> century
- Currently housed at Columbia University
- Perhaps the most famous and debated mathematical tablet





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## Its content:

- A table of 15 rows and 4 columns of numbers written in sexagesimal (base-60)
- The numbers are remarkably large, indicating sophisticated calculations
- Primarily interpreted as a list of *Pythagorean triples*





## Plimpton 322: Pythagorean triples

Reconstructed columns of Plimpton 322:

	width $w$	diagonal $d$	number
1.9834...	119	169	1
1.94916...	3367	11521 (4825)	2
1.9188...	4601	6649	3
1.88635...	12709	18541	4
1.81501...	65	97	5
1.78519...	319	481	6
1.71988...	2291	3541	7
1.6928...	799	1249	8
1.64267...	541 (481)	769	9
1.58612...	4961	8161	10
1.5625...	45	75	11
1.48942...	1679	2929	12
1.34002...	25921 (161)	289	13
1.43024...	177	3229	14
1.38716...	56	53 (106 )	15





## Plimpton 322: Pythagorean triples

Check for row 5:

- $w = 65, d = 97$
- Let  $b^2 = d^2 - w^2$
- $b^2 = 97^2 - 65^2 = 5184$
- $b = \sqrt{5184} = 72$
- So, the triple is  $(65, 72, 97)$

What are *Pythagorean Triples*?

- Sets of three positive integers  $(a, b, c)$  such that  $a^2 + b^2 = c^2$
- Examples:  $(3, 4, 5), (5, 12, 13), (8, 15, 17)$





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**Babylonian interest:**

- They had an advanced understanding of geometry, including right triangles
- These triples could be used for:
  - Land surveying and demarcation
  - Construction (ensuring right angles)
  - Astronomical calculations (though less direct evidence)
- This knowledge predates Pythagoras by over a millennium

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## How were the triples generated?

Hypothesis 1: Generating *primitive triples* (Euclid's formula)

- Modern formula for generating primitive Pythagorean triples: If  $m, n$  are coprime integers,  $m > n$ , and one is even, one is odd, then:

$$a = m^2 - n^2$$

$$b = 2mn$$

$$c = m^2 + n^2$$

- While the formula itself wasn't known in this form, the Babylonians might have implicitly used such a method
- Example: For  $(m, n) = (16, 9)$ , the triple is  $(175, 288, 337)$ .  
For row 13  $(161, 240, 289)$ , this corresponds to  $m = 15, n = 8$





## How were the triples generated?

*A more likely method for Plimpton 322:*

- Generation using *reciprocal pairs* and *regular numbers*
- Babylonian scribes used tables of reciprocals for division
- A *regular number* is one whose prime factors are only 2, 3, or 5 (the prime divisors of 60). Their reciprocals have finite sexagesimal expansions





## How were the triples generated?

Hypothesis 2: Van der Waerden's *reciprocal pair* method (simplified)

- Consider a pair of regular numbers  $(u, v)$  such that  $u/v$  is the ratio of two sides of the right triangle
- The sides of the right triangle  $(a, b, c)$  can be generated from  $u$  and  $v$  as:

$$a = u^2 - v^2$$

$$b = 2uv$$

$$c = u^2 + v^2$$

(This is essentially Euclid's formula, but the point is how they **found** the  $u, v$  pairs, which were often from reciprocal tables)

- The crucial point is that all the  $u/v$  ratios that generate the Plimpton 322 rows are **regular sexagesimal numbers**
- This suggests a systematic way of finding such pairs for generating the table, possibly related to solving problems of finding sides of rectangles given area and diagonal, or similar algebraic problems





## Significance and interpretations

### Why is Plimpton 322 so important?

- *Pythagorean knowledge before Pythagoras*: Provides undeniable evidence that the Babylonians knew and systematically generated Pythagorean triples over a thousand years before Pythagoras
- *Advanced number theory*: Shows a sophisticated understanding of integer properties, prime factorisation (in the context of regular numbers), and how to generate specific sets of numbers
- *Algorithmic approach*: Whether for geometry or number theory, the tablet represents a systematic, algorithmic approach to problem-solving
- *Potential for early trigonometry*: Some scholars argue that the tablet might have served as a kind of “secant table” or a proto-trigonometric table for specific angles in right triangles





## Significance and interpretations

### Ongoing debates:

- Was it a list of “Pythagorean triples” for geometric construction, a teaching tool, or a number theory research tablet?
- Exactly which method was used to generate the rows?
- What was the exact purpose of the first column?

Observe how it can be generated as  $\left(\frac{d}{c}\right)^2$  where  $c$  is the length of the other side of the right triangle beside  $d$  and  $w$

*Plimpton 322 demonstrates the profound mathematical ingenuity of the old Babylonian scribes*





## YBC 7289: Approximating $\sqrt{2}$



(YBC 7289 — ~1800-1600 BCE)

### What is it?

- A small Babylonian clay tablet from the Old Babylonian period
- Discovered in southern Iraq
- Housed at Yale University
- One of the most famous examples of Mesopotamian numerical approximation





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(YBC 7289 — ~1800-1600 BCE)

## Its content:

- A square with diagonals drawn
- Numbers written in sexagesimal (base-60)
- The numbers represent the side and diagonal of the square

( 59 )





## The Babylonian approximation

**The numbers on the tablet:**

- Side of the square: 30
- Diagonal of the square: 42:25:35

( 60 )





## The Babylonian approximation

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**Sexagesimal interpretation:**

- 42:25:35 in base 60 means:  
 $42 + \frac{25}{60} + \frac{35}{60^2} \approx 42.42638889$

( 60 )





# The Babylonian approximation

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**The ratio:**

- Dividing the diagonal by the side:

$$\begin{array}{r} 42 : 25 : 35 \\ \hline 30 \\ \approx \frac{42.42638889}{30} \\ \approx 1.414212963 \end{array}$$

( 60 )





# The Babylonian approximation

## The numbers on the tablet:

- Side of the square: 30
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## The Modern Value of $\sqrt{2}$ :

- $\sqrt{2} \approx 1.414213562$

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- The Babylonian approximation is accurate to **six decimal places!**
- This level of precision is remarkable for the time





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- $\sqrt{2} \approx 1.414213562$

## Comparison:

- The Babylonian approximation is accurate to **six decimal places**!
- This level of precision is remarkable for the time

## Implications:

- The Babylonians had a sophisticated algorithm (though we don't know the exact method) for approximating square roots
- They understood the concept of irrational numbers





## YBC 7289: How did they find it?

- The exact method is unknown, but several possibilities exist:
  - *Geometric methods*: Repeated averaging of sides and diagonals of squares or rectangles
  - *Algebraic methods*: An iterative algorithm similar to the Babylonian method for solving quadratic equations:

$$x_{n+1} = \frac{1}{2} \left( x_n + \frac{S}{x_n} \right)$$

where  $S$  is the number whose square root is being approximated (in this case,  $S = 2$ )

- The Babylonians were skilled in both geometry and algebra, so either approach is plausible
- Regardless of the method, the result shows their computational power





## YBC 7289: Significance

- *Accurate approximation of an irrational number:* Demonstrates a deep understanding of numbers, including those that cannot be expressed as a simple fraction
- *Computational skill:* Shows their ability to perform complex calculations with remarkable precision
- *Influence on later mathematics:* This level of accuracy influenced later Greek mathematics and beyond

*YBC 7289 is a testament to the sophisticated numerical techniques of the old Babylonian period*





## References

The lecture is based on:

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)

Further reading:

- *Eleanor Robson*, Neither Sherlock Holmes nor Babylon: A Reassessment of Plimpton 322, *Historia Mathematica* 28 (2001), 167–206

Just for pleasure:

- *Denis Guedj*, Il teorema del pappagallo, Longanesi, 2000





# History of Mathematics: Lecture 3

## Syllabus:

Greek Mathematics: *The birth of deduction*



## Greek mathematics

From “How” to “Why”

### A fundamental paradigm shift

**Egyptian & Mesopotamian Mathematics:**

- Practical, utilitarian, algorithmic
- Focus on procedures and numerical solutions (the “How”)
- Examples: Doubling for multiplication,  $2/n$  tables, volume of frustum

**Greek Mathematics (Starting ~6<sup>th</sup> century BCE):**

- Theoretical, abstract, philosophical
- Emphasis on **deductive reasoning** and **formal proof** (the “Why”)
- Mathematics as an axiomatic system





## Philosophy and the pursuit of truth

### Mathematics as a branch of Philosophy:

- Unlike earlier civilisations, Greeks sought **universal and eternal truths**
- Numbers and geometric forms were seen as embodying perfect, unchanging realities
- This quest for truth led to the demand for **rigorous justification** for mathematical statements





# Philosophy and the pursuit of truth

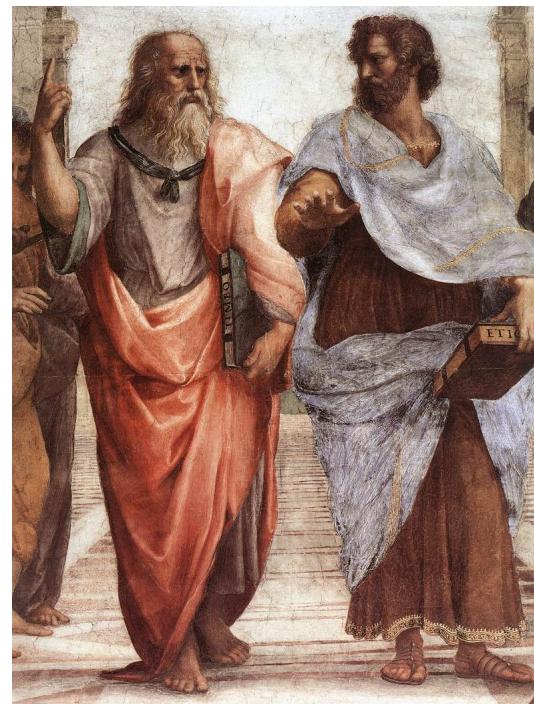
## Key philosophers influencing mathematics:

### ■ **Plato** (~428–347 BCE):

- “Let no one ignorant of geometry enter here” (inscription at the Academy)
- Believed mathematical objects (*Forms*) were more real than physical ones
- Emphasised abstract thought over sensory experience

### ■ **Aristotle** (~384–322 BCE):

- Systematised logic (*syllogisms*) and the structure of arguments
- His ideas on deduction influenced Euclid's axiomatic method



Plato and Aristotle  
(from Raphael's "School of Athens")

**The legacy of inquiry:** This philosophical drive for certainty and general principles profoundly shaped the development of Western mathematics





## Sources and early schools

**How do we know?** (sources):

- Unlike Egyptian/Mesopotamian papyri/tablets, much of our knowledge comes from **later commentators**:
  - *Proclus* (~412–485 CE): Wrote “Commentary on the First Book of Euclid’s Elements”, preserving much historical detail
  - *Pappus of Alexandria* (~4<sup>th</sup> century CE): Compiled earlier works
- **Euclid’s Elements**: The monumental summary of earlier Greek geometry and number theory
- Fragments and direct works of later major figures (e.g., Archimedes, Apollonius, Diophantus)





## Sources and early schools

### Early centres of mathematical thought:

- **Ionian School** (Miletus, ~6<sup>th</sup> century BCE):
  - Focus on natural philosophy and rational inquiry
  - Figures: **Thales** (often considered the first to seek proofs)
- **Pythagorean School** (Croton, ~6<sup>th</sup>–5<sup>th</sup> century BCE):
  - Emphasised the mystical and philosophical nature of numbers
  - Major discoveries: Pythagorean theorem (proof), **incommensurability**
- **Platonic Academy** (Athens, ~4<sup>th</sup> century BCE):
  - Geometry seen as essential for intellectual training
  - Many future mathematicians trained here





# Thales of Miletus: The dawn of proof

## Who was Thales? (~624–546 BCE)

- From Miletus, Ionia (modern Turkey)
- Considered the first philosopher and scientist in the Western tradition
- Often regarded as the **first mathematician to seek proofs** rather than just observing rules or procedures
- Travelled to Egypt, where he likely learned practical geometry

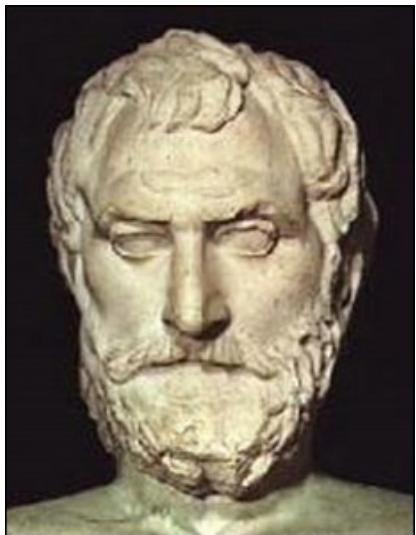
## The revolutionary idea of proof:

- Previous civilisations used geometry for practical tasks
- Thales (and the Greeks) asked: *Why is this true?*
- This led to the demand for logical, deductive arguments based on definitions and axioms





## Thales of Miletus: The dawn of proof



Thales of Miletus

### Key geometric theorems attributed to Thales:

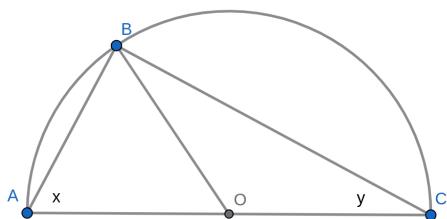
- An angle inscribed in a semicircle is a right angle
- The base angles of an isosceles triangle are equal
- Vertically opposite angles are equal
- Two triangles are congruent if they have two angles and the included side equal
- A circle is bisected by its diameter





## Thales: Example of deductive reasoning

**Theorem 3.1.** *An angle inscribed in a semicircle is a right angle*  
(Euclid, Elements, Book III, Proposition 31)



- Let  $A, B, C$  be points on a circle, with  $AC$  being a diameter; let  $O$  be the centre of the circle
- Draw radius  $OB$
- Triangles  $AOB$  and  $BOC$  are isosceles
- Let  $\angle OAB = x$  and  $\angle OCB = y$
- Then  $\angle OBA = x$  and  $\angle OBC = y$
- The sum of angles in  $\triangle ABC$  is  $180^\circ$ :  $x + (x + y) + y = 180^\circ$ , thus  $2x + 2y = 180^\circ \implies 2(x + y) = 180^\circ$ , hence  $x + y = 90^\circ$
- Since  $\angle ABC = x + y$ , then  $\angle ABC = 90^\circ$ . Q.E.D.

*This demonstrates the shift from empirical observation to logical derivation from basic principles.*





# The Pythagoreans

**The Pythagorean Brotherhood (~570–495 BCE):**

- Founded by **Pythagoras of Samos** in Croton (Southern Italy)
- More than just a school: a secret, quasi-religious brotherhood
- Believed that **numbers were the essence of all things**
- Motto: “*All is Number*”
- Focused on purifying the soul through study, especially of music and mathematics

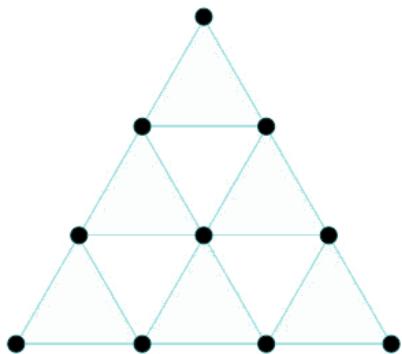


Pythagoras of Samos





# The Pythagoreans



The Tetractys

## Number mysticism and harmony:

- Explored relationships between numbers and the natural world
- Discovered that musical harmony could be expressed by simple numerical ratios (e.g., octave 1:2, fifth 2:3, fourth 3:4)
- Associated numbers with specific qualities:
  - 1: point, reason
  - 2: line, opinion
  - 3: surface, wisdom
  - 4: solid, justice
- The **Tetractys** (sum of  $1 + 2 + 3 + 4 = 10$ ) was considered sacred





# The Pythagorean theorem

## The theorem:

- In a right-angled triangle, the square on the hypotenuse is equal to the sum of the squares on the other two sides ( $a^2 + b^2 = c^2$ )
- This relationship was **known empirically** to Babylonians and Egyptians over 1000 years earlier (e.g., Plimpton 322)

## Pythagorean contribution:

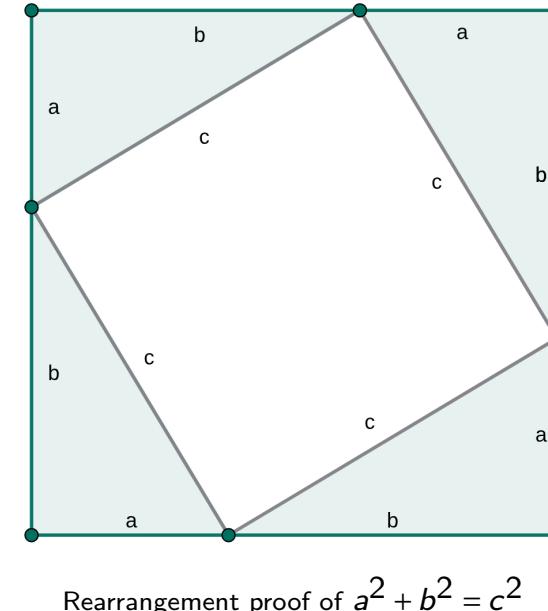
- The Pythagoreans are widely credited with providing the **first formal, deductive proof** of the theorem
- This exemplifies the core Greek contribution: moving from practical knowledge to abstract, proven truth





## The Pythagorean theorem

- Consider a large square of side  $(a + b)$
- Inside it, arrange four identical right triangles with sides  $a$ ,  $b$ ,  $c$
- The central unshaded area will be a square of side  $c$  (area  $c^2$ )
- Since the total area of the large square and the four triangles is constant,  $c^2 = a^2 + b^2$
- This visual proof (or a variant) is often attributed to Pythagoreans



**A milestone in deductive thought:** This proof established a powerful precedent for mathematical reasoning, moving beyond calculation to rigorous demonstration





## The crisis of incommensurability

### The “Axiom” of “All is Number”:

- The Pythagoreans believed all quantities could be expressed as ratios of integers (rational numbers)
- This was fundamental to their entire philosophical system

### The discovery of incommensurability:

- Applying the Pythagorean Theorem to a simple square of side 1:

$$1^2 + 1^2 = d^2 \implies d^2 = 2 \implies d = \sqrt{2}$$

- Legend attributes the discovery to Hippasus of Metapontum
- He proved that the diagonal of a square is **incommensurable** with its side
- In other words,  $\sqrt{2}$  **cannot be expressed as a ratio of two integers**, i.e.,  $\sqrt{2}$  is irrational





## The crisis of incommensurability

Proof:  $\sqrt{2}$  is irrational, by contradiction:

- Assume  $\sqrt{2} = p/q$ , where  $p, q$  are integers,  $q \neq 0$ , and  $p/q$  is in lowest terms (no common factors)
- Squaring both sides:  $2 = p^2/q^2 \implies 2q^2 = p^2$
- This implies  $p^2$  is an even number. If  $p^2$  is even, then  $p$  must also be even
- So, we can write  $p = 2k$  for some integer  $k$
- Substitute  $p = 2k$  back into  $2q^2 = p^2$ :  
 $2q^2 = (2k)^2 \implies 2q^2 = 4k^2 \implies q^2 = 2k^2$
- This implies  $q^2$  is an even number. If  $q^2$  is even, then  $q$  must also be even
- Contradiction! We assumed  $p$  and  $q$  had no common factors, but we showed both must be even (thus having a common factor of 2)
- Therefore, our initial assumption ( $\sqrt{2}$  is rational) must be false.  
 $\sqrt{2}$  is irrational

Q.E.D.





## The crisis of incommensurability

### Consequences for Greek Mathematics:

- Shattered the “All is Number” philosophy based solely on rational numbers
- Led to a profound conceptual crisis for their understanding of “number”
- Forced a shift in focus from arithmetic to **geometry**, where quantities (lengths, areas) could be compared and reasoned about even if their numerical ratio was unknown
- *Geometric algebra*: Algebraic problems were often solved using geometric constructions





## Euclid and the Elements

**Euclid of Alexandria** (~325–265 BCE):

- Lived and taught in Alexandria, Egypt (Ptolemaic kingdom)
- Little is known about his life; he's often referred to as "the author of the Elements"
- His work synthesised over 300 years of Greek mathematical thought



Euclid of Alexandria





# Euclid and the Elements



Page from a medieval manuscript of Euclid's Elements

## The “Elements” (~300 BCE):

- Not a record of new discoveries (mostly), but a **systematic compilation and organisation** of existing mathematical knowledge
- Comprises **13 books**, primarily on geometry and number theory
- Became the definitive textbook for geometry for over 2000 years, influencing countless thinkers





## The axiomatic method

### Structure of the Elements:

- Euclid began with a small set of self-evident truths:
  - *Definitions*: Clearly defined terms (e.g., point, line, surface)
  - *Postulates (axioms for geometry)*: Fundamental assumptions about geometric constructions that are taken as true without proof (e.g., “A straight line may be drawn between any two points”)
  - *Common notions (axioms for general math)*: General truths applicable to all quantities (e.g., “Things which are equal to the same thing are also equal to one another”)
- From these initial statements, he logically **deduced** a vast system of propositions (theorems)
- Each proposition is proven using only definitions, postulates, common notions, and previously proven propositions





## Euclid's enduring legacy

### Why is this Revolutionary?

- **Rigour & certainty**: Established a benchmark for mathematical rigour, aiming for absolute certainty
- **Logical structure**: Provided a systematic way to organise mathematical knowledge
- **Foundation for all Mathematics**: This deductive, axiomatic approach became the model for mathematical inquiry for millennia, from Newton's *Principia* to modern set theory
- **Independent of Experience**: Mathematical truth became independent of physical measurement or empirical observation





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The **Parallel postulate**: One of Euclid's postulates (often phrased as "Through a point not on a given line, exactly one line parallel to the given line can be drawn") remained controversial, leading to the development of **Non-Euclidean geometries** in the 19<sup>th</sup> century.

This shows the power of examining axioms





## Euclid's Elements

**Beyond basic geometry:**

- **Book I:** Fundamental geometry (points, lines, triangles, parallels)
- **Book II:** Geometric algebra (e.g., constructions for solving quadratic equations, geometric identities)
- **Book V:** Eudoxus's theory of proportion (rigorous treatment of ratios, including incommensurables, solving the Pythagorean crisis)
- **Books VII-IX:** Number theory (primes, greatest common divisor algorithm, perfect numbers, proof of infinitely many primes)
- **Book X:** Classification of incommensurable magnitudes
- **Book XIII:** Construction of the five *Platonic solids*



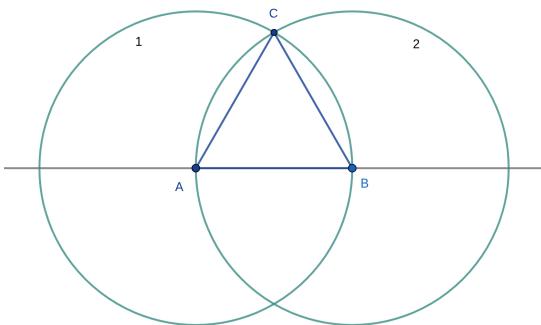


# Euclid's Elements

Proof (Proposition 1, Book I):

**Problem:** To construct an equilateral triangle on a given finite straight line

**Construction sketch:**



1. With centre  $A$ , draw a circle with radius  $AB$
2. With centre  $B$ , draw a circle with radius  $BA$
3. Let  $C$  be one of the points where the circles intersect
4. Draw lines  $AC$  and  $BC$



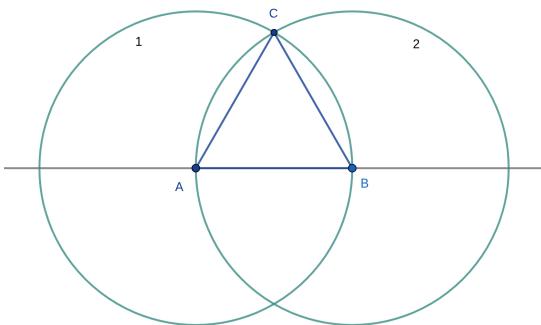


# Euclid's Elements

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4. Draw lines  $AC$  and  $BC$

**Proof sketch:**

- All radii of a circle are equal. So  $AC = AB$  (from circle 1) and  $BC = AB$  (from circle 2)
- By Common Notion 1 ("Things which are equal to the same thing are also equal to one another"),  $AC = BC$
- Therefore, triangle  $ABC$  is equilateral

Q.E.D.

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## References

The lesson is based on:

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

Also, very good references to authors and ideas can be found in the Stanford Encyclopedia of Philosophy: <https://plato.stanford.edu/>

The fundamental reference text is *Euclid*, The Thirteen Books of the Elements, three volumes, Dover (1956)

Finally, just for the fun of the readers, we signal *Paolo Alessandrini*, *Matematica Rock*, Hoepli (2019), which speaks about the Pythagorean theme of mathematics and music





# History of Mathematics: Lecture 4

## Syllabus:

Greek Mathematics: *Beyond Euclid*

- Dealing with the infinite (Zeno's paradoxes)
- Rigorous treatment of magnitude and proportion
- Early “Calculus” (Method of Exhaustion)
- The genius of Archimedes
- Conic sections and their importance
- The Hellenistic period and the eventual decline



## Zeno's paradoxes: Confronting the infinite

**Zeno of Elea** (~490–430 BCE):

- A pre-Socratic philosopher, student of Parmenides
- Known for his paradoxes concerning motion and plurality
- While philosophical, they had profound implications for the mathematical understanding of **infinity** and **continuity**





## Zeno's paradoxes: Confronting the infinite

### The dichotomy paradox:

- To reach a destination, one must first cover half the distance
- Then, one must cover half of the remaining distance
- This process continues infinitely
- *Conclusion* (Zeno): Therefore, motion is impossible, as one must complete an infinite number of tasks in a finite time

### Achilles and the tortoise paradox:

- Achilles (the swift runner) races a tortoise, who is given a head start
- Achilles must first reach the tortoise's starting point
- By then, the tortoise has moved a little further
- Achilles must reach **that** new point, by which time the tortoise has moved... and so on, infinitely
- *Conclusion* (Zeno): Achilles can never catch the tortoise

**Mathematical implication:** Both paradoxes deal with an infinite geometric series: precisely,  $1/2 + 1/4 + 1/8 + \dots = 1$  in the dichotomy paradox





## Zeno's paradoxes: Confronting the infinite

### Impact on Greek thought:

- Highlighted the conceptual difficulties of infinitely divisible quantities
- Contributed to the Greek aversion to actual infinities in mathematical proofs, favouring potential infinities
- Prompted mathematicians (like Eudoxus) to develop rigorous methods to deal with magnitudes without relying on infinite processes directly





## Eudoxus: Rigour in ratios and volumes

**Eudoxus of Cnidus** (~408–355 BCE):

- A brilliant mathematician and astronomer, student of Plato
- Resolved the crisis of incommensurability (from the Pythagoreans)
- Developed methods that were foundational for later Greek geometry and a precursor to integral calculus





## Eudoxus: Rigour in ratios and volumes

### 1. The theory of proportion (Euclid's Book V):

- Provided a rigorous definition of proportion (ratio) that worked for **both commensurable and incommensurable magnitudes**
- This bypassed the need to define irrational “numbers” explicitly
- Definition: Four magnitudes  $A, B, C, D$  are proportional ( $A$  is to  $B$  as  $C$  is to  $D$ ) if, for any integers  $m, n$ :
  - $mA > nB \Rightarrow mC > nD$
  - $mA = nB \Rightarrow mC = nD$
  - $mA < nB \Rightarrow mC < nD$
- This definition is essentially equivalent to Dedekind cuts from 19<sup>th</sup> century real analysis





## Eudoxus: Rigour in ratios and volumes

### 2. The method of exhaustion:

- A technique for finding the area or volume of a curved figure by inscribing and circumscribing polygons (or polyhedra) whose areas/volumes can be calculated
- The areas of the polygons “exhaust” the area of the curved figure as the number of sides increases
- Relies on an axiom (often attributed to Eudoxus, known as the Axiom of Archimedes): “Given two unequal magnitudes, if from the greater there is subtracted a magnitude greater than its half, and from the remainder a magnitude greater than its half, and so on, there will at some time remain a magnitude less than the lesser of the given magnitudes”
- **Significance:** This was the closest the Greeks came to integral calculus, avoiding the paradoxes of infinity by focusing on what can be “exhausted”
- Used by Euclid to prove theorems about circles and spheres (e.g., area of circle proportional to square of diameter)





## Eudoxus: Rigour in ratios and volumes

**Impact:** Eudoxus's work provided the tools for rigorous geometry in the face of incommensurability and laid the groundwork for Archimedes' later triumphs.

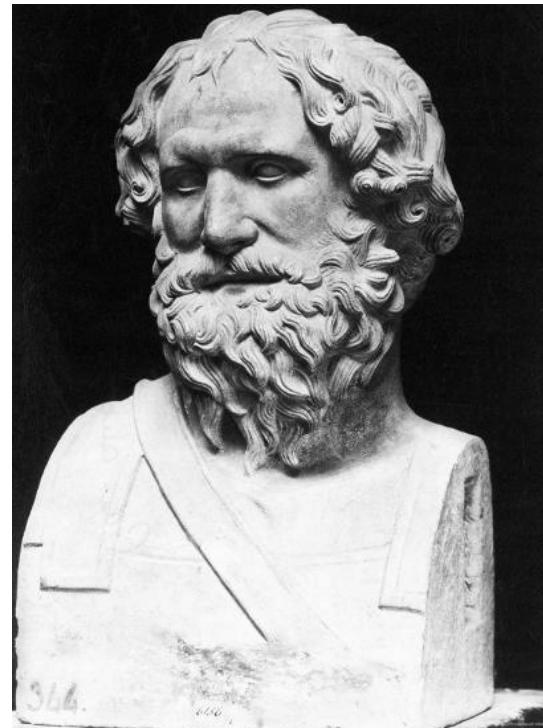




## Archimedes of Syracuse

**Archimedes of Syracuse** (~287–212 BCE):

- Considered by many to be the **greatest mathematician of antiquity** and one of the greatest of all time
- Lived in Syracuse, Sicily, a Greek city-state
- Renowned not just for mathematics, but also for physics, engineering, and inventions (e.g., Archimedes' screw, levers, war machines)
- Legend: “Eureka!” (buoyancy principle) and “Give me a place to stand, and I will move the Earth” (lever principle)
- Killed during the Roman siege of Syracuse



Archimedes of Syracuse





## Archimedes of Syracuse

**His mathematical approach:** Archimedes brilliantly applied the rigorous methods of Eudoxus (like the method of exhaustion) to solve an astonishing array of complex problems, often pushing these methods to their limits, bordering on what we now call calculus





# The most accurate $\pi$ of antiquity

## The problem:

- To find the ratio of a circle's circumference to its diameter,  $\pi$
- Recall the Egyptian approximation:  
 $\pi \approx (16/9)^2 \approx 3.16$

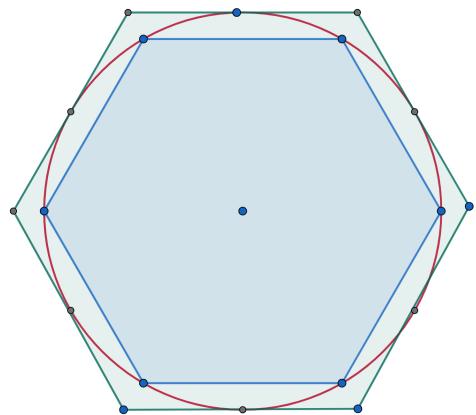
## The method of perimeters

- He used a rigorous geometric approach based on the **method of exhaustion**
- Involved inscribing and circumscribing regular polygons within and around a circle
- As the number of sides of the polygons increases, their perimeters get closer and closer to the circumference of the circle





# The most accurate $\pi$ of antiquity



Inscribed and circumscribed polygons

**Significance:** This was the most accurate value of  $\pi$  for over 1000 years, derived purely from rigorous geometric methods, not empirical measurement

## His calculation:

- Started with regular hexagons
- Systematically doubled the number of sides, going up to 96-sided polygons
- This involved complex calculations with square roots (often using rational approximations for them)
- Result:

$$3 + \frac{10}{71} < \pi < 3 + \frac{10}{70}$$

$$3.140845 < \pi < 3.142857$$

(In modern terms,  $223/71 < \pi < 22/7$ )





# Quadrature of the parabola

## The problem:

- To find the area of a parabolic segment bounded by a chord
- This is a problem typically solved with integral calculus today

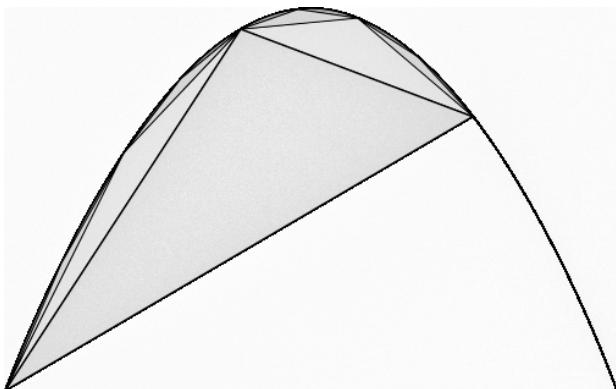
## Archimedes' method:

- Used the **method of exhaustion** (similar to Eudoxus')
- He filled the parabolic segment with an infinite sequence of triangles
- First, construct the largest triangle (with the given chord as its base)
- Then, in the remaining two parabolic segments, construct two more triangles, and so on
- He showed that the areas of these triangles form a geometric progression





# Quadrature of the parabola



Quadrature of the parabola

## The result:

- He proved that the area of the parabolic segment is  $4/3$  the area of the first (largest) inscribed triangle
- The sum of the infinite series of triangle areas:

$$A + \frac{1}{4}A + \frac{1}{16}A + \dots = A \left( 1 + \frac{1}{4} + \left( \frac{1}{4} \right)^2 + \dots \right)$$

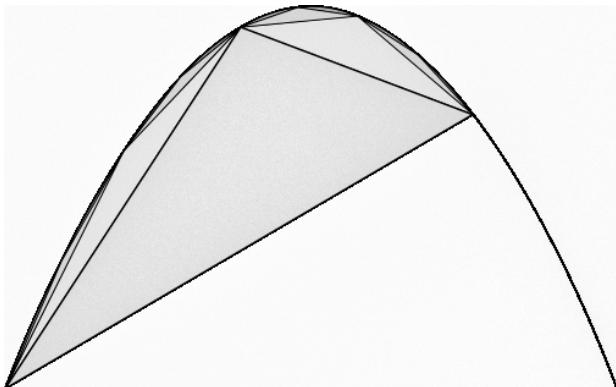
This is a geometric series with ratio  $r = 1/4$ . Sum =  $A \left( \frac{1}{1-1/4} \right) = A \left( \frac{1}{3/4} \right) = \frac{4}{3}A$

- Archimedes arrived at this result without the modern concept of limits or infinite series sums





# Quadrature of the parabola



Quadrature of the parabola

**Precursor to calculus:** This demonstrates his profound ingenuity in handling infinite processes rigorously, centuries before Newton and Leibniz

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- The sum of the infinite series of triangle areas:

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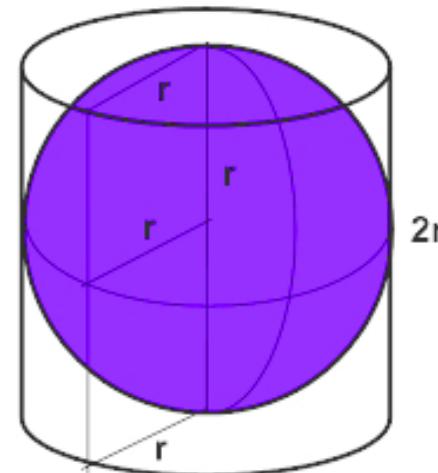




## Volumes of solids and “the Method”

### Volumes and surface areas of solids:

- Archimedes determined the formulas for the volume and surface area of many solids, notably the **sphere** and the **cylinder**
- His proof for the volume of a sphere ( $4/3\pi r^3$ ) and its surface area ( $4\pi r^2$ ) was a crowning achievement
- He famously requested that his tombstone depict a sphere inscribed within a cylinder, along with the ratio 2 : 3 (Volume of Sphere : Volume of Cylinder = Area of Sphere : Area of Cylinder = 2 : 3)



Sphere inscribed in a cylinder





## Volumes of solids and “the Method”

“The Method”: Discovery vs. proof

- Archimedes wrote a treatise called *The Method*, discovered in 1906 (the Archimedes Palimpsest)
- In it, he revealed how he **discovered** many of his results: by mechanical means, using principles of levers and centres of gravity
- For example, he imagined slicing shapes into infinitesimally thin sections and balancing them on a lever
- However, he then provided **rigorous proofs** (using the method of exhaustion) for these discoveries in separate works

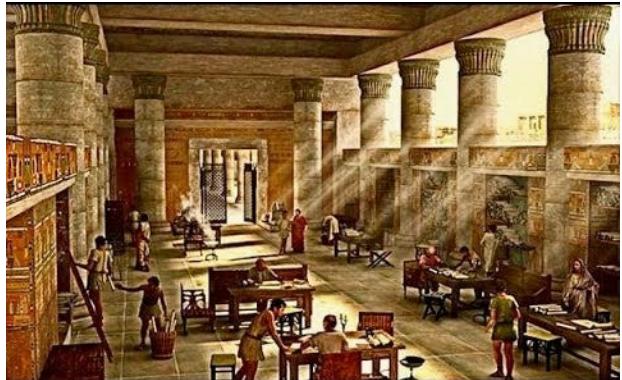
**A master of both heuristics**

**and rigour:** Archimedes showcased the dual nature of mathematics: the intuitive, experimental path to discovery, followed by the rigorous, logical path to proof





# Mathematics in the Hellenistic era



The library of Alexandria

## The Hellenistic period (~323–30 BCE):

- Followed Alexander the Great's conquests, leading to the spread of Greek culture
- New intellectual centres emerged, notably **Alexandria, Egypt**, with its famous Library and Museum
- Mathematics became more specialised, moving beyond fundamental geometry into specific applications and new areas
- Focus often shifted from pure, abstract geometry to areas with practical utility (astronomy, optics, mechanics)





## Mathematics in the Hellenistic era

### Key figures and fields:

- *Apollonius of Perga*: Conic sections
- *Hipparchus & Ptolemy*: Astronomy and trigonometry
- *Eratosthenes*: Geography and Earth measurement
- *Diophantus of Alexandria*: Proto-algebra and number theory
- *Pappus of Alexandria*: Compiler and commentator

**A period of consolidation and innovation:** While pure geometry reached its zenith with Euclid and Archimedes, the Hellenistic period saw the application of these powerful tools to complex problems, and the beginnings of algebraic thought.





## Apollonius of Perga

**Apollonius of Perga** (~240–190 BCE):

- Often called “The Great Geometer”.
- Student of the Alexandrian school
- His magnum opus: “**Conics**” (8 books, 7 survive)

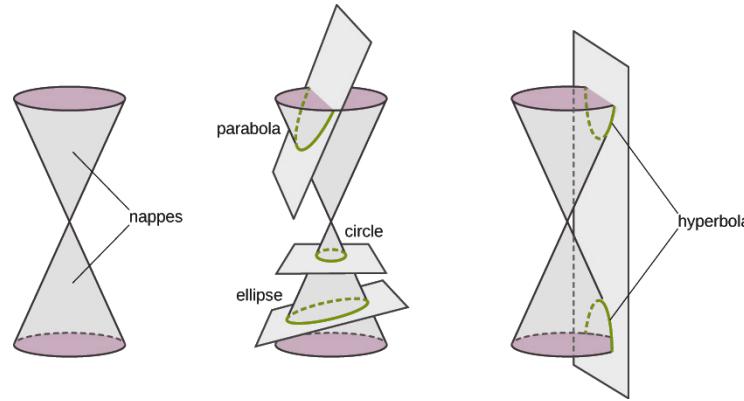




## Conic sections

### The conic sections:

- *Parabola, ellipse, hyperbola*
- Apollonius showed that these curves arise from cutting a **single cone** (double-napped) at different angles, unlike earlier methods that used different cones
- He introduced the terms ‘parabola’, ‘ellipse’, and ‘hyperbola’
- Studied their properties comprehensively, developing the theory in a way that approaches analytical geometry without coordinates



**Later importance:** Apollonius's work on conics became fundamental for Kepler's laws of planetary motion and Newton's law of universal gravitation, centuries later





# Astronomy, trigonometry

## Trigonometry for Astronomy:

- Greek astronomers needed to calculate distances and angles in the celestial sphere
- *Hipparchus of Nicaea* (~190–120 BCE):
  - Considered the “father of trigonometry”.
  - Created the first known table of chords (equivalent to sine values) for various angles
  - Used these to construct precise astronomical models
- *Ptolemy* (~100–170 CE):
  - His “Almagest” (mathematical treatise on astronomy) codified Greek trigonometry and astronomical models (geocentric)
  - His chord table extended Hipparchus’s work





## Global measurements

*Eratosthenes of Cyrene (~276–195 BCE):*

- Chief librarian at the Library of Alexandria
- Pioneer in geography and cartography
- Calculated the **circumference of the Earth** with remarkable accuracy

**Eratosthenes' method** (simplified):

- Noted that at noon on the summer solstice, sunlight directly illuminated a deep well in Syene (modern Aswan)
- At the same time in Alexandria, a vertical stick cast a shadow, indicating the sun was 7.2 degrees from vertical
- Assuming the sun's rays are parallel and Earth is a sphere, the angle  $7.2^\circ$  represents the angle between Syene and Alexandria at the Earth's centre
- Calculated the distance between Syene and Alexandria (~ 5000 stadia)
- Circumference =  $(360^\circ / 7.2^\circ) \times 5000 \text{ stadia} = 50 \times 5000 = 250,000 \text{ stadia}$
- This means ~39,690 km (actual ~40,075 km)—astounding precision!





# Diophantus of Alexandria

*Diophantus of Alexandria*

(~200–284 CE):

- A Hellenistic mathematician, much later than the classical geometers
- His main work: “**Arithmetica**” (13 books, 6 survive in Greek, 4 more in Arabic)
- Diverged significantly from the traditional Greek geometric approach

**Focus of Arithmetica:**

- Solves hundreds of specific problems involving rational solutions to indeterminate equations (equations with more variables than equations)
- These are now known as **Diophantine equations**
- Example: Find three numbers such that the product of any two added to the third is a perfect square





## The dawn of algebra

### Key innovations: Proto-symbolic algebra

- While not fully symbolic like modern algebra, Diophantus used abbreviations and a kind of syncopated algebra
- Example: He would use **s** for the unknown ( $x$ ), **ds** for  $x^2$ , **cs** for  $x^3$ , etc.
- This was a radical departure from the purely rhetorical (word-based) algebra of earlier periods and the geometric algebra of Euclid

### Significance:

- Bridges the gap between Babylonian numerical problems and later Arabic/European algebra
- Showed that abstract numerical problems could be solved systematically, not just geometric ones
- His work was later influential for mathematicians like Fermat





## Pappus of Alexandria

*Pappus of Alexandria* (~290–350 CE):

- One of the last great Greek mathematicians of antiquity
- Most famous work: “**Collection**” (Synagogue), an 8-book summary of Greek mathematics
- Preserved, summarised, and commented on a vast amount of earlier Greek mathematical knowledge that would otherwise be lost
- Also contained some original theorems and problems (e.g., Pappus’s hexagon theorem, Pappus’s centroid theorems)





## The end of an era

### The decline of original Greek mathematics:

- After Pappus (and Hypatia), the pace of original mathematical contribution slowed significantly
- **Factors contributing to decline:**
  - Roman Empire's focus on practical administration and engineering, not abstract pure science
  - Political and economic instability (e.g., Sack of Alexandria)
  - Rise of new religious ideologies (Christianity) that sometimes clashed with pagan philosophical inquiry
  - Destruction of the Library of Alexandria over centuries
  - Loss of institutional support and patronage for pure research
- Mathematics largely preserved and developed by **Islamic scholars** in the centuries that followed





## The end of an era

**A legacy that endures:** Despite the decline, the rigorous, deductive framework established by the Greeks, especially by Euclid, Archimedes, and Apollonius, laid the essential groundwork for all future mathematical advancements, particularly in Europe during the Renaissance

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# The enduring legacy of Greek mathematics

## A paradigm shift that shaped all science

### ■ The birth of deduction and proof:

- Transition from “how” (recipes, algorithms) to “why” (rigorous, logical argument)
- Mathematics established as a science based on axioms, definitions, and theorems

### ■ The axiomatic method (Euclid):

- A revolutionary framework for organising knowledge, serving as a model for all future scientific inquiry
- Demonstrated how complex structures can be built from simple, self-evident truths

### ■ Confronting the infinite:

- Zeno’s paradoxes highlighted foundational challenges
- Eudoxus’s theory of proportion and method of exhaustion provided rigorous ways to handle continuous magnitudes and limit-like processes





# The enduring legacy of Greek mathematics

## A paradigm shift that shaped all science

- **Pinnacle of geometric genius** (Archimedes, Apollonius):
  - Masterful treatment of areas, volumes, and conic sections, foreshadowing integral calculus
  - Unparalleled precision (e.g.,  $\pi$ , Earth's circumference)
- **Foundations for future fields:**
  - Early number theory (Euclid, Diophantus)
  - Trigonometry (Hipparchus, Ptolemy) and its astronomical applications
  - Proto-algebra (Diophantus)

*Greek mathematics established the intellectual toolkit and the standard of rigour that would define mathematics for over two millennia, influencing the scientific revolution and modern thought*





## References

The lesson is based on:

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

*Apollonius's Conics*, *Diophantus'* *Arithmetica*, and *Ptolemy's Almagest* are freely available online in a reasonable English translation. *Pappus'* *Synagoge* doesn't have a full English translation yet: a partial Latin version and Book VIII can be found online.

For the fun of the reader *Denis Guedj*, *La chioma di Berenice*, Longanesi (2003) is a novel about Eratosthenes's measure of the world





# History of Mathematics: Lecture 5

## Syllabus:

### Ancient Asian Mathematics:

- The revolutionary contributions of Indian mathematics
- The practical and algorithmic power of Chinese mathematics
- The unique geometric aesthetics of Japanese (Wasan) mathematics



# Indian mathematics: A conceptual revolution

## Context and periods:

- Rich mathematical tradition spanning millennia (from Vedic period onwards)
- Influenced by and influenced astronomy and religious texts
- Key periods and figures:
  - **Gupta period** (~320–550 CE): *Aryabhata*
  - **Classical period** (~6<sup>th</sup>–12<sup>th</sup> CE): *Brahmagupta, Bhaskara II*
  - **Kerala school** (~14<sup>th</sup>–16<sup>th</sup> CE): *Madhava*



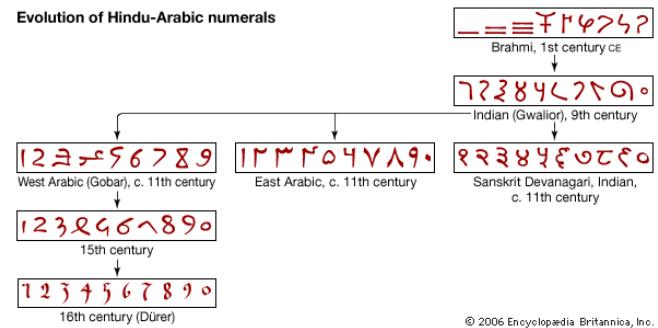


# Indian mathematics: A conceptual revolution

## The greatest contribution:

### The decimal place-value system

- Developed by Indian mathematicians, likely between 1<sup>st</sup> and 5<sup>th</sup> centuries CE
- **Key features:**
  - Nine distinct digits (1-9)
  - **Positional value:** The value of a digit depends on its position (e.g., '2' in 200 vs. 20)
  - The conceptual innovation of **zero as a placeholder and a number** (*Shunya*)
- This system vastly simplified arithmetic, making complex calculations manageable



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**Global impact:** This system, later adopted by Arab scholars and then transmitted to Europe, is the **foundation of modern arithmetic worldwide**





# Algebra and negative numbers

## Early concept of negative numbers:

- Appeared as early as **Brahmagupta** (~598–668 CE) in his *Brahmasphutasiddhanta*
- Treated negative numbers as “debts” or “losses”, acknowledging their existence and rules for operations:
  - A debt minus a debt is a debt
  - A fortune minus a debt is a fortune
  - The product of two debts is a fortune, i.e.,  $(-x)(-y) = xy$
- This predates the full acceptance of negative numbers in Europe by centuries

## Solving quadratic equations:

- Brahmagupta gave the general solution to quadratic equations in the form  $ax^2 + bx = c$
- He allowed for two roots, including negative ones (though he sometimes discarded negative roots in practical contexts)



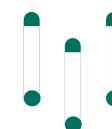


## Indeterminate equations

**Indeterminate** or Diophantine equations:

- Indian mathematicians extensively studied equations with integer solutions (linear and quadratic)
- **Brahmagupta** solved linear indeterminate equations of the form  $ax + by = c$
- **Pell's equation:** The equation  $Nx^2 + 1 = y^2$  (where  $N$  is an integer)
  - Methods to solve this were developed by Brahmagupta (the “Brahmagupta identity”) and later extended by **Bhaskara II** (~1114–1185 CE) using the “Chakravala” (cyclic) method
  - This was a highly sophisticated achievement, far more advanced than anything in Europe until the 17<sup>th</sup>–18<sup>th</sup> centuries

**Emphasis on algorithms:** Indian mathematics often presented solutions as clear algorithms or rules, similar to Mesopotamian mathematics, but with greater generality and abstraction





# Trigonometry

## Development of trigonometry (for astronomy):

- Unlike the Greek use of chords, Indian mathematicians developed the concepts of **sine** and **cosine**
- **Aryabhata** (~476–550 CE) in his *Aryabhatiya*:
  - Created the first sine table (*Jya*) and versine table (*Koti-jya*) at intervals of  $3.75^\circ$
  - His methods for calculating these values were ingenious
- These concepts were later transmitted to the Islamic world and then to Europe

## Mathematical astronomy:

- Indian mathematicians were also accomplished astronomers, developing sophisticated models and calculations
- This practical application heavily influenced their mathematical developments





## The dawn of infinite series

**The Kerala School of Mathematics** (~14<sup>th</sup>–16<sup>th</sup> CE):

- A remarkable school in South India (Kerala region)
- Figures like **Madhava of Sangamagrama** (~1340–1425 CE)
- Developed infinite series expansions for trigonometric functions:
  - Madhava-Leibniz series for  $\pi/4$ :

$$\frac{\pi}{4} = 1 - \frac{1}{3} + \frac{1}{5} - \frac{1}{7} + \dots$$

- Madhava-Gregory series for  $\arctan(x)$ :

$$\arctan(x) = x - \frac{x^3}{3} + \frac{x^5}{5} - \frac{x^7}{7} + \dots$$

- Madhava's series for sine and cosine (Taylor series expansions)
- These discoveries predate European work by more than two centuries!
- They also worked on geometric series and early ideas of differentiation





## The dawn of infinite series

**A proto-calculus?** The Kerala School's work came remarkably close to developing calculus, though it remained largely localised for centuries





# Chinese mathematics

## Context and characteristics:

- Long and continuous tradition, often driven by needs of bureaucracy, engineering, and astronomy
- Emphasis on **algorithms and methods for computation** rather than formal deductive proofs (differing from Greeks)
- Utilised a sophisticated **decimal place-value system** (using counting rods) very early on

## Major periods and texts:

- **Han Dynasty** (~206 BCE–220 CE): *The Nine Chapters on the Mathematical Art*
- **Three Kingdoms/Tang Dynasty** (~3<sup>rd</sup>–10th CE): Liu Hui, Zu Chongzhi
- **Song/Yuan Dynasty** (~10<sup>th</sup>–14<sup>th</sup> CE): Jia Xian, Yang Hui, Qin Jiushao, Li Ye, Zhu Shijie. Peak of Chinese algebra

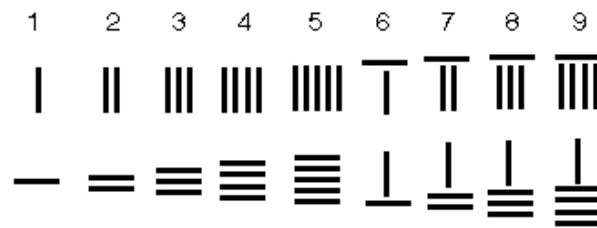




## Chinese mathematics

**Key tool:** *Counting rods* (筹算, chou suan):

- Wooden or bamboo rods used for calculation, arranged in columns for powers of 10
- Allowed for representation of positive and negative numbers, and even zero (represented by a blank space)
- Facilitated efficient arithmetic operations and early forms of matrix manipulation



Chinese counting rods (early place-value system)





## The Nine Chapters and linear systems

**Jiǔzhāng Suànshù** (九章算術): The Nine Chapters on the Mathematical Art

- Compiled likely in the **Han Dynasty** (~1<sup>st</sup> Century CE), with later commentaries (Liu Hui, 3<sup>rd</sup> CE)
- A collection of **246 problems** divided into nine chapters, covering practical applications
- Each problem provides a statement, an answer, and a detailed algorithm for solving it
- Wide range of topics: surveying, engineering, taxation, agriculture, commerce, geometry





## The Nine Chapters and linear systems

### Solving systems of linear equations:

- Chapter 8, “Fangcheng” (方程<sup>1</sup>, “Rectangular Arrays” or “The Way of Calculation”)
- Describes a method for solving systems of linear equations with multiple unknowns
- This method is strikingly similar to modern **Gaussian elimination** or matrix methods
- Utilised counting rods arranged on a counting board to represent coefficients in a rectangular array

---

<sup>1</sup>In modern Chinese it translates to “equation”





## The Nine Chapters and linear systems

**Example problem** (from Chapter 8):

- “There are 3 types of corn: 3 bundles of the first type, 2 of the second, 1 of the third, sum to 39 dou of grain. 2 of the first, 3 of the second, 1 of the third, sum to 34 dou. 1 of the first, 2 of the second, 3 of the third, sum to 26 dou. Find the amount of grain in one bundle of each type.”
- Corresponds to the system:

$$3x + 2y + z = 39$$

$$2x + 3y + z = 34$$

$$x + 2y + 3z = 26$$

- The Chinese method involved systematically manipulating the columns of the rod array to eliminate variables

**Advanced for its time:** This method predates its independent rediscovery in the West by many centuries, showcasing remarkable algebraic intuition

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## Geometry and $\pi$ approximations

- Chapter 1 of “Nine Chapters” deals with areas
- **Liu Hui** (~3<sup>rd</sup> century CE, commentator on “Nine Chapters”)
  - Used the method of inscribed polygons to approximate  $\pi$
  - Calculated  $\pi \approx 3.14159$
  - Described his “circle area algorithm” (割圆术, “cutting the circle method”) similar to Archimedes’
- **Zu Chongzhi** (~5<sup>th</sup> century CE):
  - Calculated  $\pi$  to be between 3.1415926 and 3.1415927
  - Gave the famous fractions 22/7 (milü, “rough ratio”) and 355/113 (miqie, “close ratio”).
  - 355/113 is remarkably accurate (correct to 6 decimal places), unsurpassed for nearly 1000 years





## Advanced algebra

**Higher-degree equations** (Song/Yuan dynasty):

- Chinese mathematicians developed numerical methods to find roots of polynomial equations of degrees up to 10
- **Qin Jiushao** (~13<sup>th</sup> century CE): Applied a method similar to **Ruffini-Horner's method** (known in China as the *tian yuan shu*, “celestial element method” or “horn method”)
- This method could find numerical approximations for roots to any desired degree of accuracy



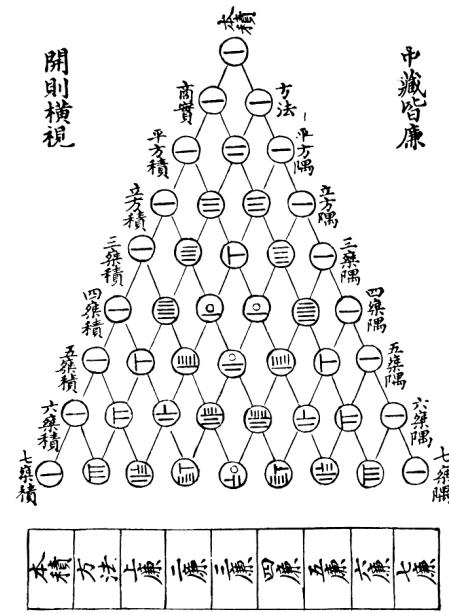


## Advanced algebra

### Pascal's (Yang Hui's) Triangle:

- For binomial coefficients of  $(a + b)^n$
- Depicted by **Jia Xian** (~11<sup>th</sup> century CE) and then **Yang Hui** (~13<sup>th</sup> century CE), centuries before Pascal.
- Used for solving polynomial equations

古法乘七圖



Yang Hui's Triangle

**A diverse and deep tradition:** Chinese mathematics developed a unique and powerful algorithmic approach that yielded impressive results in numerical methods and algebra





## Japanese mathematics (Wasan)

**Wasan** (和算): Japanese Mathematics

- Developed in Japan, distinct from Western mathematics
- Heavily influenced by **Chinese mathematics** (via Korea), especially in its early stages
- Flourished primarily during the **Edo period** (1603–1868), a time of national isolation (Sakoku policy)
- This isolation allowed Wasan to develop along its own unique trajectory, focusing on specific types of problems and methodologies

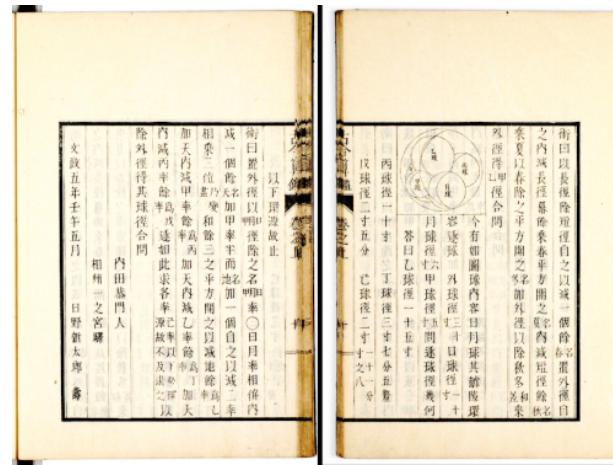




# Japanese mathematics (Wasan)

## Characteristics of Wasan:

- Emphasis on **geometric problems**, often very intricate
- Solutions usually presented as algorithms, often without formal proofs as in Greek tradition
- Often highly competitive, with mathematicians challenging each other



Kokon sankan, Mathematical Library,  
Department of Mathematics, Kyoto University

## Key figures:

- **Seki Takakazu** (~1642–1708): Often called the “Newton of Japan”
- **Takebe Katahiro** (~1664–1739): Pupil of Seki





# Sangaku

## Sangaku (算額): Temple Geometry

- Beautiful wooden tablets hung in Shinto shrines and Buddhist temples
- Contained intricate geometric problems and their solutions (sometimes just the problem statement as a challenge)
- Offered by individuals to deities as offerings, or as intellectual challenges to others
- Showcases a unique blend of mathematics, art, and spirituality
- Problems often involved circles, ellipses, and other curves tangent to each other within larger shapes

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Examples of Sangaku problems





## Seki Takakazu

### Seki Takakazu's advanced contributions:

- Developed a new algebraic notation system
- Pioneered the concept of **determinants** (around 1683), used for solving systems of linear equations, predating Leibniz's work
- Discovered **Bernoulli numbers** independently (used in sums of powers)
- Developed methods for calculating definite integrals and volumes, including early ideas akin to **calculus**, (he introduced a form of infinitesimal calculus using “enri” or circle principle)
- His work remained largely unknown outside Japan due to the period of isolation

**A path diverted:** Wasan showcased a highly sophisticated mathematical culture, but its isolation meant its innovations did not directly influence the global mathematical mainstream until much later

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# Global contributions to early mathematics

## Diverse paths, universal principles

### ■ Indian Mathematics:

- The revolutionary **decimal place-value system** and the concept of **zero**
- Advanced algebra (negative numbers, Pell's equation)
- Origins of sine/cosine functions and the remarkable **Kerala School's infinite series** (proto-calculus)

### ■ Chinese Mathematics:

- Highly practical and algorithmic approach.
- Sophisticated methods for solving **linear systems** (Gaussian elimination)
- Accurate  $\pi$  approximations and solutions for **higher-degree equations**
- Independent discovery of **Pascal's triangle**

### ■ Japanese (Wasan) Mathematics:

- Unique development during isolation, often in the form of elegant geometric puzzles (**Sangaku**).
- Advanced concepts like **determinants** and early calculus methods (*enri*) by Seki Takakazu





## Global contributions to early mathematics

*These rich and diverse traditions demonstrate that fundamental mathematical ideas emerged independently across different cultures, often driven by unique intellectual and practical needs, contributing immensely to the global tapestry of mathematical knowledge*

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## References

The lesson is based on:

- *Carl B. Boyer, A History of Mathematics, John Wiley & Sons (1968)*

While it is not difficult to find resources on Indian and Chinese Mathematics, access to the original sources or to reasonable translations is difficult

A beautiful book about Japanese Mathematics is *Fukagawa Hidetoshi, Tony Rothman, Sacred Mathematics: Japanese Temple Geometry, Princeton University Press (2008)*





# History of Mathematics: Lecture 6

## Syllabus:

The Islamic Golden Age

- A bridge of knowledge and innovation



## The Islamic Golden Age

**A period of unprecedented intellectual flourishing:**

- Spanning roughly the **8<sup>th</sup>** to the **14<sup>th</sup>** centuries CE
- Characterised by the collection, translation, and vigorous advancement of knowledge from diverse cultures
- Mathematics was a central pillar, fuelled by religious (e.g., qibla, inheritance), administrative (e.g., taxation, land division), and scientific (e.g., astronomy) needs





## The historical context

### **The rise of the Islamic empire:**

- Rapid expansion from the 7<sup>th</sup> century CE, connecting vast regions from Spain to India
- This created a unique cultural melting pot, bringing together Persian, Indian, Greek, and other intellectual traditions





# The Abbasid caliphate

## The Abbasid Caliphate (~750–1258 CE):

- Shifted political power eastward from Damascus to Baghdad
- Patronised learning, science, and the arts as a matter of state policy
- Sought to consolidate knowledge from across their vast empire and beyond



The Abbasid Caliphate at its height





## Baghdad

### Baghdad: The intellectual capital

- Founded in 762 CE, it quickly became the largest city in the world and a centre of commerce and learning
- Home to scholars from diverse backgrounds and faiths, fostering an environment of open inquiry

**A civilisation built on knowledge:** The political stability and active patronage created fertile ground for intellectual pursuits, particularly in mathematics and astronomy

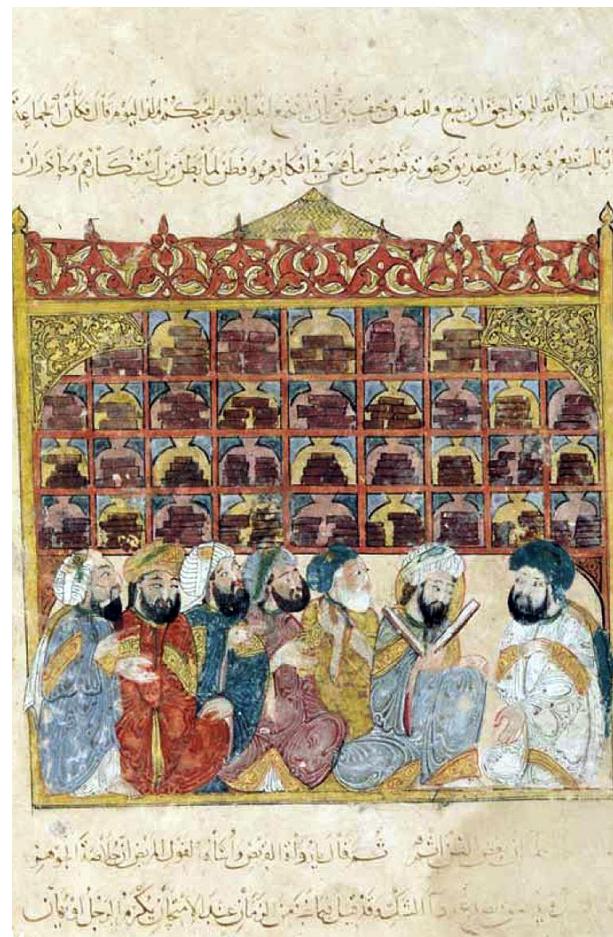




## The House of Wisdom

### The House of Wisdom (Bayt al-Hikmah):

- Established in Baghdad by Caliph Harun al-Rashid, expanded significantly under Caliph al-Ma'mun (~early 9<sup>th</sup> century CE)
- More than just a library: it was an academy, translation bureau, and research centre
- Attracted scholars (mathematicians, astronomers, physicians, philosophers) from across the empire and beyond



The House of Wisdom





## The translation movement

- A massive, systematic effort to translate ancient texts into Arabic
- **Greek texts**: Euclid's "Elements", Ptolemy's "Almagest", Archimedes' works, Diophantus's "Arithmetica"
- **Indian texts**: Siddhantas (astronomical treatises), mathematical works on decimal system and algebra
- **Persian texts**: Astronomical tables and other scientific works
- Funded lavishly; translators were highly respected and well-paid

**More than just translation**: Scholars didn't just translate; they **commented, critiqued, synthesised**, and **expanded** upon the ancient knowledge, often correcting errors and resolving inconsistencies. This was the start of original research

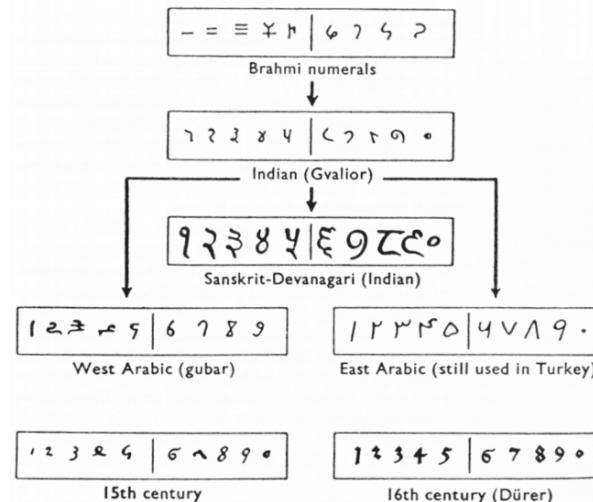




# The Hindu-Arabic numeral system

## The power of a symbol system:

- Recall the Indian invention of the **decimal place-value system** and the concept of **zero**
- Islamic scholars recognised its immense superiority over existing numeral systems (e.g., Roman numerals, Greek alphabetic numerals)
- Allowed for vastly more efficient arithmetic operations (addition, subtraction, multiplication, division)



Evolution of Hindu-Arabic Numerals (via Islamic scholarship)





# The Hindu-Arabic numeral system

## Adoption and transmission:

- Introduced to the Islamic world primarily through translations of Indian astronomical and mathematical texts (e.g., Brahmagupta's works)
- Al-Khwarizmi's text, *Book on Addition and Subtraction after the Method of the Hindus* (~825 CE), was crucial
- This system was then transmitted to Europe through trade, scholarship, and conquest (e.g., through Islamic Spain, Sicily)
- It took centuries for Europe to fully adopt it over Roman numerals

## Impact on calculation:

- Made long multiplication and division practical
- Facilitated the development of commercial arithmetic and advanced astronomical calculations
- Paved the way for decimal fractions





## Muhammad ibn Musa al-Khwarizmi

**A scholar of the House of Wisdom (~780–850 CE):**

- Persian mathematician and astronomer, active in the House of Wisdom in Baghdad
- His two most influential works profoundly shaped global mathematics:
  1. *Kitāb al-mukhtaṣar fī ḥisāb al-jabr wa'l-muqābala*  
(The Compendious Book on Calculation by Completion and Balancing)
  2. *Kitāb al-Jam wa'l-tafrīq bi-ḥisāb al-Hind*  
(Book on Addition and Subtraction after the Method of the Hindus)





## Muhammad ibn Musa al-Khwarizmi

### The origin of algebra:

- The term “**Algebra**” comes from the Arabic title of his first book, *al-jabr*
- *Al-jabr*: “completion” or “restoration”, referring to transposing negative terms to the other side of an equation to make them positive, e.g.,  
 $x - 2 = 5 \implies x = 5 + 2$
- *Al-muqābala*: “balancing” or “reduction”, referring to combining like terms on opposite sides of an equation, e.g.,  $2x + 5 = x + 7 \implies x + 5 = 7$





## Muhammad ibn Musa al-Khwarizmi

### The origin of algorithms:

- The term “**Algorithm**” derives from the Latinisation of Al-Khwarizmi’s name: “**Algorismi**”
- It refers to any systematic procedure for solving a mathematical problem
- His second book, describing arithmetic with Indian numerals, was foundational for teaching these “algorithms”

**A new mathematical discipline:** Al-Khwarizmi defined **algebra** as a distinct mathematical discipline, systematically solving linear and quadratic equations, moving beyond specific problems to general methods





## Systematic approach to quadratic equations

### Classification of quadratics:

- Al-Khwarizmi recognised three canonical forms of quadratic equations (all terms positive, as negative numbers were not yet fully accepted in this context for solutions):
  1. “Squares equal to roots”:  $ax^2 = bx$ , e.g.,  $x^2 = 5x$
  2. “Squares equal to numbers”:  $ax^2 = c$ , e.g.,  $x^2 = 9$
  3. “Roots equal to numbers”:  $bx = c$ . e.g.,  $3x = 12$
- And three mixed cases:
  4. “Squares and roots equal to numbers”:  $ax^2 + bx = c$ , e.g.,  $x^2 + 10x = 39$
  5. “Squares and numbers equal to roots”:  $ax^2 + c = bx$ , e.g.,  $x^2 + 21 = 10x$
  6. “Roots and numbers equal to squares”:  $bx + c = ax^2$ , e.g.,  $3x + 4 = x^2$





## Systematic approach to quadratic equations

**Solution Method** (Example:  $x^2 + 10x = 39$ ):

- His methods were described in words (rhetorical algebra), not symbols
- He provided **geometric proofs** for the solutions
- For  $x^2 + 10x = 39$ :
  1. “Halve the number of roots, which is 5. Multiply this by itself, 25. Add this to 39, which is 64. Take the root, 8. Subtract half the number of roots, 5. The result is 3”
  2. Thus,  $x = 3$ . (Equivalent to  $x = \sqrt{(10/2)^2 + 39} - (10/2)$ )



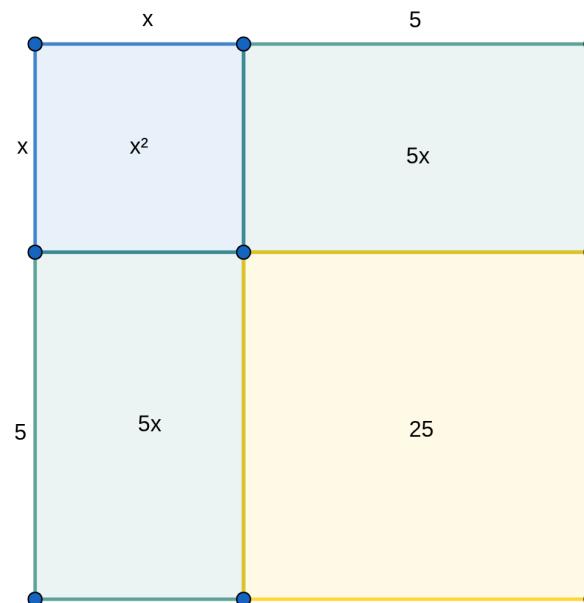


## Systematic approach to quadratic equations

### Geometric justification

(completing the square):

- Represent  $x^2$  as a square,  $10x$  as two rectangles (5x each)
- “Complete the square” by adding a square of area  $5^2 = 25$
- The total area is  $x^2 + 10x + 25 = (x + 5)^2 = 39 + 25 = 64$
- So,  $x + 5 = \sqrt{64} = 8 \implies x = 3$



Al-Khwarizmi's geometric method for  $x^2 + 10x = 39$





## Advanced algebra: Beyond the quadratic

**Omar Khayyam** (~1048–1131 CE):

- Persian polymath: mathematician, astronomer, philosopher, and poet
- Wrote *Treatise on Demonstration of Problems of Algebra*, classifying and solving **cubic equations**
- His method was primarily **geometric**: finding the roots as the intersection points of conic sections (parabolas, hyperbolas, circles)
- Recognised that cubics could have multiple roots and sometimes no positive

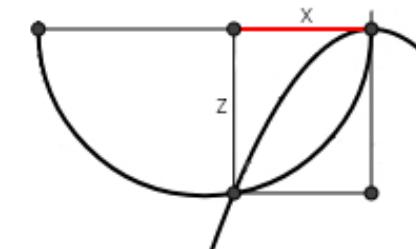




## Advanced algebra: Beyond the quadratic

**Example:** Solving  $x^3 + bx = a$  geometrically

- First, the equation is rewritten as  $x^3 + B^2x = B^2A$  where  $B = \sqrt{b}$  and  $A = a/b$
- Khayyam would find the intersection of a parabola  $x^2 = By$  and the semi-circle of diameter  $A$  as in figure
- The intersection point individuates the length  $x$  in red, which is a root, and the height  $z = x^2/B$
- Then  $(A - x)/z = z/x$  because the diameter and the intersection point form a right triangle
- Hence  $B(A - x)/x^2 = x/B$ , i.e.,  $x^3 + B^2x = B^2A$



This linked algebra to geometry in a powerful way, extending methods from the Greeks.

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## Advanced algebra: Beyond the quadratic

**Sharaf al-Dīn al-Tūsī** (~1135–1213 CE):

- Persian mathematician
- Developed a truly **algebraic method** for solving cubic equations that involved finding the maximum value of a cubic polynomial
- This implied an early understanding of **derivatives** and polynomial roots, a significant step towards algebraic calculus

**Bridging geometry and algebra:** These methods pushed the boundaries of algebra by employing both geometric visualisation and new analytical techniques to solve problems previously intractable





## Number theory and combinatorics

**Thabit ibn Qurra** (~826–901 CE): Amicable numbers

- A prominent scholar of the House of Wisdom
- Made significant contributions to number theory, geometry, and astronomy
- Discovered a theorem for finding **amicable numbers**
- **Amicable numbers** Two different numbers such that the sum of the proper divisors of each equals the other
  - Example: 220 and 284
  - Proper divisors of 220: 1, 2, 4, 5, 10, 11, 20, 22, 44, 55, 110. Sum = 284
  - Proper divisors of 284: 1, 2, 4, 71, 142. Sum = 220
- Thabit's formula: If  $p = 3 \cdot 2^{n-1} - 1$ ,  $q = 3 \cdot 2^n - 1$ ,  $r = 9 \cdot 2^{2n-1} - 1$  are all prime numbers for  $n > 1$ , then  $2^n pq$  and  $2^n r$  are amicable numbers





## Number theory and combinatorics

### Combinatorics: Binomial coefficients and Pascal's triangle

- Recall the “Yang Hui’s triangle” from Chinese mathematics
- Islamic mathematicians also studied arrangements and combinations, essential for cryptography, grammar, and astronomy
- **Al-Karaji** (~953–1029 CE): Extended algebraic operations to powers and roots, using a form of the binomial theorem for integer exponents
- **Al-Samaw’al** (~1130–1180 CE): Further developed work on polynomial division and negative powers
- **Al-Kashi** (~1380–1429 CE): Explicitly presented a version of **Pascal’s triangle** for calculating binomial coefficients, long before Pascal

**From number patterns to general rules:** These contributions demonstrate a sophisticated understanding of number theory and combinatorial principles that anticipated later European developments





# The full development of trigonometry

## Beyond chords: From India to a complete system

- Recall Greek trigonometry (chords) and Indian trigonometry (sine/cosine)
- Islamic scholars translated and absorbed both traditions, then significantly expanded upon them
- Driven by the needs of astronomy (celestial navigation, calculating qibla direction), they systematised and generalised trigonometric concepts

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## Key figures and innovations:

- **Al-Battani**  
(~858–929 CE, “Albategnius”):
  - Introduced the use of the **sine and tangent functions** systematically
  - Created the first tables for all sine and tangent values from  $0^\circ$  to  $90^\circ$  at  $1^\circ$  intervals
  - Used trigonometric ratios in his astronomical calculations
- **Abu al-Wafa' al-Buzjani**  
(~940–998 CE):
  - Introduced the **secant and cosecant functions**
  - Developed improved methods for constructing sine tables





# The full development of trigonometry

## Modern trigonometry emerges:

- By the 10<sup>th</sup> century, Islamic mathematicians had defined **all six trigonometric functions** (sine, cosine, tangent, cotangent, secant, cosecant)
- They established the fundamental **relationships between these functions** (e.g.,  $\tan x = \sin x / \cos x$ )
- Developed highly accurate trigonometric tables

## Spherical trigonometry:

- Crucial for astronomy and calculating directions on the Earth's surface (e.g., direction to Mecca, the **qibla**)
- Developed the **law of sines for spherical triangles** and the laws of cosines for spherical triangles
- **Nasir al-Din al-Tusi** (~1201–1274 CE): Wrote the first treatise on trigonometry independent of astronomy

**A foundation for modern calculation:** The complete system of trigonometry developed in the Islamic world was a direct precursor to the European rebirth of the field and enabled precise calculations in navigation and astronomy





## Constructions and insights into Euclid

### Refining Euclidean geometry:

- Islamic scholars rigorously studied, translated, and commented on Euclid's "Elements"
- Many mathematicians attempted to "prove" Euclid's controversial **Parallel postulate**

### The parallel postulate and its implications:

- "If a straight line falling on two straight lines makes the interior angles on the same side less than two right angles, the two straight lines, if produced indefinitely, meet on that side on which are the angles less than the two right angles"
- Attempts to prove it led to defining what we now call **Saccheri quadrilaterals** and exploring alternatives





## Constructions and insights into Euclid

- **Omar Khayyam** (11<sup>th</sup> CE) and **Nasir al-Din al-Tusi** (13<sup>th</sup> CE) constructed various equivalent statements and explored the consequences of denying it
- Their work laid crucial groundwork for the later development of **Non-Euclidean geometries** in the 19<sup>th</sup> century





# Constructions and insights into Euclid



Islamic geometric patterns: mathematics as art

## Geometric constructions and transformations:

- Developed sophisticated geometric constructions, often using straightedge and compass
- Investigated geometric transformations (rotations, reflections, translations) in relation to art and architecture (e.g., tessellations, patterns in mosques)
- **Ibn al-Haytham** (~965–1040 CE, “Alhazen”):
  - Pioneer in optics, solving “Alhazen’s problem” (finding the point on a spherical mirror where light reflects to a given point). This involved solving a fourth-degree equation
  - His geometrical work on optics had profound influence
- Islamic geometry was not only theoretical but also deeply integrated into art, architecture, and practical engineering





# Astronomy

## Astronomy as a scientific imperative:

- Religious obligations (prayer times, qibla direction, lunar calendar) provided strong motivation for accurate astronomy
- Navigational needs for trade and pilgrimage
- Islamic astronomers built upon Greek (Ptolemy) and Indian (Siddhantas) models, making extensive new observations

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## New observatories and instruments:

- Established sophisticated observatories (e.g., Maragha, Samarkand), funded by rulers
- Developed highly accurate instruments:
  - **Astrolabe**: Used for solving astronomical problems (e.g., finding time, latitude, positions of stars)
  - **Quadrant and sextant**: For measuring angles of celestial bodies
- These required advanced mathematical understanding for their design and use





## Astronomy

### Refining astronomical models:

- Critiqued and improved Ptolemaic models, sometimes proposing alternatives to geocentric models
- **Al-Biruni** (~973–1048 CE):
  - Polymath, made significant contributions to geography (measured Earth's radius accurately), astronomy, and mapping
  - Discussed Earth's rotation
- **Al-Tusi's couple**: A geometric construction that explained the linear motion from two circular motions, used to replace the equant in Ptolemaic models



An intricately crafted Islamic astrolabe

**Mathematics as the language of the cosmos**: Mathematical tools were indispensable for unravelling the mysteries of the universe, leading to increasingly precise understanding of celestial mechanics





# A global catalyst for mathematics

## From preservation to illumination and transmission

### ■ Guardians of ancient knowledge:

- Preserved, translated, and critically engaged with Greek (Euclid, Ptolemy, Archimedes) and Indian (decimal system, algebra) mathematical texts
- Acted as the vital intellectual bridge between antiquity and the European Renaissance

### ■ Revolutionary innovations:

- **Algebra** established as a distinct field (Al-Khwarizmi)
- Widespread adoption and spread of the **Hindu-Arabic numeral system and zero**
- Development of the **full system of trigonometry** (all six functions, spherical trigonometry)
- Advances in number theory (amicable numbers, Thabit ibn Qurra) and methods for solving **higher-degree equations** (Omar Khayyam, Sharaf al-Din al-Tusi)
- Proto-concepts of **non-Euclidean geometry** and **calculus**





# A global catalyst for mathematics

## From preservation to illumination and transmission

- **Interdisciplinary excellence:**

- Deep integration of mathematics with astronomy, engineering, optics, and architecture
- Development of advanced scientific instruments and observatories

*The mathematical achievements of the Islamic Golden Age were not merely a re-telling of past ideas, but a dynamic crucible of original thought, refinement, and transmission that fundamentally shaped the course of global scientific progress for centuries*





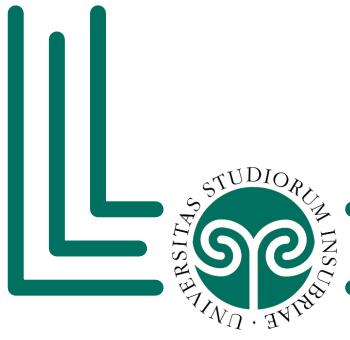
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An introduction to the history of algebra for laymen, yet very well written is *John Derbyshire*, Unknown Quantity: A Real and Imaginary History of Algebra, Penguin (2007)





# History of Mathematics: Lecture 7

## Syllabus:

- The Middle Ages
- The Renaissance



## European mathematics: The middle ages

Beyond the “dark ages” myth: preservation and practicality

What was the European middle ages?

- Period between the fall of the Western Roman empire and the Renaissance (~500–1400 CE)
- Characterised by localised political structures, the rise of Christianity, and evolving social systems

Mathematics in the “dark ages”?

- The term “dark ages” is largely a misnomer, especially for intellectual life
- **Original mathematical innovation was limited**, particularly compared to the Islamic world or ancient Greece/India
- Focus was on **preservation**, basic utility, and theological interpretation of classical knowledge





## Medieval mathematical endeavours

### Centres of learning:

- **Monasteries and Cathedrals:** Key repositories of knowledge, copying manuscripts (including classical texts)
- **Early universities (11<sup>th</sup>–12<sup>th</sup> CE onwards):** Formed in Bologna, Paris, Oxford; initially focused on theology, law, medicine, and the liberal arts
- Mathematics taught as part of the **Quadrivium** (arithmetic, geometry, astronomy, music) within the seven liberal arts



Medieval Scriptorium: Preserving knowledge through copying





# Medieval mathematical endeavours



University of Bologna

## Key practical applications:

- **Computus:** The complex calculation of the date of Easter, requiring astronomical and arithmetical knowledge
- **Rudimentary arithmetic:** For commerce, accounting, and basic surveying
- **The abacus:** Remained the primary computational tool, often using Roman numerals

**Limited innovation, vital preservation:** While not a period of dramatic mathematical breakthroughs in Europe, the Middle Ages were crucial for preserving a fragmented classical heritage and maintaining a foundational level of mathematical literacy





## Translation from Arabic to Latin

### The intellectual gap:

- After the fall of Rome, much of the advanced Greek and Indian mathematical knowledge was lost to Western Europe
- Meanwhile, the Islamic world had preserved, translated, and significantly advanced this knowledge (as we've seen!)

### Translation centres

(11<sup>th</sup>–13<sup>th</sup> centuries CE):

- **Toledo, Spain**: After its reconquest from Muslim rule, became a major hub for translation
- **Sicily**: Another important point of cultural and intellectual exchange
- Scholars (often Christian, some Jewish) travelled to these centres to learn Arabic and translate texts





## Translation from Arabic to Latin

### Key translators:

- **Adelard of Bath** (~1080–1152 CE):
  - Translated Euclid's "Elements" (first Latin translation from Arabic)
  - Introduced Hindu-Arabic numerals to England
- **Gerard of Cremona** (~1114–1187 CE):
  - The most prolific translator, translating over 70 Arabic books into Latin
  - Included Ptolemy's "Almagest", Al-Khwarizmi's "Algebra", and works by Archimedes, Hippocrates, and others

**Re-awakening European thought:** This massive influx of sophisticated mathematical, astronomical, and philosophical texts from the Islamic world was arguably the single most important factor in the subsequent intellectual awakening of Europe





## The impact of Hindu-Arabic numerals

### A slow revolution:

- The Hindu-Arabic numeral system (including zero and place value) was known in Europe from the 10<sup>th</sup>–11<sup>th</sup> centuries (Gerbert of Aurillac, Adelard of Bath)
- However, its adoption was **very slow** due to:
  - Entrenched use of Roman numerals and abacus
  - Distrust of foreign methods (“devil’s arithmetic”)
  - Lack of a centralised authority to mandate change





## The impact of Hindu-Arabic numerals

**Leonardo Fibonacci** (~1175–1250 CE):

- Born in Pisa, Italy (a major trading centre)
- Travelled extensively in the Mediterranean (North Africa, Middle East), learning mathematical methods
- His most famous work: *Liber Abaci*, Book of calculation (1202)





## The impact of Hindu-Arabic numerals

### *Liber Abaci's impact:*

- A comprehensive treatise on arithmetic and algebra extensively using Hindu-Arabic numerals
- Introduced the system to a wider European audience through numerous practical examples (commerce, weights, measures, proportions)
- Demonstrated the system's superior efficiency compared to Roman numerals for merchants and bankers
- Also contained the famous “Fibonacci sequence” (though not its primary purpose)



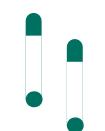


# The impact of Hindu-Arabic numerals



A page from Fibonacci's Liber Abaci

**Laying the foundations for modern arithmetic:** Fibonacci's "Liber Abaci" was a critical step in the eventual triumph of the Hindu-Arabic numeral system in Europe, revolutionising calculation





## The Renaissance

**What was the Renaissance?** A new spirit of inquiry (~14<sup>th</sup>–17<sup>th</sup> century)

- Literally “rebirth” (French)
- Period of intense cultural, artistic, political, and scientific “rebirth” in Europe, following the Middle Ages
- Began in **Italy** (14<sup>th</sup> century, Florence), then spread across Europe

**A new intellectual climate:**

- **Humanism:** Renewed focus on human potential, achievements, and the study of classical (Greek and Roman) texts directly
- **The printing press** (Gutenberg, ~1440): Revolutionised the dissemination of knowledge, making mathematical texts more widely available with respect to previous times
- **Emphasis on observation & practicality:** Growing interest in describing and understanding the natural world, engineering, commerce, and art with precision





## Mathematics in art

**The challenge for artists:**

- How to represent three-dimensional space and objects accurately on a two-dimensional surface?
- Medieval art often lacked realistic depth

**Filippo Brunelleschi**

(~1377–1446 CE):

- Florentine architect and engineer
- Credited with demonstrating the principles of **linear perspective** around 1415
- Used mathematical principles (geometry, optics) to create a consistent illusion of depth

**Piero della Francesca**

(~1415–1492 CE):

- Painter and mathematician
- Applied rigorous mathematical principles to his paintings, showcasing perfect perspective
- Wrote treatises on perspective and solid geometry





## Mathematics in art

**Leon Battista Alberti** (~1404–1472 CE):

- Architect and theorist
- Codified the rules of linear perspective in his treatise *De pictura* (On Painting, 1435)
- Described how to use a single “vanishing point” to create proportional recession

**Albrecht Dürer** (~1471–1528 CE):

- German painter, print maker, and theorist
- Wrote influential books on geometry and perspective, making these ideas accessible
- Developed methods for drawing various objects in perspective

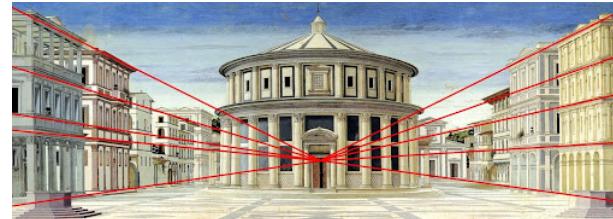


Diagram illustrating principles of linear perspective

**A practical Renaissance for geometry:** The quest for realistic representation in art directly spurred a renewed interest in and practical application of geometry, laying groundwork for later advancements in projective geometry





## The Italian algebra school

### The Mathematical challenge:

- For centuries, mathematicians had systematically solved linear and quadratic equations (e.g., from Babylonians, Greeks, Islamic scholars)
- The **cubic equation** ( $ax^3 + bx^2 + cx + d = 0$ ) remained intractable
- No general algebraic formula was known for its roots.

### The “Scuola d’Algebra”

(School of Algebra):

- Flourished in 16<sup>th</sup> century Italy, driven by public challenges and intellectual rivalry
- These mathematicians were often independent scholars, teachers, and sometimes associated with universities
- The quest to solve the cubic became a highly competitive secret





# The Italian algebra school



Key cities of the Italian Algebra School

## Why the secrecy?

- Knowing how to solve cubics offered prestige and financial advantage (e.g., solving problems for patrons, winning public debates)
- Formulas were kept secret to maintain competitive edge

**A period of intense discovery:** The 16<sup>th</sup> century in Italy saw a dramatic shift in algebra, moving beyond classical geometric methods to seek general algebraic solutions





## The cubic equation

**Scipione del Ferro** (~1465–1526 CE):

The first solver

- Professor at the University of Bologna
- Secretly discovered a general method to solve one form of the cubic equation:  $x^3 + px = q$
- Did not publish his method, but shared it with a few students, including Antonio Fiore

**Niccolò Fontana Tartaglia** (~1500–1557 CE):

The public solver

- Re-discovered the solution to the cubic  $x^3 + px = q$  (and  $x^3 + q = px$ )
- Famous for winning public mathematical contests, notably against Fiore, by solving cubics
- Initially refused to share his secret formula



Niccolò Fontana Tartaglia





## The cubic equation

**Gerolamo Cardano** (~1501–1576 CE):

The publisher

- Physician, astrologer, and brilliant mathematician
- Persuaded Tartaglia to share his secret formula under an oath of secrecy
- Later discovered (through del Ferro's papers) that Tartaglia was not the first, feeling released from his oath
- Published the formula in his groundbreaking book, **Ars Magna** (The Great Art), in 1545



Gerolamo Cardano





## The cubic equation

**Cardano's formula** (for  $x^3 + px = q$ ):

$$x = \sqrt[3]{\frac{q}{2} + \sqrt{\left(\frac{q}{2}\right)^2 + \left(\frac{p}{3}\right)^3}} + \sqrt[3]{\frac{q}{2} - \sqrt{\left(\frac{q}{2}\right)^2 + \left(\frac{p}{3}\right)^3}}$$

This formula revealed a profound, complex challenge for numbers!

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## The quartic equation

**Ludovico Ferrari** (~1522–1565 CE):

The quartic solver

- Cardano's student
- Building on the cubic solution, he discovered a method to solve the **quartic equation**  $(ax^4 + bx^3 + cx^2 + dx + e = 0)$
- His method involved reducing the quartic to a cubic, thus relying on Cardano's formula
- This was also published in Cardano's *Ars Magna*

**The “irreducible case” of the cubic:**

- A major problem arose when applying Cardano's cubic formula to equations like  $x^3 - 15x = 4$
- The formula yields:  
$$x = \sqrt[3]{2 + \sqrt{-121}} + \sqrt[3]{2 - \sqrt{-121}}$$
- This involves square roots of negative numbers, even though the equation has three **real** roots  $(x = 4, x = -2 + \sqrt{3}, x = -2 - \sqrt{3})$
- This forced mathematicians to confront these “imaginary” quantities





## The quartic equation

**Rafael Bombelli** (~1526–1572 CE):

Embracing the impossible

- Engineer and mathematician
- In his *L'Algebra* (1572), he systematically introduced and operated with square roots of negative numbers
- He showed how these “impossible” numbers could combine to yield real solutions for the cubic equation
- Example: He demonstrated that  $(\sqrt[3]{2 + \sqrt{-121}})$  could be expressed in terms of  $(2 + \sqrt{-1})$  and its conjugate
- This was the true **birth of complex numbers** as a necessity for algebra

**From algebraic necessity to new numbers:** The solution of the cubic equation, paradoxically, forced mathematicians to acknowledge and work with numbers previously deemed impossible, fundamentally expanding the concept of “number”

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## The dawn of symbolic algebra



François Viète

**François Viète (~1540–1603 CE):**

- Lawyer and advisor to French kings, but a dedicated mathematician
- Recognised the limitations of rhetorical (word-based) and syncopated (abbreviated) algebra
- His major work: *In Artem Analyticam Isagoge* (Introduction to the Analytical Art, 1591)





## The dawn of symbolic algebra

### The revolution of symbolic algebra:

- Introduced the use of **letters for both known quantities** (coefficients) (vowels: A, E, I, O, U) **and unknown quantities** (variables) (consonants: B, C, D...)
- Allowed for the expression of **general formulas** and theorems, not just solutions to specific numerical problems
- Example: Instead of “the cube plus six times the side equals twenty”, write  $B^3 + 6B = 20$  (if  $B$  is the unknown)
- This was a massive conceptual leap, abstracting algebra from specific numbers and from a strict geometrical interpretation





## The dawn of symbolic algebra

### Viète's contributions beyond notation:

- He developed a number of relationships between the roots and coefficients of polynomial equations
- Used his new notation to simplify and systematise many algebraic and trigonometric problems
- He is also known for his work on computing  $\pi$  using infinite products (Viète's formula)

**A foundation for modern algebra:** Viète's symbolic notation was pivotal. It transformed algebra into a powerful abstract tool, enabling the work of Descartes, Fermat, and the later development of calculus





# Renaissance geometry

## Beyond perspective:

### Other geometric explorations

- While linear perspective was a major driver, Renaissance mathematicians also continued to study classical Euclidean geometry
- **Albrecht Dürer** (~1471–1528 CE):
  - Beyond art, his books (*Underweysung der Messung*, 1525) systematically explored practical geometry
  - Covered polygons, curves, solids, and methods for projections (**descriptive geometry**)
- Emphasis shifted from purely abstract proofs (though still valued) to precise construction and application



Albrecht Dürer's geometric constructions





## Early scientific connections

### **Mathematics: The language of the universe**

- A profound philosophical shift occurred: the belief that the universe was ordered mathematically
- This idea, present in ancient Greece, was powerfully re-asserted
- Mathematicians were central to the new science





## Early scientific connections

### Early scientific revolution figures:

- **Nicolaus Copernicus** (~1473–1543 CE): Used advanced geometry and trigonometry to develop his heliocentric model
- **Johannes Kepler** (~1571–1630 CE): Derived his laws of planetary motion from astronomical observations using geometric and algebraic methods
- **Galileo Galilei** (~1564–1642 CE): Applied mathematical principles to physics, describing motion with algebraic formulas and geometric diagrams

**From abstract to applied:** Mathematics was increasingly seen as the essential tool for understanding and describing the physical world, driving a convergence of theory and experiment





# The invention of logarithms

## **The problem: Astronomical calculations**

- By the late Renaissance, astronomical data and calculations (multiplication of large numbers, division, finding roots) were becoming incredibly complex and time-consuming
- The need for a way to simplify these computations was immense





# The invention of logarithms



John Napier, inventor of logarithms

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**John Napier** (~1550–1617 CE):  
The Scottish Baron

- Published his work *Mirifici Logarithmorum Canonis Descriptio* (Description of the Wonderful Canon of Logarithms) in 1614
- Developed logarithms based on a mechanical concept of points moving along lines
- His initial logarithms were “Napierian” (base  $1/e$ )





## The invention of logarithms

**Jost Bürgi** (~1552–1632 CE):

The Swiss clock maker

- Independently developed logarithms around the same time as Napier
- His tables were published later (1620)

**Henry Briggs** (~1561–1630 CE):

Towards base 10

- Collaborated with Napier to convert his logarithms to the more practical **base 10**
- This form became the standard for practical computation

**The ultimate calculator of its time:** Logarithms transformed calculation, making complex multiplication and division into simple addition and subtraction. They were indispensable for astronomy, navigation, and engineering for centuries





## Conclusion: From recovery to revolution

### Laying the groundwork for modern mathematics

#### ■ The Middle Ages:

- A period of crucial **preservation** of classical texts
- The vital **translation movement** from the Islamic world re-introduced advanced knowledge to Europe
- Gradual adoption of **Hindu-Arabic numerals** (Fibonacci)

#### ■ The Renaissance:

- Fostered by a new spirit of humanism, observation, and practicality (printing press)
- **Linear perspective** in art spurred geometric advancements
- Dramatic breakthroughs in **algebra**: solving cubic and quartic equations (Italian School)
- The necessary emergence of **complex numbers** (Bombelli)
- The invention of **symbolic algebra** (Viète), a fundamental conceptual leap
- The revolutionary computational tool of **logarithms** (Napier)





## Conclusion: From recovery to revolution

### Laying the groundwork for modern mathematics

- **Mathematics as the Language of Science:**

- Became central to understanding the natural world, fuelling the nascent Scientific Revolution (Copernicus, Kepler, Galileo)

*This period transformed mathematics from a fragmented heritage into a vibrant, abstract, and powerfully applied discipline, ready for the explosion of analytical geometry and calculus in the 17<sup>th</sup> century*





## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

Our account omitted many important mathematicians, and skipped over many important themes. We signal *Luca Pacioli*, *Summa de arithmeticā geometriā proportioni et proportionalitā* (1494), which is a sort of encyclopedia of Mathematics of its time. It was a very expensive book (Leonardo da Vinci paid 16 *soldi* for his copy), but superbly complete





# History of Mathematics: Lecture 8

## Syllabus:

Mathematics in the 17<sup>th</sup> century

- The dawn of modernity



## Revolutionary ideas and foundational tools

### A pivotal era:

- The 17<sup>th</sup> century (roughly 1600–1700 CE) stands as a watershed moment in the history of mathematics
- It saw the invention of radically new mathematical fields and powerful tools that remain central to science and engineering today
- It was an era marked by profound intellectual shifts and unprecedented scientific discovery





# The scientific revolution

## Astronomical transformation:

- Building on Copernicus: **Johannes Kepler's** laws of planetary motion (early 17<sup>th</sup> century)
  - Described elliptical orbits and variable speeds
  - Required more sophisticated mathematical tools
- **Galileo Galilei's** telescopic observations and work on mechanics
  - Challenged Aristotelian physics
  - Emphasised observation and mathematical description of natural phenomena



Key figures of the Scientific Revolution: Kepler, Newton, Galileo





## The scientific revolution

### The birth of modern physics:

- The century culminates with **Isaac Newton's *Principia Mathematica* (1687)**
  - Unified celestial and terrestrial mechanics under universal laws
  - Expressed these laws in the language of mathematics
- This required tools to describe **change, motion, rates, and accumulation** — setting the stage for calculus

### Philosophical currents:

- **Rationalism** (e.g., René Descartes): Emphasised reason, deduction, and mathematical clarity as paths to knowledge
- **Empiricism** (e.g., Francis Bacon, John Locke): Stressed observation and experimentation, often leading to data that needed mathematical analysis
- These philosophies promoted mathematics as the **fundamental language of nature**





# The rise of scientific societies



The Royal Society of London



Accademia del Cimento in Florence

## Institutionalisation of Science:

- Establishment of formal scientific societies across Europe: for example
  - **Accademia del Cimento** (Florence, 1657)
  - **Royal Society of London** (1660)
  - **Académie des Sciences** (Paris, 1666)
- Provided platforms for collaboration, publication, peer review, and funding
- Fostered a competitive yet collaborative environment for mathematical and scientific discovery





## The need for computation

### The growing demand for calculation:

- The new astronomy and physics required increasingly complex and precise calculations (e.g., planetary orbits, trajectories)
- Navigation and commerce also demanded better tools for calculation
- While logarithms were a great leap, there was a drive for even more efficient methods and theoretical foundations for understanding continuous change
- This practical need, plus philosophical inquiry, set the stage for calculus

**A century primed for mathematical revolution:** The intellectual climate, scientific challenges, and institutional support of the 17<sup>th</sup> century created an unprecedented environment for mathematical innovation





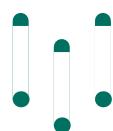
## René Descartes

**A giant of thought** (~1596–1650 CE):

- French philosopher, scientist, and mathematician
- Often called the “Father of Modern Philosophy”.
- His famous dictum: “*Cogito, ergo sum*” (“I think, therefore I am”)
- Emphasised **rationalism** and the pursuit of certainty through clear and distinct ideas, often inspired by mathematics



René Descartes





## René Descartes

### **The *Discourse on Method* (1637):**

- His seminal philosophical work
- Included three appendices, one of which was ***La Géométrie*** (The Geometry)
- This appendix, just 100 pages, profoundly transformed mathematics

### **Motivation for *La Géométrie*:**

- To demonstrate the power of his new philosophical method by applying it to mathematics
- To solve classical geometric problems using algebraic techniques
- To make geometry more systematic and less reliant on individual ingenuity





## René Descartes

### Descartes' vision:

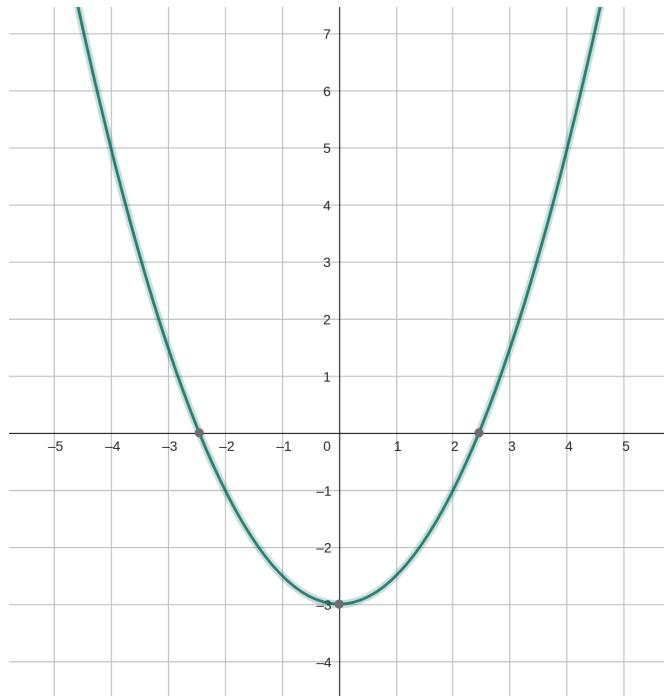
- To unify all scientific knowledge under a single, universal method based on mathematical reasoning
- Algebra, with its precise rules, offered the perfect tool for analysing and solving geometric problems

**The power of methodical thought:** Descartes sought to bring the clarity and certainty of arithmetic and algebra into geometry, creating a new way to understand shapes and spaces





# The Cartesian coordinate system



Illustrating the Cartesian coordinate system

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## The revolutionary idea:

- Descartes' key insight: Any point in a plane can be precisely located by a pair of numbers (coordinates)
- Any curve or geometric shape can then be represented by an **algebraic equation**
- Conversely, any algebraic equation in two variables can be represented as a geometric curve





# Unifying algebra and geometry

## Setting up the system

(Descartes' approach):

- Started with a single reference line (the  $x$ -axis).
- Distinguished lengths (variables  $x, y, z$ ) from fixed magnitudes (constants  $a, b, c$ )
- Used equations to define curves. For example, a circle centred at the origin:  $x^2 + y^2 = r^2$
- While not identical to modern orthogonal coordinates (he often used oblique axes), the fundamental principle was there

**Example:** Solving a geometric problem algebraically

- Instead of complex geometric constructions, problems could be translated into equations
- Find the intersection of two lines: Solve their two algebraic equations simultaneously
- Determine properties of a curve: Analyse its defining equation





## Unifying algebra and geometry

### Impact of this unification:

- Allowed for the application of powerful algebraic techniques to solve geometric problems that were previously very difficult or impossible
- Provided a systematic, analytical method for geometry, reducing reliance on visual intuition for complex cases
- Laid the essential groundwork for the development of **calculus**, which inherently deals with curves and their properties (tangents, areas)

**A synthesis that defined modern mathematics:** Analytical geometry bridged the gap between two previously distinct branches of mathematics, creating a powerful new analytical tool





## Pierre de Fermat

**A contemporary genius (~1601–1665 CE):**

- French lawyer and amateur mathematician
- Often called the “Prince of Amateurs” due to his profound contributions despite not being a professional academic
- Worked in relative isolation, communicating primarily through letters



Pierre de Fermat

**Independent discovery of analytical geometry:**

- Developed similar ideas to Descartes regarding the relationship between equations and curves
- His manuscript, *Ad locos planos et solidos isagoge* (Introduction to Plane and Solid Loci), was written around 1636, roughly concurrent with Descartes' work
- It was published posthumously in 1679





## Pierre de Fermat

**Fermat's other contributions** (prelude to calculus):

- Developed a method for finding the **maxima and minima** of functions by setting the derivative to zero (a precursor to differential calculus)
- Found methods for calculating **areas under curves** (a precursor to integral calculus)
- His work on tangents and quadratures directly influenced Newton





## Pierre de Fermat

**Fermat's legacy beyond analytical geometry:**

- **Number theory:** Famously known for “Fermat’s Last Theorem” and his work on prime numbers and divisibility
- **Probability theory:** Co-founded probability theory with Pascal through their correspondence on gambling problems
- **Optics:** Fermat’s principle of least time

**A quiet giant whose ideas resonated:** Fermat’s isolated brilliance led to discoveries that paralleled and often anticipated those of his contemporaries, profoundly influencing the trajectory of 17<sup>th</sup> century mathematics





## The problems leading to calculus

### The mathematical challenges of change and accumulation:

- The Scientific Revolution demanded tools to describe:
  - **Rates of change** (e.g., velocity, acceleration)
  - **Slopes of curves** at any point (e.g., trajectory of a projectile)
  - **Accumulation** of quantities (e.g., total distance travelled)
  - **Areas and volumes** of complex shapes
- Traditional Euclidean geometry and algebra were insufficient for these dynamic problems

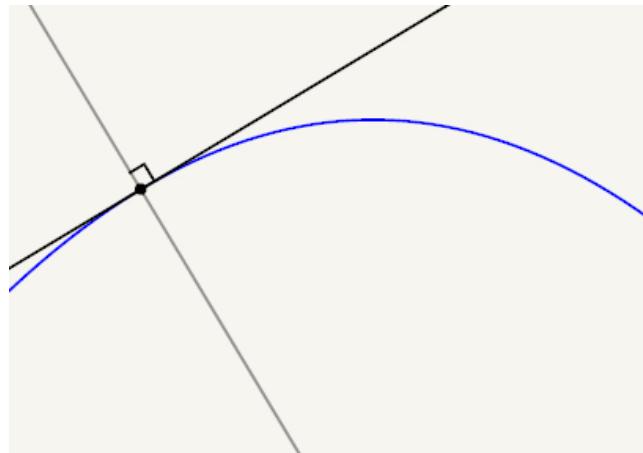




# The problems leading to calculus

## The Problem of Tangents (Differential Calculus):

- How to find the slope of a curve at any given point?
- For a circle, it was known, but for a parabola, ellipse, or any arbitrary curve?
- This problem relates directly to instantaneous rate of change

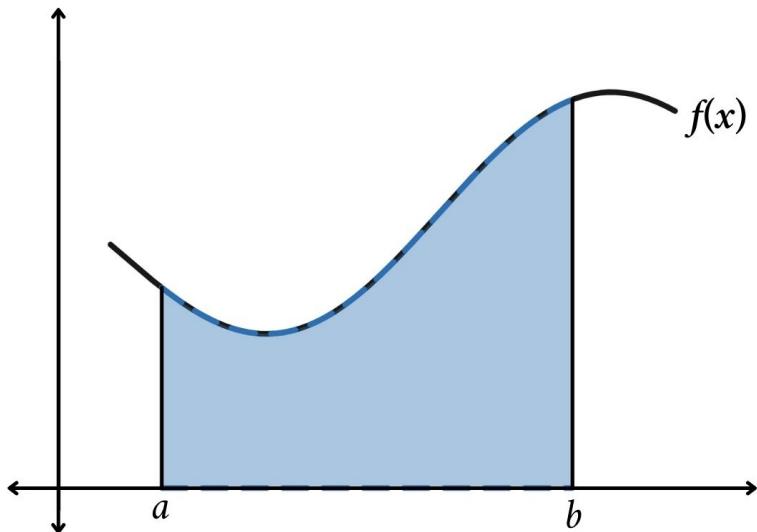


The challenge of finding the tangent to a curve





# The problems leading to calculus



The challenge of finding the area under a curve

## The Problem of Areas or Quadratures (Integral Calculus):

- How to find the area bounded by a curve (or curves)?
- For simple shapes (rectangles, triangles), formulas existed, but for complex or irregular curves?
- This problem relates to accumulation and total quantity
- Ancient Greek methods (like Archimedes' method of exhaustion) were limited and cumbersome



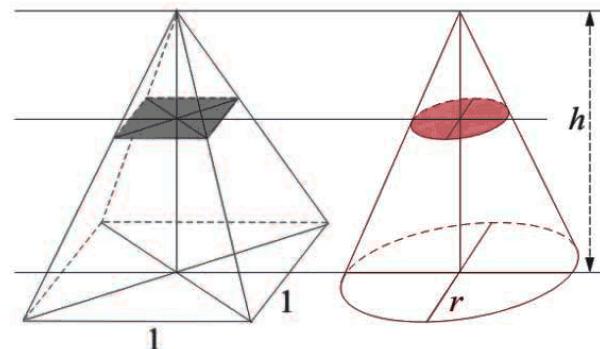


## Early precursors to calculus

**Bonaventura Cavalieri** (~1598–1647 CE):

Method of indivisibles

- Italian mathematician, influenced by Archimedes
- His *Geometria Indivisibilibus Continuorum Nova Quadam Ratione Promota* (1635) introduced the **method of indivisibles**
- Conceived of areas as made up of an infinite number of parallel line segments and volumes as made up of an infinite number of parallel planes
- Enabled calculation of areas and volumes for complex shapes (e.g., area under a parabola, volume of a sphere)
- A powerful heuristic, though lacked the rigour of later calculus



Cavalieri's principle for volumes





## Early precursors to calculus

**Pierre de Fermat** (revisited): Maxima, minima, and tangents

- As mentioned before, Fermat developed a method for finding maxima and minima of polynomial functions
- His technique involved considering a small change in the variable, similar to the idea of a derivative
- He also used this method to find tangents to curves
- His work was very close to the concept of differentiation





## Early precursors to calculus

**Isaac Barrow** (~1630–1677 CE): Newton's teacher

- English mathematician and theologian, first Lucasian Professor of Mathematics at Cambridge (position later held by Newton)
- Made significant progress on the problems of tangents and areas
- Crucially, he observed that finding the area under a curve and finding the tangent to a curve were **inverse operations**
- This insight was a precursor to the Fundamental Theorem of Calculus

**From heuristic methods to systematic theory:** These early contributions demonstrated powerful techniques, paving the way for the generalised, systematic framework of calculus



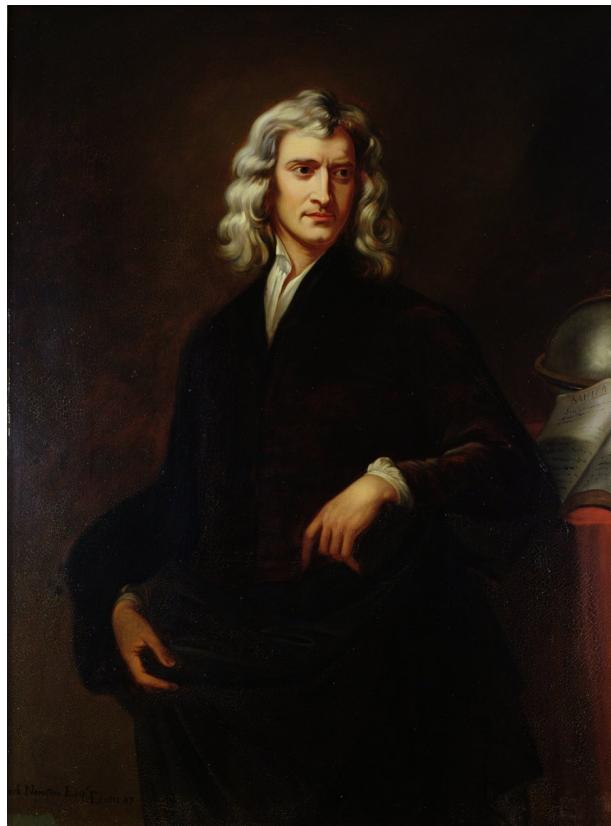


# Isaac Newton

## An unparalleled genius

(~1643–1727 CE):

- English physicist, mathematician, astronomer, alchemist, theologian
- Began developing his version of calculus, which he called **fluxions**, during the plague years (1665–1666), in isolation
- Very reluctant to publish his mathematical methods; insights appeared in his physics works



Isaac Newton





## Isaac Newton

### Newton's conceptual framework:

- Viewed quantities as generated by **continuous motion** (e.g., a line generated by a moving point, an area by a moving line)
- **Fluents:** The flowing quantities (e.g.,  $x, y$ )
- **Fluxions:** The instantaneous rates of change of these fluents (e.g.,  $\dot{x}, \dot{y}$ )
- Used infinite series extensively

### The Fundamental Theorem of Calculus:

- Newton rigorously proved the inverse relationship between differentiation (finding fluxions) and integration (finding fluents from fluxions)
- This formalised Barrow's earlier insight





## Isaac Newton

**Application to Physics:** *Principia Mathematica* (1687):

- His magnum opus, describing universal gravitation and laws of motion
- The entire work is built upon his new mathematical methods (fluxions and geometrical arguments)
- Used calculus to:
  - Explain planetary orbits
  - Model the motion of objects under forces
  - Predict phenomena like tides

**Calculus as the engine of the universe:** Newton's calculus provided the mathematical language to describe the dynamic, changing universe, ushering in modern physics





# Gottfried Wilhelm Leibniz



Gottfried Wilhelm Leibniz

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**A universal thinker (~1646–1716 CE):**

- German philosopher, mathematician, logician, and diplomat
- Independently developed his version of calculus from 1673 onwards, inspired by reading Pascal's work
- Published his first paper on differential calculus in 1684 (*Nova Methodus pro Maximis et Minimis...*)





## Gottfried Wilhelm Leibniz

### Leibniz's conceptual framework and notation:

- Focused on infinitesimals (infinitely small quantities) and differences
- His notation is still used today and is often considered more intuitive
  - $\frac{dy}{dx}$ : For differentiation (rate of change of  $y$  with respect to  $x$ )
  - $\int y \, dx$ : For integration (summing up infinitesimal areas)
- Emphasised the “algorithm” and rules of manipulation, making calculus more accessible





## Gottfried Wilhelm Leibniz

### The calculus priority dispute:

- A bitter and prolonged controversy erupted between supporters of Newton and Leibniz over who invented calculus first
- Modern historical consensus: Both invented calculus independently
- Newton's discovery was earlier (1660s), but Leibniz's publication was earlier (1684) and his notation superior

**The dual birth of a transformative tool:** The independent development by Newton and Leibniz underscores the readiness of the mathematical world for calculus, which provided the essential tool for the new physics and beyond





# The birth of probability theory

## From gambling to mathematics:

- The formal study of probability arose not from scientific inquiry, but from **gambling problems**
- Antoine Gombaud, Chevalier de Méré, a French writer and avid gambler, posed questions about fair division of stakes in interrupted games

## The problem of points:

- If two players agree to play a series of games, and the game is interrupted before completion, how should the stakes be divided fairly, based on the current score?
- This problem had puzzled mathematicians for centuries





## Blaise Pascal

**Blaise Pascal** (~1623–1662 CE):

- French mathematician, physicist, inventor, writer, and theologian
- Independently developed his ideas on probability
- Also known for Pascal's Triangle (again!), which relates to binomial coefficients and combinations, foundational for probability



Blaise Pascal

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## The birth of probability theory

**Correspondence with Pierre de Fermat (1654):**

- Pascal and Fermat exchanged a series of letters discussing the Problem of Points and its solution
- This correspondence laid the **foundations of modern probability theory**
- They introduced concepts like expected value and combinatorial analysis to solve the problem systematically





## The birth of probability theory

**Christian Huygens (~1629–1695 CE):**

- Dutch mathematician, physicist, astronomer
- Wrote the first formal treatise on probability: *De ratiociniis in ludo aleae* (On Reasoning in Games of Chance, 1657), based on the Pascal-Fermat correspondence

**From chance to science:** Probability theory, born from practical gambling questions, would grow into an indispensable tool for statistics, risk assessment, science, and social sciences





## Other 17<sup>th</sup> century advances

### Number theory: Fermat's unproven theorems

- **Pierre de Fermat** (revisited again!): A key figure in number theory, posing many theorems without publishing proofs
- **Fermat's Last Theorem**: “No three positive integers  $a, b, c$  satisfy the equation  $a^n + b^n = c^n$  for any integer value of  $n$  greater than 2”.
  - Famously stated in a margin with a note that he had a “truly marvellous proof... which this margin is too narrow to contain!.
  - Remained unproven for over 350 years, until Andrew Wiles in 1994
- Also worked on prime numbers, divisibility, and integer solutions to equations (Diophantine equations)





## Other 17<sup>th</sup> century advances

### Projective geometry:

- **Gérard Desargues** (~1591–1661 CE): French architect and engineer
- Laid the foundations of projective geometry, focusing on properties of figures that are invariant under projection (relevant to perspective)





## Other 17<sup>th</sup> century advances

### Refining logarithms & computation:

- After Napier and Briggs, logarithm tables were refined and widely adopted
- **William Oughtred** (~1574–1660 CE): Invented the **slide rule** (1622), a mechanical analog computer based on logarithms
- **Blaise Pascal** (revisited): Invented the **Pascaline** (1642), an early mechanical calculator
- These tools drastically reduced the labour of complex arithmetic for scientists and engineers



Pascal's mechanical calculator, the Pascaline

**A century of tools and concepts:** The 17<sup>th</sup> century provided not only powerful new branches of mathematics but also practical computational aids, setting the stage for industrial and scientific revolutions

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## Conclusion: A transformative century

### From ancient problems to modern analytical power

- **Intellectual context:** Fuelled by the Scientific Revolution and new philosophical paradigms (Rationalism, Empiricism)
- **The unification of mathematics:**
  - **Analytical geometry** (Descartes, Fermat) merged algebra and geometry, creating a systematic framework for curves and equations
- **The mathematics of change:**
  - The independent invention of **Calculus** (Newton, Leibniz) provided the indispensable tools for understanding rates of change, motion, and accumulation
  - Solved the “Problem of Tangents” and “Problem of Areas”





## Conclusion: A transformative century

### From ancient problems to modern analytical power

- **New fields emerge:**
  - The formal birth of **Probability theory** (Pascal, Fermat, Huygens).
  - Advances in number theory and projective geometry
- **Practical impact:** Development of computational aids (slide rule, Pascaline) and the application of mathematics as the core language of physics

*The 17<sup>th</sup> century fundamentally reshaped mathematics, transitioning it into its modern analytical form, equipped with the tools and concepts to tackle the most complex scientific and engineering challenges for centuries to come*





## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

Also, the classical although not always accurate *Eric T. Bell*, Men of Mathematics, Touchstone (1986) is worth reading

For fun, we signal *Michael White*, Isaac Newton: The Last Sorcerer, Fourth Estate (2012), which explores how magic influenced the birth of Science





# History of Mathematics: Lecture 9

## Syllabus:

Mathematics in the 18<sup>th</sup> century

- The age of Euler



## The reign of analysis

**The 18<sup>th</sup> century:** An era of rapid mathematical growth

- Follows the foundational discoveries of the 17<sup>th</sup> century (analytical geometry, calculus, probability)
- Characterised by the **rapid development and systematisation of calculus and its applications**
- Often referred to as the **Age of Analysis**

**Key focus for this lesson:**

- The legacy of the **Bernoulli family**
- The unparalleled contributions of **Leonhard Euler**, who dominated the century
- The consolidation and widespread application of calculus





# The Enlightenment and its impact

## The age of reason:

- A powerful intellectual and cultural movement across Europe
- Emphasised **reason, individualism, and scepticism** over tradition and faith
- Belief in the power of human intellect to understand and improve the world



Enlightenment thinkers valuing reason and science





## The Enlightenment and its impact

### Mathematics as the ultimate rational endeavour:

- The success of Newton's mathematically formulated laws of physics profoundly influenced Enlightenment thinkers
- Mathematics was seen as the purest form of rational thought, capable of uncovering universal truths
- Led to a strong push for **systematisation, clarity, and logical deduction** in all fields, including mathematics





## The Enlightenment and its impact

### The role of academies and patronage:

- **Royal Academies** (Paris, Berlin, St. Petersburg) became dominant centres of research and publication
- Provided salaried positions for leading mathematicians, as it was the case for Euler and Lagrange
- Organised prize competitions for solving challenging mathematical problems
- Fostered international communication and collaboration (despite rivalries)

**A fertile ground for mathematical growth:** The Enlightenment's belief in reason, coupled with institutional support, created an environment ripe for rapid mathematical advancement and application





## The Bernoulli family

### Expanding the boundaries of calculus: A family affair:

- A remarkable family from Basel, Switzerland, that produced numerous outstanding mathematicians over several generations
- Most prominent: **Jacob Bernoulli** (1654–1705), **Johann Bernoulli** (1667–1748), and Johann's son **Daniel Bernoulli** (1700–1782)
- Key figures in the early 18<sup>th</sup> century, extending and applying the newly invented calculus
- Known for both collaboration and intense rivalry!

### Their role in the age of analysis:

- Active in the European academies, contributing to prize problems
- Applied calculus to a wide range of physical problems
- Pushed the boundaries of differential equations, probability, and geometry





## Jacob Bernoulli

### Probability Theory:

- Published *Ars Conjectandi* (The Art of Conjecturing) posthumously in 1713
- Contained the first substantial treatise on probability theory since Huygens
- Introduced the **Law of Large Numbers**, formally proving that as the number of trials increases, the observed frequency of an event approaches its theoretical probability



JAC. BERNOULLI, MATH. P.P.

Jacob Bernoulli





## Jacob Bernoulli

### Infinite Series:

- Pioneered the study of convergence of infinite series
- Introduced **Bernoulli Numbers** ( $B_n$ ), which appear in the Taylor series expansion of trigonometric functions and in formulas for sums of powers of integers





## Jacob Bernoulli

**The logarithmic spiral** (Spira Mirabilis):

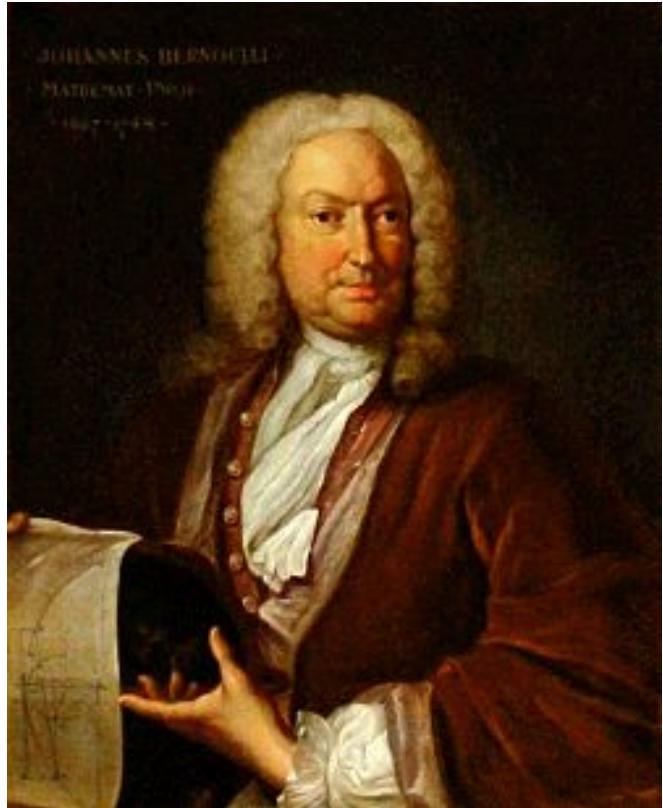
- Famously studied the properties of the **logarithmic spiral** (also known as the growth spiral or spira mirabilis)
- Discovered that its shape is invariant under changes in scale (dilations)
- Was so fascinated by its properties that he requested it be engraved on his tombstone with the inscription *Eadem mutata resurgo* ("Though changed, I rise again the same")
- Related to the Fibonacci sequence and the golden ratio.

**A pioneer in many fields:** Jacob Bernoulli's work laid critical groundwork for modern probability and the study of infinite processes





# Johann Bernoulli



Johann Bernoulli

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## A prolific and influential teacher:

- Younger brother of Jacob, and perhaps even more influential through his teaching and extensive correspondence (e.g., with Leibniz)
- Mentored many great mathematicians, including his son Daniel and **Leonhard Euler**





## Johann Bernoulli

### The brachistochrone problem (1696):

- Posed as a challenge problem:  
“What is the curve along which a particle, acted on only by gravity, will fall in the shortest time between two points”?
- This problem was crucial for the development of the **calculus of variations** (finding functions that optimise integrals)
- The solution is a **cycloid** (the curve traced by a point on the rim of a rolling wheel)





## Johann Bernoulli

### L'Hôpital's Rule (and the controversy):

- Johann taught calculus to Guillaume de l'Hôpital, under an agreement that he could publish Bernoulli's discoveries
- L'Hôpital published the first calculus textbook: *Analyse des Infiniment Petits pour l'Intelligence des Lignes Courbes* (1696)
- This book included “L'Hôpital's Rule” for evaluating limits of indeterminate forms (like  $0/0$  or  $\infty/\infty$ )
- It was later revealed that the rule (and much of the book) was a direct result of Johann Bernoulli's work and lessons

**A master of analytical problem-solving:** Johann Bernoulli pushed the boundaries of calculus and inspired the next generation of analysts, despite his contentious nature





## Daniel Bernoulli



Daniel Bernoulli

### **Son of Johann, and a universal scientist:**

- One of the most prominent scientists of his time, winning 10 Grand Prizes from the Paris Academy of Sciences (often in competition with his father and brother!)
- Known for applying mathematical principles to a wide range of physical problems





## Daniel Bernoulli

### Hydrodynamics (1738):

- His magnum opus, laying the foundation for modern fluid mechanics
- Introduced **Bernoulli's Principle**, which describes the relationship between fluid velocity and pressure (e.g., how an aeroplane wing generates lift)
- Applied calculus to describe the flow of water and other fluids

### Probability in physics and statistics:

- Applied probability to questions of risk and expectation (e.g., the St. Petersburg Paradox)
- Made early contributions to mathematical statistics, particularly in modelling errors and combining observations





## Daniel Bernoulli

### Other areas of influence:

- **Vibrating strings:** Pioneering work on the mathematics of vibrating strings, contributing to the development of Fourier series
- **Kinetic theory of gases:** Early ideas on the microscopic nature of gases and their pressure
- **Medicine:** Applied statistical methods to smallpox inoculation, an early example of medical statistics

**Bridging mathematics and the physical world:** Daniel Bernoulli exemplified the Enlightenment ideal of applying rigorous mathematical analysis to understand and explain natural phenomena





## Leonhard Euler

### Life and career (1707–1783):

- Born in Basel, Switzerland, just like the Bernoullis (and a student of Johann Bernoulli)
- Spent most of his career in **St. Petersburg, Russia**, and **Berlin, Prussia**, at the respective Academies of Sciences
- Lost sight in one eye early in his career, and became almost completely blind in his later years, yet his productivity barely slowed
- Published over 800 books and papers, encompassing almost every field of mathematics and much of physics. His collected works fill over 80 volumes!



Leonhard Euler





## Leonhard Euler

### A unifying force in 18<sup>th</sup> century mathematics:

- Took the ideas of Newton and Leibniz and developed them into the modern form of calculus and analysis
- Systematised vast areas of mathematics that were previously disparate or underdeveloped
- Authored highly influential textbooks that shaped mathematical education for centuries

### His enduring legacy:

- His work profoundly influenced mathematics, physics, and engineering
- Much of the notation and many of the methods we use today stem directly from Euler
- Considered by many to be one of the greatest mathematicians of all time

**The architect of modern mathematics:** Euler's unique blend of insight, analytical power, and incredible productivity laid the bedrock for mathematical analysis as we know it





# Euler's standardised notation

106 DE QUANTATIBUS TRANSCENDENTIIS.  
LIB. I.  $\frac{(1 + \frac{v\sqrt{-1}}{i})^i + (1 - \frac{v\sqrt{-1}}{i})^i}{2}$ ; atque  $\sin v =$   
 $\frac{(1 + \frac{v\sqrt{-1}}{i})^i - (1 - \frac{v\sqrt{-1}}{i})^i}{2\sqrt{-1}}$ . In Capite autem  
precedente vidimus esse  $(1 + \frac{z}{i})^i = e^z$ , denotante  $e$  basin  
Logarithmorum hyperbolicorum: scripto ergo pro  $z$  partim  
 $+ v\sqrt{-1}$  partim  $- v\sqrt{-1}$  erit  $\cos v =$   
 $\frac{e^{+v\sqrt{-1}} + e^{-v\sqrt{-1}}}{2}$  &  $\sin v = \frac{e^{+v\sqrt{-1}} - e^{-v\sqrt{-1}}}{2\sqrt{-1}}$ .  
Ex quibus intelligitur quomodo quantitates exponentiales ima-  
ginariae ad Sinus & Colimus Arcuum realium reducantur. Erit  
vera  $e^{+v\sqrt{-1}} = \cos v + v\sqrt{-1} \sin v$  &  $e^{-v\sqrt{-1}} =$   
 $\cos v - v\sqrt{-1} \sin v$ .  
139. Sit jam in illis formulis §. 130.  $\infty$  numerus infinite

Euler's clarity in mathematical expression

## Clarity and consistency:

- Before Euler, mathematical notation was often inconsistent and varied between mathematicians
- Euler's clear, logical, and expressive notation rapidly gained widespread adoption, significantly aiding communication and development





## Euler's standardised notation

### Some of his enduring notational contributions:

- $\pi$ : For the ratio of a circle's circumference to its diameter (adopted 1736)
- $e$  (Euler's number): For the base of the natural logarithm (adopted 1727, widely used by 1748)
- $i$ : For the imaginary unit ( $\sqrt{-1}$ ) (adopted 1777)
- $f(x)$ : For function notation (adopted 1734)
- $\sum$ : For summation (adopted 1755)
- $\Delta$ : For finite differences
- Standard notation for trigonometric functions ( $\sin, \cos, \tan$ )





## Euler's standardised notation

### Why notation matters:

- Good notation simplifies ideas, making them easier to grasp and manipulate
- It reduces ambiguity and errors
- It facilitates teaching, learning, and further research
- Euler's choices were so effective that they became universal, forming the bedrock of modern mathematical language

**A universal language:** Euler's standardisation of mathematical notation was a gift to all mathematicians, enabling unprecedented clarity and progress



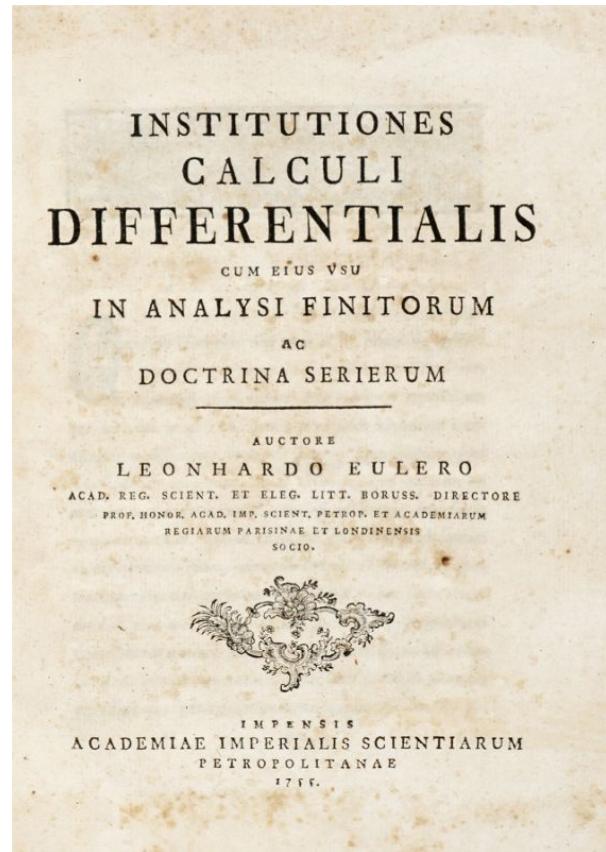


# Euler's dominance in calculus and analysis

## Differential Calculus

(*Institutiones calculi differentialis*, 1755):

- Provided the first comprehensive and systematic treatment of differential calculus
- Clarified concepts like limits (though not rigorously by modern standards) and differentials
- Developed techniques for higher-order derivatives, implicit differentiation, and the chain rule
- Pioneered the use of **partial derivatives** for functions of multiple variables



Title page of Euler's *Institutiones calculi differentialis*



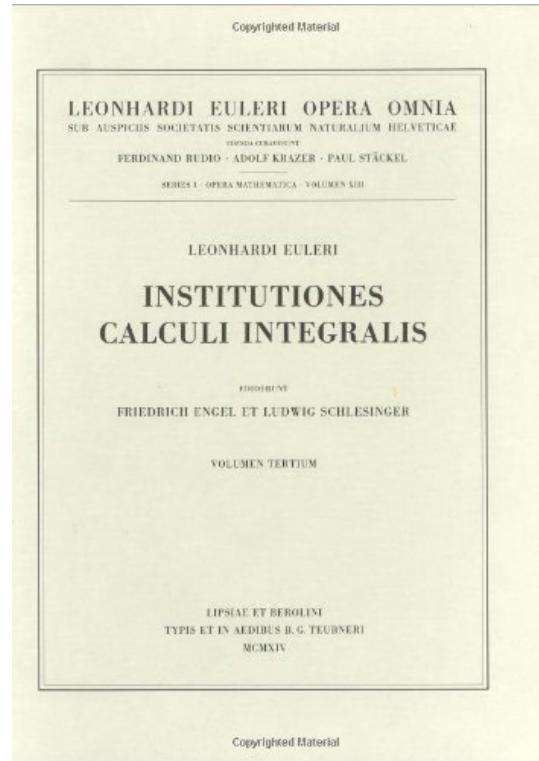


# Euler's dominance in calculus and analysis

## Integral Calculus

(*Institutiones calculi integralis*, 1768–1770):

- Provided the first comprehensive textbook on integral calculus.
- Developed standard techniques of integration, including integration by parts and substitution
- Introduced and explored various types of integrals, including improper integrals and definite integrals



Title page of Euler's *Institutiones calculi integralis*





## Euler's dominance in calculus and analysis

### Differential equations:

- Made immense contributions to the theory and solution of **ordinary and partial differential equations**
- Developed methods for solving linear differential equations with constant coefficients
- Applied differential equations to a vast array of problems in physics and mechanics (e.g., fluid flow, celestial mechanics, vibrating strings)

**Systematiser and expander:** Euler transformed calculus from a collection of powerful techniques into a coherent, organised discipline, ready for its widespread application





## Euler and complex numbers

### From “Impossible” to indispensable:

- Recall Bombelli's early work with  $\sqrt{-1}$  from the Renaissance
- Euler rigorously defined and manipulated complex numbers, proving their immense utility
- Used  $i$  for  $\sqrt{-1}$ , making operations clearer.





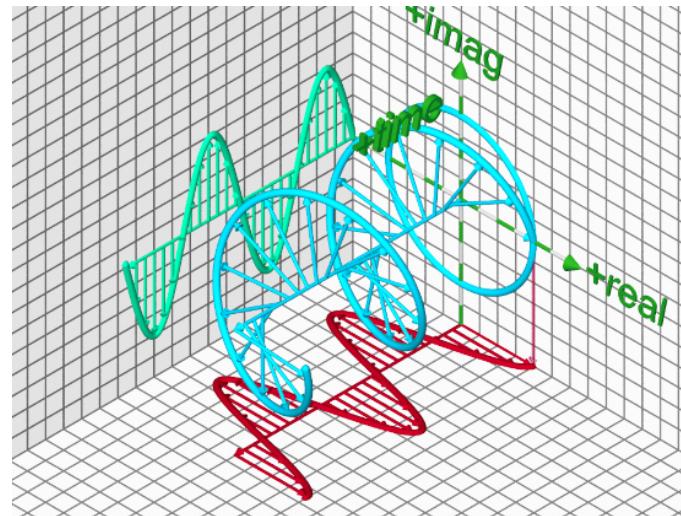
# Euler and complex numbers

## Euler's formula:

- One of the most beautiful and profound formulas in mathematics:

$$e^{ix} = \cos x + i \sin x$$

- Connects exponential functions (from growth/decay) with trigonometric functions (from circles/oscillations) via the imaginary unit
- It shows that the natural exponential function can be extended to complex exponents via trigonometric functions
- Derived from the power series expansions of  $e^x$ ,  $\sin x$ , and  $\cos x$



Visualising Euler's formula on the complex plane





## Euler and complex numbers

**Euler's identity:** The “Most Beautiful Equation”:

- A special case of Euler's Formula, when  $x = \pi$ :

$$e^{i\pi} + 1 = 0$$

- Unites five fundamental mathematical constants ( $e, i, \pi, 1, 0$ ) using the three basic arithmetic operations (addition, multiplication, exponentiation) exactly once
- A testament to the deep interconnectedness of mathematical concepts

**The power of complex analysis:** Euler's work with complex numbers opened up the entirely new field of complex analysis, which became vital in physics, engineering, and pure mathematics





## Euler's other contributions

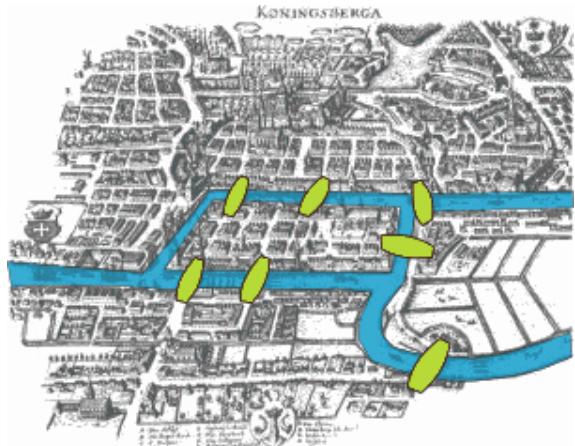
### Number theory:

- Euler was a monumental figure in reviving and advancing number theory, building on Fermat's work
- **Euler's totient function** ( $\phi(n)$ ): Counts the number of positive integers up to a given integer  $n$  that are relatively prime to  $n$ . Crucial in cryptography today
- **Euler's criterion**: Used to determine whether an integer is a quadratic residue modulo a prime number
- Proved many of Fermat's theorems, including Fermat's Little Theorem
- Studied partitions of integers





## Euler's other contributions



The Königsberg bridge problem

### Graph theory:

The Königsberg bridge problem (1735):

- The birth of **graph theory**
- Problem: Can one walk through the city of Königsberg (now Kaliningrad, Russia) and cross each of its seven bridges exactly once?
- Euler proved it was impossible, abstracting the problem into a network of vertices (landmasses) and edges (bridges)
- Introduced the concept of **degree of a vertex** (number of bridges connected to a landmass)
- His solution laid the foundation for an entirely new branch of mathematics





## Euler's other contributions

### Mechanics and physics:

- Applied his powerful analytical tools to various physical problems
- **Fluid dynamics:** Developed fundamental equations for fluid flow (Euler equations)
- **Rigid body dynamics:** Laid the groundwork for the dynamics of rigid bodies
- **Lunar theory:** Significant contributions to understanding the moon's complex orbit, crucial for navigation
- **Optics and acoustics:** Also made advances in these fields, often translating physical phenomena into mathematical models

**A universal genius:** Euler's work not only expanded existing fields but also founded entirely new ones, demonstrating the power of mathematical abstraction and its applicability across diverse domains





## The consummation of calculus

### Laying the bedrock of modern mathematical analysis

- **The Enlightenment's push:** The Age of Reason and the rise of Academies created a fertile ground for mathematical expansion
- **The Bernoulli legacy:** A family dynasty that significantly extended and applied calculus, pushing boundaries in probability, series, and physical problems
- **Leonhard Euler:** The colossus of the century:
  - His revolutionary **standardisation of mathematical notation** remains with us today
  - His systematic development of **differential and integral calculus** (including partial derivatives, differential equations) made it a truly powerful and coherent tool
  - His profound work on **complex numbers** (Euler's Formula) opened up entirely new fields of analysis
  - His pioneering contributions to **number theory** and the very birth of **graph theory** showcased his universal genius

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## The consummation of calculus

*Euler and his contemporaries transformed calculus into the universal language for describing change and motion, setting the stage for even deeper analytical inquiries and the development of analytical mechanics in the latter half of the 18<sup>th</sup> century*

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## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

Also, Euler's depiction in *Eric T. Bell*, Men of Mathematics, Touchstone (1986) is worth

Just to appreciate the amplitude of Euler's work, we strongly suggest to search for his *Opera Omnia*, which is still not concluded





# History of Mathematics: Lecture 10

## Syllabus:

Mathematics in the 18<sup>th</sup> century

- Further analytical depths



## Beyond Euler

### Building on Euler's legacy:

- While Euler dominated the century, other brilliant minds pushed mathematical frontiers
- Focus shifts to deeper theoretical understanding and more complex applications
- Key figures: **Joseph-Louis Lagrange** and **Pierre-Simon Laplace**

### Key focus for this lesson:

- The birth of **analytical mechanics**
- Development of the **calculus of variations**
- Refinement of **probability theory**
- Early stirrings of the need for greater mathematical **rigour**





## Recap: The age of Euler

### Consolidation and explosion of calculus:

- **Context:** The Enlightenment and the rise of scientific academies propelled mathematical research
- **The Bernoulli Family:** Early pioneers who extended calculus, explored probability (law of large numbers), and posed challenging problems (brachistochrone)
- **Leonhard Euler:** The century's colossus
  - His unmatched productivity across all mathematical fields
  - His enduring **standardisation of notation** ( $\pi, e, i, f(x), \Sigma$ )
  - His systematic development of **differential and integral calculus**, including differential equations
  - His profound work on **complex numbers**. His formula:  $e^{ix} = \cos x + i \sin x$
  - His pioneering work in **number theory** and the very birth of **graph theory**

*The previous lesson established calculus as the dominant tool for science.  
Now, let's see where it leads!*





## Joseph-Louis Lagrange

### Early life and rise to prominence:

- Born in Turin, Italy, of French and Italian descent
- Largely self-taught in mathematics, inspired by Newton and Euler
- Became a professor at the Royal Artillery School in Turin at just 19
- Close collaborator and correspondent with Euler, who recognised his genius
- Succeeded Euler as the director of mathematics at the Berlin Academy (1766-1787)



Joseph-Louis Lagrange (1736-1813)





# Joseph-Louis Lagrange

## A focus on pure analysis:

- Unlike many of his contemporaries, Lagrange had a strong inclination towards abstract, purely analytical methods
- Sought to express mathematical and physical laws in their most general and elegant analytical form
- His work emphasised algebraic techniques over geometric intuition

## Key areas of contribution:

- **Calculus of variations**: A new branch of calculus for optimising functions
- **Analytical mechanics**: Re-founding mechanics purely on analytical principles
- **Number theory**: Significant advancements in the theory of numbers
- Early steps towards **rigour in calculus**

**The embodiment of analytical elegance**: Lagrange's work epitomised the 18<sup>th</sup> century's drive for analytical power, systematically deriving results from first principles





## Calculus of variations

### The “brachistochrone” problem revisited:

- Recall Johann Bernoulli's challenge: finding the curve of fastest descent
- This was one of many problems (e.g., shortest path between points on a surface, minimal surface area) that could not be solved by standard differential calculus (which finds optima of functions, not *paths* or *curves*)
- These problems require optimising a *functional* (a function whose input is another function)





# Calculus of variations

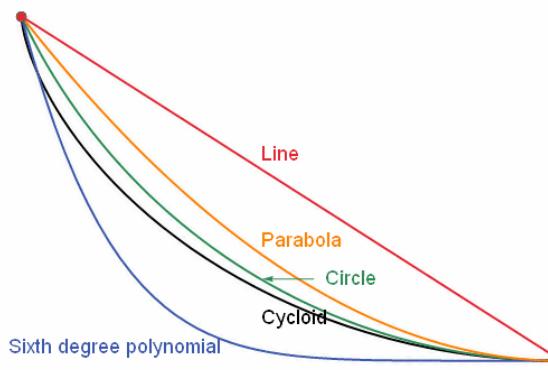
## Euler and Lagrange's development:

- Euler made significant early contributions to this field
- Lagrange, however, provided a more systematic and general approach
- Developed the **Euler-Lagrange equation**: a differential equation whose solutions are the functions for which a given functional is stationary (i.e., local extrema)

$$\frac{\partial L}{\partial y} - \frac{d}{dx} \left( \frac{\partial L}{\partial y'} \right) = 0$$

where  $L$  is the integrand,  $y$  is the function,  $y'$  its derivative

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The brachistochrone problem solution (a cycloid)





## Calculus of variations

### Impact of calculus of variations:

- Essential tool in physics for principles of least action (e.g., Fermat's principle of least time, Hamilton's principle)
- Used in engineering, economics, and optimal control theory
- Showcased the growing power of analytical methods to solve complex optimisation problems that transcended traditional calculus

**Optimising functions of functions:** The calculus of variations extended the idea of optimisation from single variables to entire functions, leading to profound applications in physics





# Analytical mechanics

## Mécanique analytique

(Analytical Mechanics, 1788):

- Lagrange's masterpiece, a synthesis of all knowledge of mechanics up to his time
- Its revolutionary aspect: It contains **no diagrams or geometrical constructions**
- All mechanics, from statics to dynamics, is presented entirely through **analytical formulas and algebraic operations**
- Reduced mechanical problems to the solution of differential equations derived from a single principle

## MÉCHANIQUE ANALITIQUE;

*Par M. DE LA GRANGE, de l'Académie des Sciences de Paris,  
de celles de Berlin, de Petersbourg, de Turin, &c.*



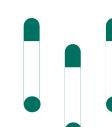
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rue du Foin S. Jacques.*

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Lagrange's *Mécanique analytique*

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## Analytical mechanics

### Lagrangian mechanics:

- Introduced concepts like **generalised coordinates** (any set of independent parameters describing a system's configuration)
- Developed the **Lagrangian** ( $L = T - V$ , kinetic minus potential energy) and Lagrange's equations of motion
- Provided a powerful, elegant, and unified framework for classical mechanics, simpler for complex systems than the previous Newton's force-based approach





## Analytical mechanics

### Impact and legacy:

- Transformed mechanics into a branch of pure mathematics, amenable to abstract analysis
- Influenced all subsequent theoretical physics, forming the basis for Hamiltonian mechanics (19<sup>th</sup> century) and ultimately quantum mechanics and relativity.
- Demonstrated the predictive and explanatory power of mathematics in the natural world

**Mechanics as pure algebra:** Lagrange's monumental work showcased the power of abstract analysis to describe the physical universe without resorting on geometric crutches





# Pierre-Simon Laplace



Pierre-Simon Laplace (1749–1827)

## Life and career:

- Born in Normandy, France
- A protégé of d'Alembert (who we'll touch on later)
- Became a leading figure in French science during the tumultuous revolutionary and Napoleonic eras
- Known for his immense intellectual ambition to unify and perfect all knowledge of the universe, particularly through mathematics
- Served in various political roles under Napoleon, though his primary focus remained science





# Pierre-Simon Laplace

## The systematiser:

- Like Lagrange, Laplace was a master systematiser, aiming to provide comprehensive treatments of entire fields
- Sought to demonstrate the power of analysis in explaining and predicting phenomena in physics and probability

## Key areas of contribution:

- **Celestial mechanics**: Applying calculus to the solar system's stability and dynamics
- **Probability theory**: Formally establishing its principles and applications
- **Partial differential equations**: Developing tools like the Laplace operator

**A synthesis of knowledge**: Laplace aimed to create a unified, mathematically consistent model of the universe, demonstrating the ultimate triumph of reason

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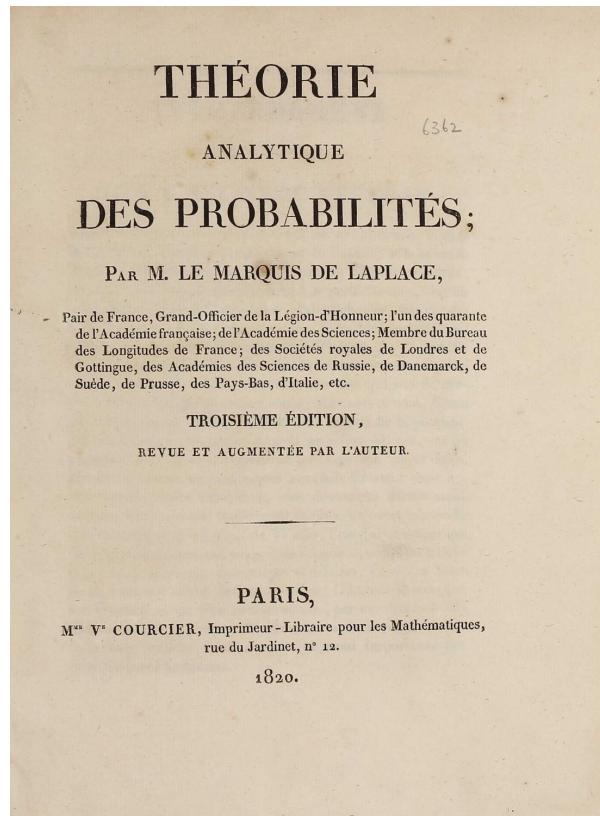




# Probability theory: From games to science

## Théorie analytique des probabilités (Analytic Theory of Probability, 1812):

- Laplace's most influential work in probability
- A massive, comprehensive treatise that brought together all previous work and introduced many new ideas
- Consolidated probability theory as a rigorous mathematical science, moving it far beyond its gambling origins



Laplace's seminal work on probability





# Probability theory: From games to science

## Key concepts and contributions:

- **Classical definition of probability:** (Number of favourable outcomes) / (Total number of equally likely outcomes)
- **Bayes' theorem:** Though Bayes originated it, Laplace independently rediscovered it and gave it a more general formulation
- **Central limit theorem** (implicitly): Laid the groundwork for this fundamental theorem of statistics, showing that sums of many independent random variables tend towards a normal distribution
- Applications to statistics, error analysis, population studies, and even jurisprudence





## Probability theory: From games to science

**The “Philosophical Essay on Probabilities” (1814):**

- A popular introduction to his probability theory, explaining the underlying philosophical ideas
- Argued that probability is simply “common sense reduced to calculation”.
- Emphasised the predictive power of probability in situations of uncertainty

**Quantifying uncertainty:** Laplace transformed probability from a collection of isolated problems into a powerful, unified mathematical discipline essential for modern science



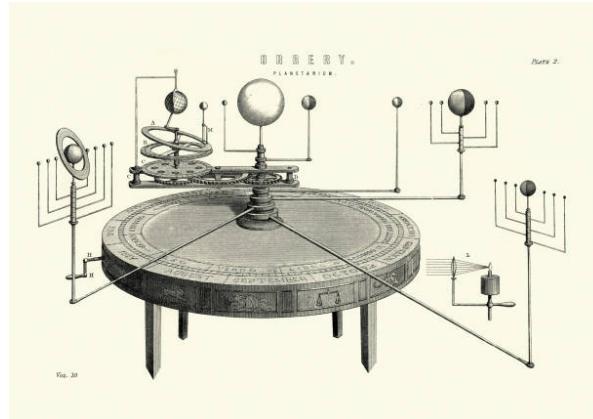


## Celestial mechanics

### Traité de mécanique céleste

(Treatise on Celestial Mechanics, 1799–1825):

- A five-volume magnum opus that applied the full power of calculus (especially differential equations) to the movements of planets, moons, and comets
- Built upon Newton's laws of gravitation but extended them significantly using advanced analytical methods
- Answered long-standing questions about the stability of the solar system, proving that planetary eccentricities and inclinations would remain stable over long periods



Laplace's analytical view of the cosmos





## Celestial mechanics

### Key mathematical innovations:

- Developed the **Laplace operator** ( $\nabla^2$ ) and **Laplace's equation** ( $\nabla^2 V = 0$ ), fundamental in physics (potential theory, electromagnetism)
- Introduced **Laplace transforms**, a powerful tool for solving differential equations (though fully developed later)





## Celestial mechanics

**Laplace's demon:** The ultimate determinism:

- A famous philosophical thought experiment from his 1814 essay:

*We may regard the present state of the universe as the effect of its past and the cause of its future. An intellect which at a given moment knew all the forces that animate nature and the reciprocal positions of the beings that compose it, if this intellect were moreover vast enough to submit these data to analysis, would embrace in a single formula the movements of the greatest bodies of the universe and those of the lightest atom; for it, nothing would be uncertain and the future, as the past, would be present to its eyes.*

- Embodies the peak of Enlightenment scientific rationalism





## Celestial mechanics

**A universe as a solvable equation:** Laplace's work represented the triumph of analytical methods in describing and predicting the complex workings of the cosmos, solidifying the Newtonian world-machine

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## Other key figures

**Brook Taylor** (1685–1731):

The power of series expansions

- English mathematician, contemporary of Newton
- Published *Methodus Incrementorum Directa et Inversa* (1715)
- Introduced **Taylor series** for expanding functions into infinite sums of power terms:

$$f(x) = f(a) + f'(a)(x - a) + \frac{f''(a)}{2!}(x - a)^2 + \dots$$

- A special case, the **Maclaurin series** ( $a = 0$ ), is also widely used
- These series are fundamental for approximating functions and solving differential equations





## Other key figures



Jean le Rond d'Alembert (1717–1783)

### Jean le Rond d'Alembert (1717–1783): PDEs and rigour's call

- French mathematician, physicist, philosopher, and co-editor of the *Encyclopédie*
- Pioneering work on **partial differential equations** (PDEs), deriving the one-dimensional **wave equation**
- Investigated the foundations of calculus, expressing limits using the concept of  $\epsilon - \delta$  type arguments (though not fully formalised)
- Questioned the logic of “infinitesimals”, contributing to the growing unease about calculus's lack of rigour





## Other key figures

**Descriptive Geometry** (Gaspard Monge, 1746–1818):

- French mathematician, founder of **descriptive geometry**
- Developed methods for representing three-dimensional objects on a two-dimensional plane using projections, crucial for engineering and architecture





## Emerging themes

### Emerging need for rigour:

- Despite the success of calculus, its foundations were somewhat shaky
- Concepts like “infinitesimals” and “infinity” were used intuitively but lacked precise definitions
- Problems arose with the convergence of infinite series and the behaviour of functions
- Mathematicians like d’Alembert, and later Lagrange, began to voice concerns and make early attempts at more rigorous definitions of limits and continuity
- This intellectual tension would explode with full strength into a major focus in the 19<sup>th</sup> century





## Emerging themes

**Setting the stage for the 19<sup>th</sup> century:** The 18<sup>th</sup> century, while expanding calculus applications, also began to identify foundational gaps, hinting at the need for greater abstraction and rigour





## The pinnacle of classical analysis

### From calculation to a universal language of science

- **Explosive growth:** The 18<sup>th</sup> century witnessed an unparalleled expansion and application of calculus, earning it the title “Age of Analysis”
- **Systematisation & notation:** **Euler's** genius unified disparate ideas, standardised notation ( $\pi, e, i, f(x), \Sigma$ ), and produced comprehensive textbooks, making mathematics accessible and consistent
- **Mastery of mechanics:** **Lagrange's** “Mécanique analytique” transformed classical mechanics into a purely analytical discipline, leveraging the calculus of variations to solve optimisation problems
- **Probability's maturity:** **Laplace** established probability theory as a rigorous science, applying it to vast problems like celestial mechanics and statistical inference





## The pinnacle of classical analysis

### From calculation to a universal language of science

- **Foundations questioned:** Despite immense success, mathematicians like d'Alembert began to highlight the intuitive (and sometimes imprecise) nature of calculus's foundations, paving the way for the **age of rigour** in the 19<sup>th</sup> century
- **Interdisciplinary impact:** Mathematics became the indispensable language for physics, astronomy, engineering, and emerging fields like statistics

*The 18<sup>th</sup> century built a robust, powerful analytical edifice, providing the tools and confidence to tackle the grand challenges of science, while simultaneously recognising the need for deeper foundational scrutiny that would define the revolutions of the 19<sup>th</sup> century*





## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)
- *Dirk J. Struik* A Concise History of Mathematics, Fourth revised edition, Dover Publications (1987)

For fun, the reader is invited to check the mathematics of “The Orbital Flight Handbook” (1963) in the *Space Flight Handbooks* by NASA to see a practical application of celestial mechanics





# History of Mathematics: Lecture 11

## Syllabus:

Mathematics in the 19<sup>th</sup> century:

- The pursuit of rigour and new geometries



# Revolutions

## The 19<sup>th</sup> century: A paradigm shift

- A period of unprecedented conceptual revolution in mathematics
- Driven by a demand for **rigour** in analysis and the exploration of abstract structures
- Response to foundational questions raised implicitly in the 18<sup>th</sup> century (e.g., convergence of series, nature of functions)

### Key focus for this lesson:

- The **quest for rigour**: Establishing solid foundations for calculus (Cauchy, Bolzano)
- The revolution in **geometry**: Discovery and development of *Non-Euclidean geometries*
- Seeds of **abstract algebra**: Galois and group theory





## Historical context



The industrial revolution's impact on science and technology

### **The industrial revolution:**

- Profound societal, economic, and technological changes
- Creation of new industries (railways, telegraphs, steam power) leading to new engineering and scientific challenges
- Increased demand for precise scientific and mathematical methods





## Historical context

### Rise of the modern university and research culture:

- Shift from Academies (18<sup>th</sup> century) to research-oriented **universities** as primary centres of mathematical innovation
- **Germany** (especially Göttingen) becomes a dominant force in mathematical research and education
- Emphasis on systematic, rigorous education and original research
- Creation of professional mathematical societies and journals





## Historical context

### From calculation to conception:

- While the 18<sup>th</sup> century focused on powerful calculational techniques, the 19<sup>th</sup> century increasingly emphasised the **conceptual foundations** of mathematics
- A shift towards **abstraction** and logical consistency
- Mathematics began to be viewed not just as a tool for science, but as an independent discipline with its own internal logic and beauty

**An era of deep inquiry:** The 19<sup>th</sup> century saw mathematics mature into a rigorous, abstract, and internally driven science, profoundly influencing future intellectual thought





# Augustin-Louis Cauchy

## Life and influence:

- Born in Paris, a brilliant student who thrived in the post-Revolutionary French academic system
- A profoundly influential and prolific mathematician, second only to Euler in his output during his lifetime
- Taught at the École Polytechnique, a key institution for engineering and science
- Known for his strict adherence to logical deduction and the need for rigorous proofs
- His work dramatically changed the way mathematics was taught and practised



Augustin-Louis Cauchy (1789–1857)





# Augustin-Louis Cauchy

## The need for foundations:

- 18<sup>th</sup> century calculus, though powerful, relied heavily on intuition and geometric reasoning
- Concepts like “limit”, “continuity”, and “infinite series” lacked precise definitions
- This led to paradoxes and incorrect results (e.g., issues with Fourier series)

## Key areas of contribution:

- Formalisation of **limits, continuity, and derivatives**
- Rigorous treatment of **infinite series** and their convergence
- Early development of **complex analysis**
- Contributions to differential equations, group theory, and optics

**Bringing order to analysis:** Cauchy's systematic approach provided the missing logical bedrock for calculus, transforming it into the rigorous subject we know today





## Cauchy's definitions

### The limit (1821):

- Before Cauchy, a limit was often described loosely as “approaching indefinitely”
- Cauchy provided a precise arithmetical definition, though not explicitly using  $\epsilon - \delta$  notation as we do today (that came later, notably from Weierstrass)
- His definition for a limit of a function  $f(x)$  as  $x \rightarrow a$  is  $L$ :  
*When the successive values attributed to a variable approach indefinitely a fixed value so as to end by differing from it by as little as one wishes, this last is called the limit.*

*(Translated from his Cours d'Analyse)*

- This moved calculus away from infinitesimals towards a language of inequalities





## Cauchy's definitions

**Continuity (1821):**

- Defined a continuous function as one where a small change in  $x$  produces a small change in  $f(x)$
- Formally: A function  $f(x)$  is continuous at  $a$  if for every quantity  $\epsilon$  (no matter how small), one can find a quantity  $\delta$  such that  $|f(x) - f(a)| < \epsilon$  whenever  $|x - a| < \delta$
- This precise definition eliminated ambiguity





## Cauchy's definitions

**Convergence of infinite series (1821):**

- Prior to Cauchy, convergence was often assumed or poorly defined
- Cauchy provided the modern definition: an infinite series  $\sum a_n$  converges if the sequence of its partial sums  $S_n = a_1 + \cdots + a_n$  approaches a finite limit
- Introduced the **Cauchy criterion for convergence**: A sequence converges if and only if its terms become arbitrarily close to each other (i.e., for every  $\epsilon > 0$ , there exists an integer  $N$  such that for all  $m, n > N$ ,  $|a_m - a_n| < \epsilon$ )
- Sequences satisfying this criterion are now called **Cauchy sequences**. They are fundamental for defining the **completeness** of the real numbers (e.g., in Dedekind's construction)
- This was crucial for avoiding fallacies with series (e.g., those highlighted by Fourier)





## Cauchy's definitions

### The Cauchy mean value theorem:

- A generalisation of Lagrange's mean value theorem, linking the derivatives of two functions over an interval
- Important in the rigorous development of calculus

**Building on solid ground:** Cauchy's rigorous definitions of fundamental concepts transformed calculus from an art of calculation into a precise science of inequalities





## Cauchy and early complex analysis

### Building on Euler's foundations:

- Euler had already established complex numbers as valuable tools
- Cauchy, however, began to develop a rigorous theory of functions of a complex variable
- This field, **complex analysis**, became one of the most powerful branches of mathematics, with applications in physics and engineering





## Cauchy and early complex analysis

Key concepts:

- **Complex functions:** Functions  $f(z)$  where  $z = x + iy$
- **Analytic functions:** Functions that are differentiable in the complex plane
- **Cauchy-Riemann equations:** Conditions that determine if a complex function is analytic

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \quad \text{and} \quad \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$$

(where  $f(z) = u(x, y) + iv(x, y)$ )

- **Cauchy's integral theorem** and **Cauchy's integral formula:**  
Fundamental results relating integrals of analytic functions along closed paths to their values inside the path. These revolutionised the evaluation of real integrals





## Cauchy and early complex analysis

### Impact of Cauchy's complex analysis:

- Provided powerful new methods for solving problems in real analysis and applied mathematics
- Laid the groundwork for later developments by Riemann and Weierstrass
- Showed that rigour could be applied to complex numbers, not just real ones

**A new dimension of analysis:** Cauchy transformed complex numbers from a mathematical curiosity into a fertile ground for deep and powerful analytical theory





## Further steps in rigour



Bernard Bolzano

**Bernard Bolzano** (1781–1848):

Early insights into continuity

- Bohemian mathematician, philosopher, and theologian
- Independently developed rigorous definitions of **continuity and limits** even before Cauchy, though his work was not widely known until much later
- **Bolzano's theorem** (Intermediate Value Theorem): If a continuous function takes on two values, it must take on every value in between. He provided the first rigorous proof
- Pioneering ideas about **continuous but nowhere differentiable functions**, a concept that challenged intuition and highlighted the need for strict definitions. (Weierstrass later constructed the first formal example)

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## Further steps in rigour

**Niels Henrik Abel** (1802–1829):

Rigour in series and elliptic functions

- Brilliant Norwegian mathematician, tragically short-lived.
- Emphasised the importance of **rigorous proof for series convergence**
- Provided the first rigorous proof of the **binomial theorem** for complex exponents
- **Abel's theorem on binomial series:**  
Proved its convergence conditions
- Pioneering work on **elliptic functions** (generalising trigonometric functions) and Abelian integrals



Niels Henrik Abel





## Further steps in rigour



Peter Gustav Lejeune Dirichlet

**Peter Gustav Lejeune Dirichlet (1805–1859):**  
Functions and Fourier series

- German mathematician, a student of Poisson and later taught at Göttingen (succeeding Gauss)
- Provided the modern, formal definition of a **function**: a correspondence that assigns to each element in a set (domain) exactly one element in another set (codomain)
- Made fundamental contributions to the convergence of **Fourier series**, specifying the conditions under which such series converge to the function they represent. This resolved issues faced by earlier mathematicians (e.g., Fourier, Poisson)
- Important work in analytic number theory (e.g., Dirichlet's theorem on arithmetic progressions)

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## Further steps in rigour

**Broadening the reach of rigour:** These mathematicians built upon Cauchy's foundations, tackling complex analytical problems and further refining the conceptual tools of calculus





## Challenging Euclid's fifth postulate

### Euclid's Elements and its fifth postulate:

- For over 2000 years, Euclid's *Elements* stood as the unparalleled model of deductive reasoning
- Its five postulates (axioms) formed the basis of all geometric theorems
- The first four were considered self-evident (e.g., "All right angles are equal to one another")
- The **fifth postulate** (parallel postulate) was different:

*If a straight line falling on two straight lines makes the interior angles on the same side less than two right angles, the two straight lines, if produced indefinitely, meet on that side on which the angles are less than the two right angles.*





## Challenging Euclid's fifth postulate

**A long history of doubts and attempts to prove:**

- From ancient Greece (Ptolemy) through the Islamic Golden Age (Omar Khayyam) to the Renaissance (Saccheri, Lambert), mathematicians tried to **prove** the fifth postulate from the first four
- All attempts failed, often leading to bizarre or contradictory conclusions, hinting that it might be independent





## Challenging Euclid's fifth postulate

### The 19<sup>th</sup> century breakthrough:

- Instead of proving it, a few brilliant minds began to explore the consequences of **denying** the fifth postulate
- This led to the astonishing discovery of consistent, alternative geometries where Euclid's fifth axiom did not hold
- This revolutionised not only geometry but also the very understanding of axiomatic systems and the nature of mathematical truth

**Questioning the obvious:** The perceived *flaw* in Euclid's perfect system led to one of the most profound conceptual shifts in mathematical history, opening up unforeseen new worlds of geometry





# Carl Friedrich Gauss



Carl Friedrich Gauss

## The Prince of Mathematicians: (1777–1855)

- German mathematician and scientist, considered one of the greatest of all time
- Made fundamental contributions to almost every field of mathematics and science (number theory, algebra, statistics, analysis, differential geometry, geodesy, astronomy, optics, electromagnetism)
- Famously precocious: independently discovered the Fundamental Theorem of Algebra (at age 18) and constructed the 17-gon with ruler and compass (at age 19)





## Carl Friedrich Gauss

### Early doubts about the fifth postulate:

- As early as the late 1790s, Gauss began to doubt the provability of the parallel postulate
- He secretly developed his own version of what we now call **hyperbolic geometry**
- He was convinced of its consistency but never published his findings, fearing “the clamour of the Boeotians” (i.e., public misunderstanding and ridicule)





## Carl Friedrich Gauss

**Other relevant contributions** (brief mention):

- **Differential geometry**: Pioneering work on the intrinsic geometry of surfaces (Theorema Egregium). This laid groundwork for Riemann
- **Complex numbers**: Showed they were a natural extension of real numbers, and used them geometrically
- **Least squares**: Fundamental in statistics and error theory

**A secret revolutionary**: Gauss's unshared insights into non-Euclidean geometry underscore his visionary genius and the profound paradigm shift occurring in mathematics





## Lobachevsky and Bolyai

**Nikolai Lobachevsky** (1792–1856): Russian maverick

- Professor at Kazan University.
- Published his work on “imaginary geometry” in 1829.
- He allowed that through a point not on a given line, there can be **more than one parallel line** to the given line
- Developed the consistent logical consequences of this assumption, creating what we now call **hyperbolic geometry**

**János Bolyai** (1802–1860): Hungarian pioneer

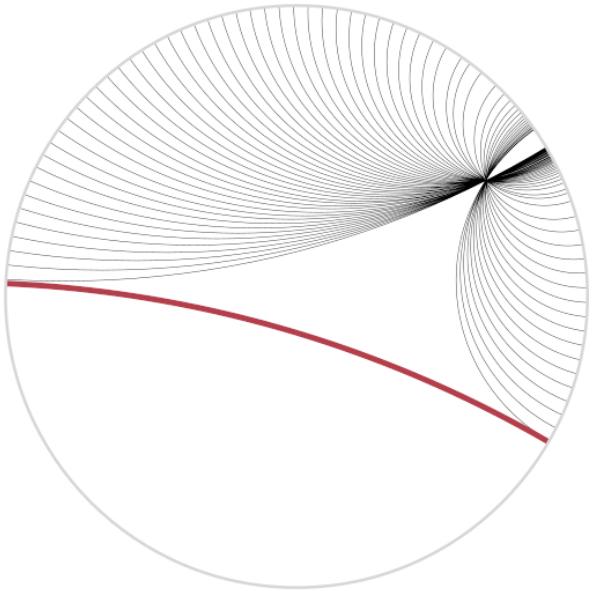
- Son of Farkas Bolyai, a friend of Gauss
- Independently developed similar ideas around the same time
- Published his work as an appendix to his father’s textbook in 1832, calling it “The absolute science of space”
- His father shared his son’s work with Gauss, who famously replied: “To praise it would be to praise myself”

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# Hyperbolic geometry



Visualising hyperbolic geometry: Poincaré disk model

## The nature of hyperbolic geometry:

- **Key difference:** Through a point not on a line, infinitely many lines can be drawn parallel to the given line
- Triangles in hyperbolic space have the sum of their angles **less than 180 degrees**
- The geometry of a saddle surface or hyperbolic paraboloid
- Initially met with scepticism, but later understood as equally consistent as Euclidean geometry

**A bold departure:** Lobachevsky and Bolyai's independent publications shattered the belief that Euclidean geometry was the only possible geometry, opening the door to revolutionary new ways of understanding space

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## Bernhard Riemann

### A visionary student of Gauss:

- German mathematician, one of Gauss's last and most brilliant students
- Known for his profound and highly abstract contributions to geometry, complex analysis, and number theory
- His 1854 habilitation lecture, *On the Hypotheses which Lie at the Bases of Geometry*, revolutionised the concept of space itself



Bernhard Riemann (1826–1866)





## Bernhard Riemann

**Riemannian geometry:** The geometry of curved manifolds

- Instead of just negating a postulate, Riemann generalised geometry to allow for spaces with **variable curvature** at every point
- Introduced the concept of an ***n*-dimensional manifold**: a space that locally resembles Euclidean space but can be globally curved
- Defined the **Riemannian metric**: a way to measure distances and angles on these curved spaces, using differential calculus





## Bernhard Riemann

**Elliptic geometry** (A special case):

- In this geometry, through a point not on a given line, there are **no parallel lines**
- The sum of angles in a triangle is **greater than 180 degrees**
- Can be visualised as the geometry on the surface of a sphere (e.g., lines are great circles, triangles formed by meridians and equator)
- Has constant positive curvature

**Impact on physics of 20<sup>th</sup> century**: Riemannian geometry provided the mathematical framework for **Einstein's theory of general relativity**, where gravity is understood as the curvature of spacetime itself

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# Évariste Galois



Évariste Galois (1811–1832)

## A brief, tragic life:

- French mathematician whose genius was largely unrecognised during his lifetime
- A republican activist during a turbulent political period in France, leading to arrests and imprisonment
- Died in a duel at the age of 20, leaving behind revolutionary mathematical manuscripts
- His work was only fully understood and published posthumously (by Liouville in 1846)





# Évariste Galois

## Solvability of polynomial equations:

- For centuries, mathematicians sought formulas to solve polynomial equations using radicals (square roots, cube roots, etc.)
- Quadratic formula (2<sup>nd</sup> degree):  $ax^2 + bx + c = 0$
- Cubic (3<sup>rd</sup> degree) and quartic (4<sup>th</sup> degree) formulas were found in the 16<sup>th</sup> century (Cardano, Tartaglia, Ferrari)
- A long-standing quest was to find a general formula for the **quintic equation** (5<sup>th</sup> degree) and higher
- **Abel-Ruffini theorem** (Ruffini, 1799; Abel, 1824): Proved that no general formula exists for solving quintic or higher-degree polynomial equations using only radicals

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## Évariste Galois

**Galois's revolutionary insight:** Symmetry and groups

- Instead of looking for a formula, Galois asked: *Under what conditions* can a polynomial equation be solved by radicals?
- His answer lay in the **symmetries of the roots** of the polynomial equation
- He invented **group theory** to describe these symmetries. A group is a set with a binary operation satisfying certain axioms (closure, associativity, identity, inverse)
- He showed that a polynomial equation is solvable by radicals if and only if its associated **Galois group** has a specific structure (it must be “solvable”)

**The dawn of abstract algebra:** Galois's work introduced abstract algebraic structures (groups) to solve a concrete problem, opening up an entirely new way of doing mathematics based on the study of abstract systems and their symmetries

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## Foundations rebuilt, universes reimagined

### A new era of rigour, abstraction, and conceptual freedom

- **The quest for Rigour:** Driven by the need to resolve paradoxes and establish certainty, mathematicians like **Cauchy** provided the precise, arithmetical definitions of limits, continuity, and convergence that underpin modern analysis. **Bolzano**, **Abel**, and **Dirichlet** further refined these foundations
- **Revolutionary geometries:** The long-standing parallel postulate was finally understood as independent, leading to the astonishing discoveries of consistent **Non-Euclidean geometries** by **Lobachevsky**, **Bolyai**, and implicitly **Gauss**. **Riemann**'s work generalised geometry to curved manifolds, laying the groundwork for modern physics





## Foundations rebuilt, universes reimagined

### A new era of rigour, abstraction, and conceptual freedom

- **Birth of abstract algebra:** **Galois**'s brilliant work on the solvability of polynomial equations introduced the revolutionary concept of **group theory**, marking the beginning of abstract algebra and the study of symmetries as fundamental mathematical objects

*The first half of the 19<sup>th</sup> century shattered centuries-old assumptions about numbers, space, and algebraic structures, replacing them with a newfound rigour and a powerful embrace of abstract thinking. This intellectual freedom opened doors to even more profound and surprising discoveries yet to come*





## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)

(H.P. Lovecraft, *The Call of Cthulhu*, 1926)

*Without knowing what futurism is like, Johansen achieved something very close to it when he spoke of the city; for instead of describing any definite structure or building, he dwells only on broad impressions of vast angles and stone surfaces — surfaces too great to belong to any thing right or proper for this earth, and impious with horrible images and hieroglyphs. I mention his talk about angles because it suggests something Wilcox had told me of his awful dreams. He had said that the geometry of the dream-place he saw was abnormal, non-Euclidean, and loathsome redolent of spheres and dimensions apart from ours. Now an unlettered seaman felt the same thing whilst gazing at the terrible reality.*





# History of Mathematics: Lecture 12

## Syllabus:

Mathematics in the 19<sup>th</sup> century:

- Deepening analysis and new abstractions



## Deepening analysis and new abstractions

### Building on a century of revolution:

- Recall previous lesson: The seismic shifts in foundations (rigour), geometry (non-Euclidean), and algebra (groups)
- This lesson continues the trend of abstraction and deeper inquiry
- Mathematicians now had a clearer understanding of what **proof** meant

### Key focus for this lesson:

- The **arithmetisation of analysis**: Establishing real numbers and functions on a purely numerical basis (Weierstrass, Dedekind)
- The expansion of **abstract algebra**: Beyond groups to new algebraic structures (Hamilton, Cayley, Grassmann)
- The revolutionary world of **set theory**: Confronting the nature of infinity (Cantor)





## Foundations rebuilt, universes reimagined

### Rigour, non-Euclidean worlds, and group symmetries

- **The quest for rigour:** **Cauchy** provided precise, arithmetical definitions for limits, continuity, and convergence, laying the modern foundation for analysis. Figures like **Bolzano**, **Abel**, and **Dirichlet** further contributed
- **Revolutionary geometries:** Challenging Euclid's fifth postulate led to the discovery of consistent **non-Euclidean geometries** (e.g., hyperbolic geometry by **Lobachevsky** and **Bolyai**, with **Gauss**'s earlier, unshared insights). **Riemann** generalised geometry to curved manifolds, foreshadowing relativity
- **Birth of abstract algebra:** **Galois**'s work on polynomial solvability introduced the concept of **group theory**, inaugurating the study of abstract algebraic structures and symmetries

*Previous lesson revealed that mathematics was not static but a dynamic field capable of fundamental conceptual shifts.*

*Now, let's explore how these shifts deepened!*

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## Karl Weierstrass

### From high school teacher to “Father of Modern Analysis”:

- German mathematician, initially studied law and finance, later mathematics
- Spent years as a gymnasium (high school) teacher before his extraordinary research was recognised, leading to a professorship in Berlin
- Renowned for his meticulous and systematic approach, and his insistence on absolute rigour
- His influential teaching at Berlin shaped a generation of mathematicians



Karl Weierstrass (1815–1897)





## Karl Weierstrass

**Beyond Cauchy:** The  $\epsilon - \delta$  formalism:

- While Cauchy began the process of rigour, his definitions still had some ambiguities (e.g., in the distinction between pointwise and uniform convergence)
- Weierstrass provided the definitive, completely arithmetical definitions of fundamental concepts
- He fully formalised the  **$\epsilon - \delta$  definition of a limit** and **continuity**:
  - $L = \lim_{x \rightarrow a} f(x)$  if for every  $\epsilon > 0$ , there exists a  $\delta > 0$  such that if  $0 < |x - a| < \delta$ , then  $|f(x) - L| < \epsilon$
- This precise language eliminated reliance on “infinitesimals” or geometric intuition





## Karl Weierstrass

**Key contributions to rigorous analysis:**

- **Uniform convergence:** Crucial for interchanging limit operations (e.g., integral of a limit, derivative of a series)
- **Continuous, nowhere differentiable functions:** Constructed the first formal example (1872) of a function that is continuous everywhere but differentiable nowhere, challenging classical intuition
- **Foundation of real numbers:** His program aimed to base all of analysis on properties of integers, leading to Dedekind's work
- **Analytic functions through power series:** Believed that analytic functions (representable by power series) should be the fundamental objects of study in analysis

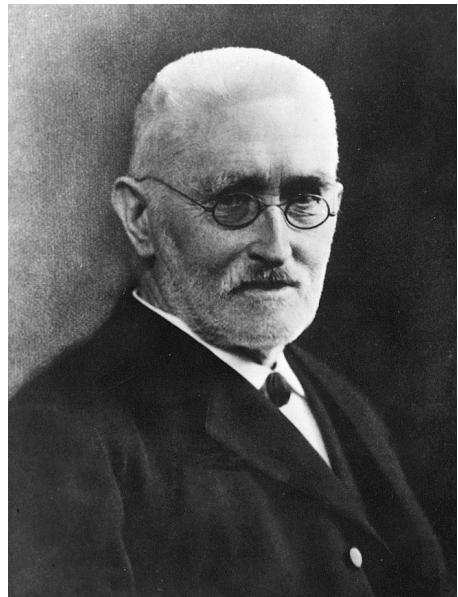
**The pinnacle of rigour:** Weierstrass completed the arithmetisation of analysis, establishing the rigorous framework that continues to define mathematical analysis today

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# Richard Dedekind



Richard Dedekind (1831–1916)

## The missing foundation:

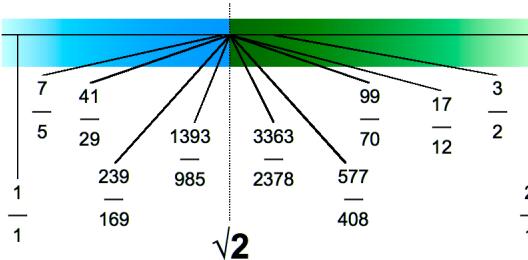
- Even with Cauchy's and Weierstrass's rigour for limits and continuity, the **real numbers themselves** lacked a precise, logical foundation
- What exactly **is** an irrational number? How do we define continuity of the real line?
- This foundational gap needed to be addressed to truly “arithmetise” analysis





# Richard Dedekind

**Dedekind cuts (1872):**



- Dedekind, a student of Gauss, provided a rigorous construction of the real numbers from the rational numbers
- A **Dedekind cut** is a partition of the rational numbers  $\mathbb{Q}$  into two non-empty sets,  $A$  and  $B$ , such that:
  1. Every rational number is in either  $A$  or  $B$
  2. Every element of  $A$  is less than every element of  $B$
  3.  $A$  contains no greatest element
- This cut uniquely defines a real number (rational if  $B$  has a least element, irrational otherwise)
- This concept precisely captured the “completeness” of the real number line, ensuring there are “no gaps”

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## Richard Dedekind

### Impact of Dedekind's work:

- Provided the logical bedrock for all of analysis by rigorously defining the numbers used
- Showed that the continuum of real numbers could be constructed purely from the simpler rational numbers
- Cemented the arithmetisation program by placing analysis on an unshakeable numerical foundation

**Defining the continuum:** Dedekind's construction of the real numbers removed the last major intuitive ambiguity from the foundations of analysis, completing its arithmetisation





## William Rowan Hamilton

### The quest for numbers in 3D:

- Irish mathematician, physicist, and astronomer. A child prodigy, fluent in many languages
- Professor of Astronomy at Trinity College Dublin at 22, while still an undergraduate
- Fascinated by extending complex numbers (which model 2D rotations and scaling) to represent points or transformations in 3D space
- Spent years trying to define a consistent “multiplication” for triplets of numbers  $(a + bi + cj)$  that behaved like standard numbers



William Rowan Hamilton (1805–1865)





## William Rowan Hamilton

**The eureka moment** (1843):

The non-commutative *quaternions*:

- While walking with his wife along Dublin's Royal Canal, the solution suddenly struck him. He carved the famous relations onto Brougham Bridge:

$$i^2 = j^2 = k^2 = ijk = -1$$

- This led to the discovery of **quaternions**, a number system of the form  $a + bi + cj + dk$ , where  $a, b, c, d$  are real numbers, and  $i, j, k$  are imaginary units
- The crucial insight: multiplication for quaternions is **non-commutative** ( $ij = k$  but  $ji = -k$ )





## William Rowan Hamilton

### Impact and legacy:

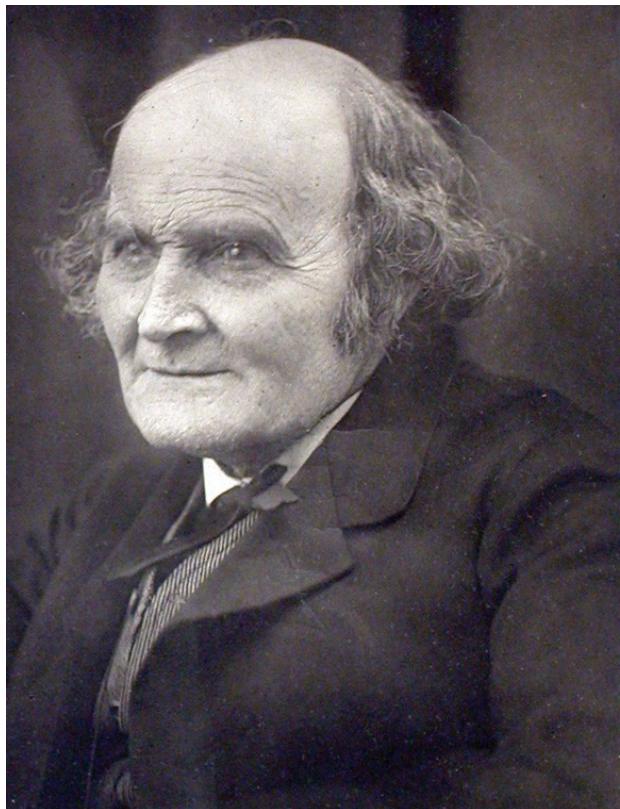
- A radical departure from traditional arithmetic, where multiplication was always assumed to be commutative
- Demonstrated that new, consistent algebraic systems could be created by **relaxing** an axiom (commutativity in this case)
- Initially seen as a powerful tool for 3D rotations in physics, though later largely superseded by vector algebra (developed by Gibbs and Heaviside from Grassmann's ideas)
- Fundamental for the development of modern **abstract algebra**, particularly the study of non-commutative rings and algebras
- Still used in computer graphics, aerospace engineering (for orientation), and quantum mechanics

**Algebra's new freedom:** Hamilton's quaternions broke the shackles of arithmetic, revealing that algebra could explore entirely new structures, not just generalise existing ones





## Arthur Cayley



Arthur Cayley (1821–1895)

### English polymath and legal professional:

- English mathematician, one of the most prolific of the 19<sup>th</sup> century, publishing over 900 papers
- Held a prestigious fellowship at Trinity College, Cambridge, but also pursued a career as a lawyer for 14 years, practising conveyancing
- His legal work provided him with financial independence, allowing him to pursue mathematics without academic pressures
- Later became the first Sadlerian Professor of Pure Mathematics at Cambridge





## Arthur Cayley

**The birth of matrix theory** (1850s):

- While matrices appeared implicitly earlier (e.g., in Gauss's work on quadratic forms), **Cayley was the first to develop the algebra of matrices explicitly**
- Defined matrix addition, scalar multiplication, and most importantly, **matrix multiplication** (which is also non-commutative, like Hamilton's quaternions, but in a different context)
- Realised that matrices could represent **linear transformations** (rotations, scaling, shears) in a concise and general way
- **Cayley-Hamilton theorem**: Every square matrix satisfies its own characteristic polynomial (proved by Cayley for  $2 \times 2$  and  $3 \times 3$ , later generalised)





## Arthur Cayley

### Broader impact on abstract algebra:

- Laid the foundation for **linear algebra** as an abstract subject, independent of specific coordinates or geometric interpretations
- Contributed to the development of **group theory**, providing concrete examples of groups as collections of permutations (Cayley's Theorem states every group is isomorphic to a group of permutations)
- Pioneering work on **invariant theory**, studying properties that remain unchanged under transformations

**The language of transformations:** Cayley's abstract formulation of matrices provided a powerful new language for studying linear transformations and ushered in the era of modern linear algebra, foundational to physics, computer science, and engineering





## Hermann Grassmann

### A visionary ahead of his time:

- German linguist, physicist, and mathematician
- Primarily a high school teacher (gymnasium), his radical mathematical ideas were initially largely ignored or misunderstood by his contemporaries
- His work was far too abstract for its time, only gaining significant recognition in the late 19<sup>th</sup> and early 20<sup>th</sup> centuries



Hermann Grassmann (1809–1877)





## Hermann Grassmann

The *Ausdehnungslehre* (Theory of Extension, 1844/1862):

- His most significant work, attempting to create a universal algebraic language for all of geometry and physics
- Introduced concepts now fundamental to linear algebra and differential geometry:
  - **Vector spaces**: Abstract spaces where elements (vectors) can be added and scaled, without reliance on coordinates
  - **Linear independence** and **dimension**
  - **Inner product** (dot product) for measuring angles and lengths
- Developed **exterior algebra** (or Grassmann algebra): A system for manipulating higher-dimensional quantities like areas (2-vectors) and volumes (3-vectors) in an abstract, coordinate-free way. This involves the “wedge product” ( $\wedge$ )





## Hermann Grassmann

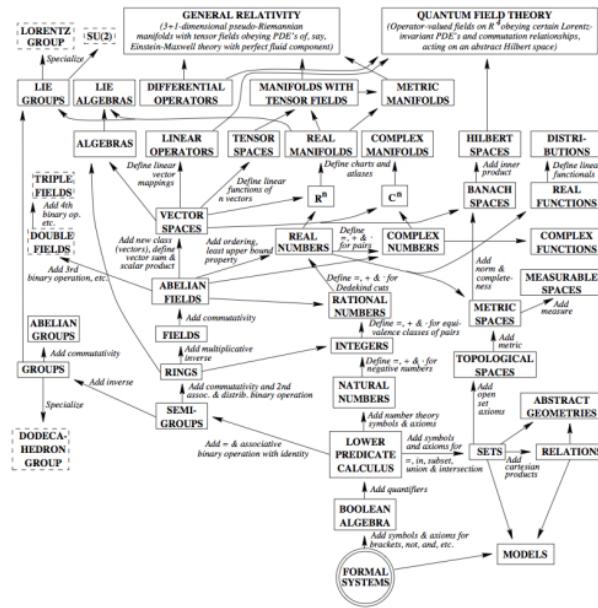
### Impact and legacy:

- His ideas were a crucial conceptual precursor to modern vector calculus and tensor analysis
- Provided the abstract framework that underpins much of 20<sup>th</sup> century physics (e.g., electromagnetism, general relativity) and computer graphics
- Demonstrated that geometry could be studied algebraically, not just visually or axiomatically
- Emphasised the power of abstract definition, allowing for structures far removed from physical intuition

**The foundation of modern geometry and physics:** Grassmann's highly abstract theories provided the conceptual tools to describe geometric and physical quantities in any number of dimensions, paving the way for manifold theory and modern physics



# The universe of abstract algebraic structures



## The hierarchy of algebraic structures

## From concrete to abstract:

- Starting with **Galois's groups**  
(symmetries of roots)
- Moving to **Hamilton's quaternions**  
(non-commutative numbers)
- And **Cayley's matrices**  
(non-commutative transformations)
- Further abstract notions of **vector spaces**  
by Grassmann (sets with addition and scalar multiplication)
- The 19<sup>th</sup> century saw a gradual but definitive shift from studying **specific** numbers or operations to defining **general sets with operations** that satisfy certain axioms





# The universe of abstract algebraic structures

## Emergence of other structures:

- While formal definitions came later, the groundwork for other fundamental algebraic structures was laid
  - **Rings**: Sets with two operations (like addition and multiplication) that satisfy certain properties (e.g., integers, polynomials). The concept was implicit in number theory (Dedekind, Kronecker)
  - **Fields**: Rings where every non-zero element has a multiplicative inverse (e.g., rational numbers, real numbers, complex numbers). Important for Galois theory
- The focus moved to understanding the **properties** of these abstract systems rather than just calculating with specific numbers





# The universe of abstract algebraic structures

**Why this abstraction?** Theoretical power:

- **Generalisation:** Theorems proven for an abstract group (or ring, or vector space) apply to **all** concrete examples of that structure
- **Unified framework:** Different areas of mathematics (number theory, geometry, analysis) could be seen to share underlying algebraic structures
- **New problems:** The study of these structures became a rich field of research in itself, leading to deep questions about classification and properties

**From solving equations to building worlds:** The 19<sup>th</sup> century transformed algebra from the science of equations into the science of abstract structures, providing a universal language for patterns and symmetries across mathematics

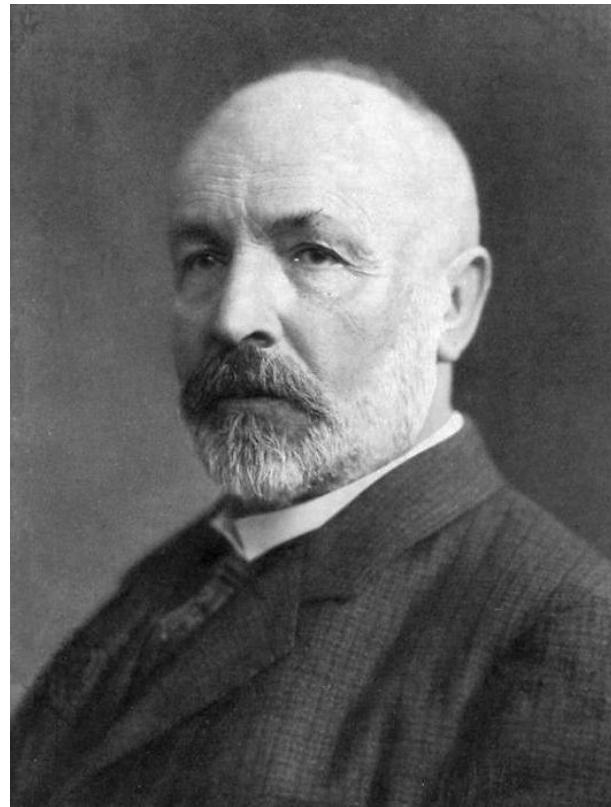




## Georg Cantor

### A pioneer of the infinite:

- German mathematician, born in Russia. Studied at Zürich and Berlin (under Weierstrass)
- Began his research trying to understand the convergence of Fourier series, which led him to questions about the nature of point sets
- His work on infinity was highly original, deeply philosophical, and initially met with strong resistance and controversy
- He faced significant personal struggles and professional opposition, notably from Leopold Kronecker



Georg Cantor (1845–1918)





## Georg Cantor

**The problem:** What is *infinity*?

- Ancient Greek mathematicians (e.g., Zeno's paradoxes) avoided the actual infinite, dealing only with “potential infinity”
- 18<sup>th</sup> century calculus used infinity informally (e.g., infinite series, limits approaching infinity)
- Cauchy and Weierstrass formalised limits using finite quantities, but the nature of infinite **sets** remained vague
- Cantor dared to ask: Can there be **different sizes** of infinity?





## Georg Cantor

### Key concepts introduced:

- **Set theory**: The fundamental theory of collections of objects
- **One-to-one correspondence**: A method for comparing the “size” of sets (cardinality), even infinite ones
- **Cardinal numbers**: A way to quantify the size of sets (e.g.,  $\aleph_0$ )
- **Transfinite numbers**: Numbers representing different levels of infinity

**Redefining the foundations of mathematics**: Cantor’s set theory provided a new language and framework for all of mathematics, forcing a re-evaluation of fundamental concepts like number, quantity, and space





# Different infinities!

**Countable infinity ( $\aleph_0$ ):**

- A set is **countably infinite** if its elements can be put into a one-to-one correspondence with the set of natural numbers  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$
- Examples:
  - The set of **integers**  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$  (can be “counted” as  $0, 1, -1, 2, -2, \dots$ )
  - The set of **rational numbers**  $\mathbb{Q}$  (Cantor showed this is also countable using a diagonalisation argument, pairing numerators and denominators)
- The cardinality of these sets is denoted by  $\aleph_0$  (aleph-null or aleph-zero)

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## Different infinities!

### Uncountable infinity ( $\mathfrak{c}$ ):

- Cantor's groundbreaking discovery: Some infinite sets are “larger” than others
- The set of **real numbers**  $\mathbb{R}$  is **uncountably infinite**
- **Cantor's diagonalisation argument** (1874): Proved that for any assumed list of real numbers between 0 and 1, one can always construct a new real number not on the list
- This demonstrated that the continuum of real numbers cannot be put into one-to-one correspondence with the natural numbers





## Different infinities!

### The continuum hypothesis:

- Cantor hypothesised that there is no set whose cardinality is strictly between that of the natural numbers ( $\aleph_0$ ) and the real numbers ( $\mathfrak{c}$ )
- That is,  $\mathfrak{c} = \aleph_1$  (the next cardinal number after  $\aleph_0$ )
- This problem remained unsolved for over a century and was later shown to be **independent** of the standard axioms of set theory (Gödel 1940, Cohen 1963)





## Different infinities!

**Cantor's theorem** (power set):

- For any set  $A$ , the power set  $\mathcal{P}(A)$  (the set of all subsets of  $A$ ) has a strictly greater cardinality than  $A$  itself
- This implies an infinite hierarchy of infinities:  $\aleph_0 < 2^{\aleph_0} < 2^{2^{\aleph_0}} < \dots$

**A universe of infinities:** Cantor's work unveiled a rich and complex structure within the realm of the infinite, forever changing our understanding of quantity and laying the groundwork for mathematical logic

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## Towards a new mathematical universe

### From arithmetisation to the abstract and the infinite

- **The arithmetisation of analysis:** The foundations of calculus were fully solidified by **Weierstrass**'s rigorous  $\epsilon - \delta$  definitions and **Dedekind**'s construction of the real numbers, removing all remaining ambiguities and intuitive leaps
- **Expanding abstract algebra:** The bold steps taken by **Hamilton** (quaternions), **Cayley** (matrices), and **Grassmann** (vector spaces, multilinear algebra) demonstrated the power and freedom of defining abstract algebraic structures beyond traditional arithmetic
- **The revolution of set theory:** **Cantor**'s groundbreaking work unveiled the hierarchy of different infinities (**countable** vs. **uncountable**), laying the groundwork for a new fundamental theory but also stirring controversy





## Towards a new mathematical universe

*The 19<sup>th</sup> century transformed mathematics from a science of quantity and calculation into a rigorous, abstract discipline centred on the study of structures, patterns, and the very nature of mathematical objects. This unprecedented level of abstraction, while powerful, also led to unforeseen challenges and paradoxes, setting the stage for a dramatic foundational re-evaluation at the turn of the 20<sup>th</sup> century*

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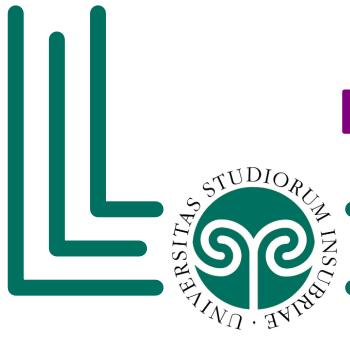
## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)

For the fun of the reader, we suggest to read any puzzle book by *Raymond Smullyan*, for example, “Satan, Cantor and Infinity” (1992): they are playful but still rigorous introduction to the mysteries of set theory





# History of Mathematics: Lecture 13

## Syllabus:

The turn of the 19<sup>th</sup> and 20<sup>th</sup> Century:

- Foundations in crisis, logic reborn



## Foundations in crisis, logic reborn

### A period of profound transition:

- Following the revolutionary 19<sup>th</sup> century, mathematics reached new heights of abstraction and rigour
- This period, roughly 1890–1930, was marked by both a synthesis of prior achievements and a critical examination of mathematical foundations
- Question: Has mathematics achieved absolute certainty, or are there cracks in the bedrock

### Key focus for this lesson:

- **Foundational crises:** The emergence of paradoxes in set theory (Russell's Paradox)
- **The program for mathematics:** Hilbert's Problems and the quest for consistency
- **Birth of mathematical logic:** Attempts to formalise and axiomatise mathematics (Frege, Peano, Russell, Whitehead)
- **New fields emerge:** Measure theory (Lebesgue) and topology (Poincaré)





## The 19<sup>th</sup> century's legacy

### Rigour, abstraction, and the untamed infinite

- **Rigorous analysis:** **Weierstrass** and **Dedekind** built calculus on solid arithmetical foundations, eliminating intuition. But did this make mathematics **absolutely** safe?
- **Abstract algebra:** **Galois**, **Hamilton**, **Cayley**, and **Grassmann** showed the power of abstract structures (groups, quaternions, matrices, vector spaces). This led to immense generalisation but also questions: What **is** a mathematical object?
- **Set theory and the infinite:** **Cantor**'s groundbreaking work revealed different sizes of infinity and made the infinite an **actual**, manipulable mathematical object. This was revolutionary but also deeply unsettling and led to its own internal difficulties

*The very tools that brought unprecedented power and clarity to 19<sup>th</sup> century mathematics also revealed its latent vulnerabilities, particularly in the realm of set theory, sparking a profound crisis of confidence*





## Unsettling paradoxes in set theory

### The unforeseen consequence of abstraction:

- The 19<sup>th</sup> century's drive for rigour and abstraction, especially Cantor's set theory, led to unprecedented conceptual power
- However, it also exposed hidden vulnerabilities in the underlying assumptions about sets and logic
- A *paradox* in mathematics is not just a tricky problem, but a seemingly valid deduction from accepted axioms that leads to a contradiction
- Such contradictions threatened the very consistency and reliability of mathematics

### Naive set theory's premise:

- Cantor's implicit assumption (and initially, that of others): Any "well-defined collection of objects" can form a set
- This seemingly intuitive idea was the fertile ground for paradoxes





## Unsettling paradoxes in set theory

Key paradoxes emerged:

- **Burali-Forti paradox** (1897): Concerns the set of all ordinal numbers. Its existence leads to a contradiction
- **Cantor's paradox** (1899): Concerns the “set of all sets”. If such a set exists, its power set must have a greater cardinality, yet it must already contain all sets
- **Russell's paradox** (1901): Perhaps the most famous and impactful, demonstrating a flaw in the very definition of a set

**A jolt to certainty:** These paradoxes revealed that even seemingly simple and intuitive concepts, when pushed to their logical limits, could lead to fundamental inconsistencies, demanding a re-evaluation of mathematical foundations





## Russell's paradox

The simple, devastating contradiction:

- Formulated by **Bertrand Russell** (1901)
- It exposed a fatal flaw in naive set theory's unrestricted *comprehension axiom* (that any well-defined property defines a set)
- Consider the set  $R$  of all sets that are **not members of themselves**
  - $R = \{x \mid x \notin x\}$
- Now, ask the question: **Is  $R$  a member of itself ( $R \in R$ )?**
  - If  $R \in R$ , then by definition of  $R$ ,  $R \notin R$ . (Contradiction!)
  - If  $R \notin R$ , then by definition of  $R$ ,  $R \in R$ . (Contradiction!)
- This leads to a logical contradiction, meaning the set  $R$  cannot exist under the naive assumption





## Russell's paradox

**Analogy:** the barber paradox

- In a village, there is a barber who shaves all and only those men in the village who do not shave themselves
- Who shaves the barber?
  - If the barber shaves himself, then by definition, he does not shave himself
  - If the barber does not shave himself, then by definition, he shaves himself
- This simple analogy captures the essence of Russell's paradox

**The impact: Devastating to foundational programs** Russell's paradox immediately undermined the nascent logicist program of **Gottlob Frege**, who was attempting to derive all of mathematics from logic and naive set theory. It showed that fundamental assumptions were flawed





## Competing philosophies

Three main schools of thought emerged:

- **Logicism:** Aimed to reduce all of mathematics to logic. Mathematics is seen as a branch of logic
  - **Proponents:** Gottlob Frege, Bertrand Russell, Alfred North Whitehead
  - **Challenge:** Undermined by Russell's Paradox (as discussed)
- **Intuitionism:** Argued that mathematics is fundamentally a mental construction, and only constructively proven objects exist. Rejected the Law of Excluded Middle for infinite sets
  - **Proponents:** L.E.J. Brouwer
  - **Challenge:** Very restrictive, much of classical mathematics deemed non-existent
- **Formalism:** Viewed mathematics as a formal game played with symbols according to strict rules (axioms). The goal was to prove the consistency of these axiomatic systems
  - **Proponent:** David Hilbert.
  - **Promise:** Offered a path to absolute certainty





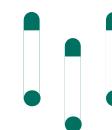
# David Hilbert



David Hilbert (1862–1943)

## The program for mathematics

- German mathematician, one of the most influential of the 20<sup>th</sup> century
- Believed mathematics could be placed on a firm, axiomatic foundation
- His “Program” aimed to:
  - Prove the **consistency** of arithmetic using finite, indisputable methods (finitary methods)
  - Show the **completeness** of axiomatic systems (all true statements are provable)
  - Ensure the **decidability** of mathematical statements (an algorithm exists to determine truth/falsity)





## David Hilbert

**A grand vision for certainty:** Hilbert's Program was the leading attempt to establish a definitive, unshakeable foundation for all of mathematics, driven by the desire to overcome the foundational crises

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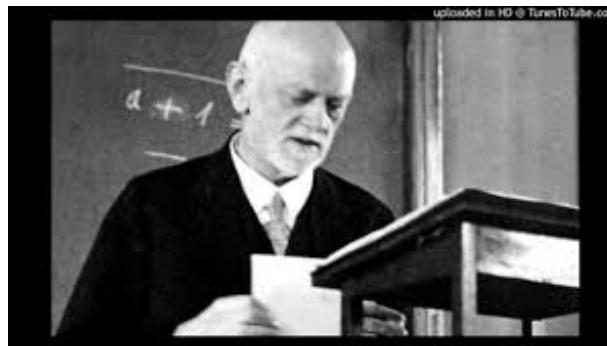




# Hilbert's problems

## The Paris address:

- At the **International Congress of Mathematicians in Paris in 1900**, David Hilbert delivered a seminal address
- He presented a list of **23 unsolved mathematical problems** that he believed would be central to the development of mathematics in the coming century
- This list became an immense driving force for research, shaping the direction of mathematics for decades
- Many problems have since been solved, some partially, and a few remain open



Hilbert's 23 problems for the 20<sup>th</sup> century





## Hilbert's problems

Problems related to foundations:

- **Problem 1: The continuum hypothesis:** Is there a set whose cardinality is strictly between that of the natural numbers and the real numbers? (Cantor's problem)
- **Problem 2: The consistency of arithmetic:** Is the system of axioms for elementary arithmetic free from contradiction? Can this consistency be proven using only finitary means? (Central to Hilbert's program)
- **Problem 10: Diophantine equations:** Given a Diophantine equation with any number of unknown quantities and with rational integral coefficients, to devise a process by which it can be determined in a finite number of operations whether the equation has a solution in rational integers. (The “decidability” aspect, later shown to be impossible by Matiyasevich's theorem)





## David Hilbert

### The axiomatic method:

- Hilbert championed the **axiomatic method**: defining mathematical structures (like geometry or numbers) through a small set of basic assumptions (axioms) and logically deriving all theorems
- This approach aimed to bring rigour and clarity to every branch of mathematics
- Example: His work on the foundations of geometry, showing its consistency if arithmetic is consistent

**A blueprint for progress and a battle for truth:** Hilbert's problems not only directed research but also formalised the grand challenge of the early 20<sup>th</sup> century: to establish the absolute certainty and self-consistency of mathematics





## Towards mathematical logic

### The roots of symbolic logic:

- The formal, symbolic approach to logic began earlier with figures like **George Boole** (mid-19<sup>th</sup> century), who developed Boolean algebra
- This provided an algebraic system for logical operations (AND, OR, NOT)
- However, to formalise mathematics itself and its internal structure, a more expressive system (like predicate logic) was needed, leading to Frege's work

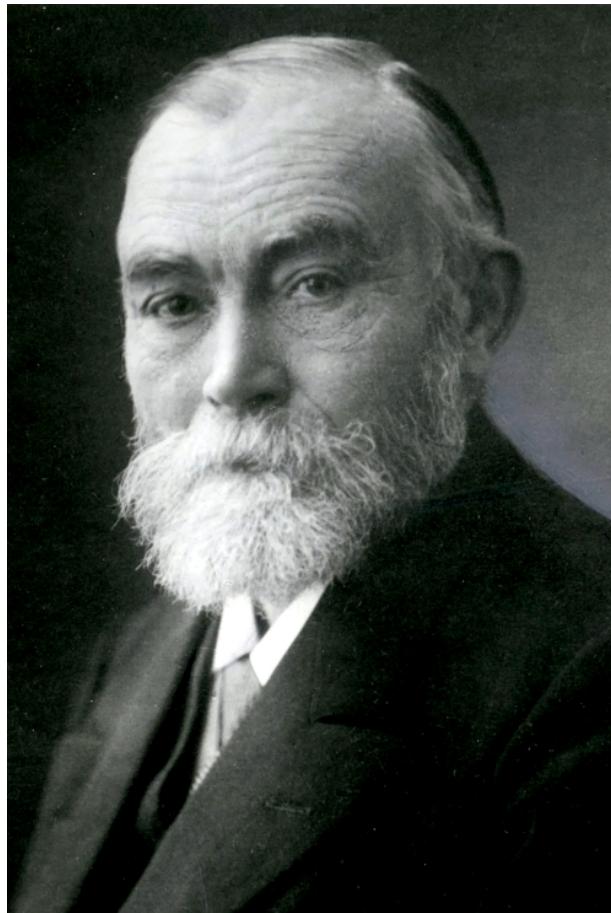




## Gottlob Frege

**A vision of logic as the foundation of mathematics:**

- German mathematician, logician, and philosopher
- Largely ignored during his lifetime, his work was tragically undermined by Russell's paradox
- His ultimate goal (**the logicist program**) was to demonstrate that **arithmetic could be derived entirely from logic**, without recourse to intuition or empirical observation



Gottlob Frege (1848–1925)





## Gottlob Frege

### Key innovations in formal logic:

- **Begriffsschrift** (Concept-script, 1879): His groundbreaking work, arguably the most important single work in logic after Aristotle
- Invented **predicate logic** (or quantifier logic):
  - Introduced **quantifiers** ( $\forall$  “for all”,  $\exists$  “there exists”) to express generality and existence precisely
  - Distinguished between concepts (predicates) and objects, moving beyond the simple subject-predicate structure of traditional Aristotelian logic
- Developed a rigorous **formal symbolic language** for logic, allowing for unambiguous and mechanical derivation of proofs
- Pioneered the idea of a **formal system** with axioms and rules of inference





## Gottlob Frege

**Grundgesetze der Arithmetik** (Basic Laws of Arithmetic, 1893, 1903):

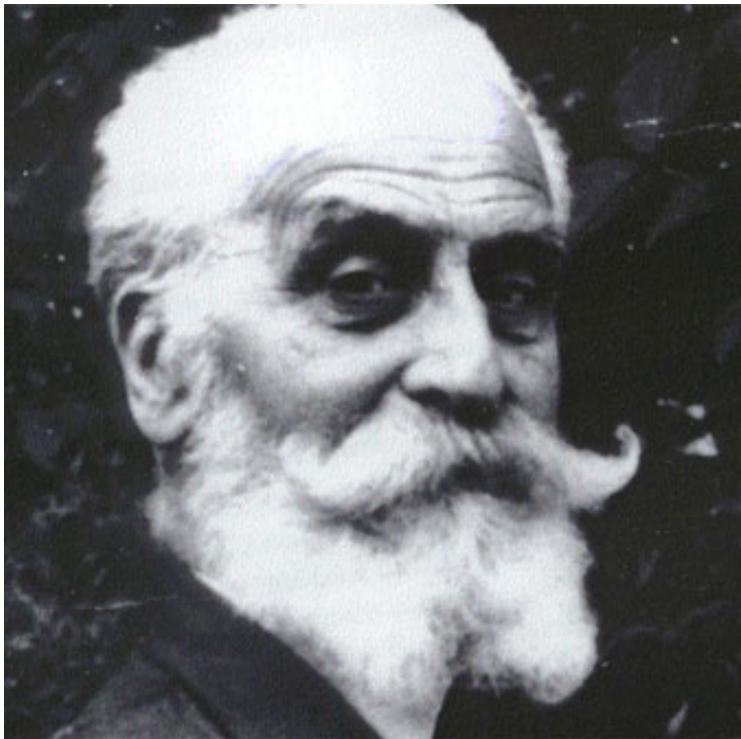
- His ambitious attempt to execute the logicist program
- In Volume I (1893), he set out axioms from which he believed all of arithmetic could be derived
- Just as Volume II (1903) was going to press, he received a letter from **Bertrand Russell**, pointing out the devastating paradox in his system (Russell's Paradox)

**A masterpiece undermined**: Frege's work revolutionised logic, but his grand project to derive mathematics from it was tragically incomplete due to unforeseen paradoxes, requiring future mathematicians to rebuild the foundations of set theory





## Giuseppe Peano



Giuseppe Peano (1858–1932)

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**Italian mathematician and pioneer of axiomatics:**

- Italian mathematician, logician, and linguist
- Focused on rigorously formalising existing mathematical theories, particularly arithmetic
- Advocated for the clear and precise use of symbolic language in mathematics





## Giuseppe Peano

**Peano axioms (1889):**

- A set of five axioms that formally define the natural numbers ( $\mathbb{N}$ ) and their properties (addition, multiplication)
- These axioms are the standard foundation for arithmetic today
  1. 0 is not the successor of any natural number
  2. If two natural numbers have the same successor, then they are equal
  3. The inductive definition of addition
  4. The inductive definition of multiplication
  5. (Principle of mathematical induction): If a property holds for 0, and holds for the successor of any number for which it holds, then it holds for all natural numbers
- This provided a concrete, consistent axiomatic basis for the most fundamental numbers

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## Giuseppe Peano

### Contributions to symbolic logic and notation:

- Developed much of the notation that became standard in mathematical logic (e.g.,  $\in$  for “is an element of”,  $\subset$  for “is a subset of”,  $\exists$  “there exists”,  $\forall$  “for all”)
- His notation significantly improved the clarity and conciseness of logical expressions
- Founded the journal *Rivista di Matematica* and compiled the *Formulario Mathematico*, an ambitious project to express all known mathematics in a symbolic language

**The architect of formal systems:** Peano demonstrated how foundational mathematical structures could be rigorously defined by a few axioms, and his notation became indispensable for formalising mathematics





## Russell & Whitehead

**Bertrand Russell (1872–1970) & Alfred North Whitehead (1861–1947):**

- **Russell**: British philosopher, logician, mathematician, and social critic. His discovery of Russell's paradox directly challenged Frege's work
- **Whitehead**: British mathematician and philosopher. Initially Russell's teacher, then collaborator
- Shared the **logicist belief**: all of mathematics, particularly arithmetic, could be derived from fundamental logical principles and definitions, with set theory as an intermediary

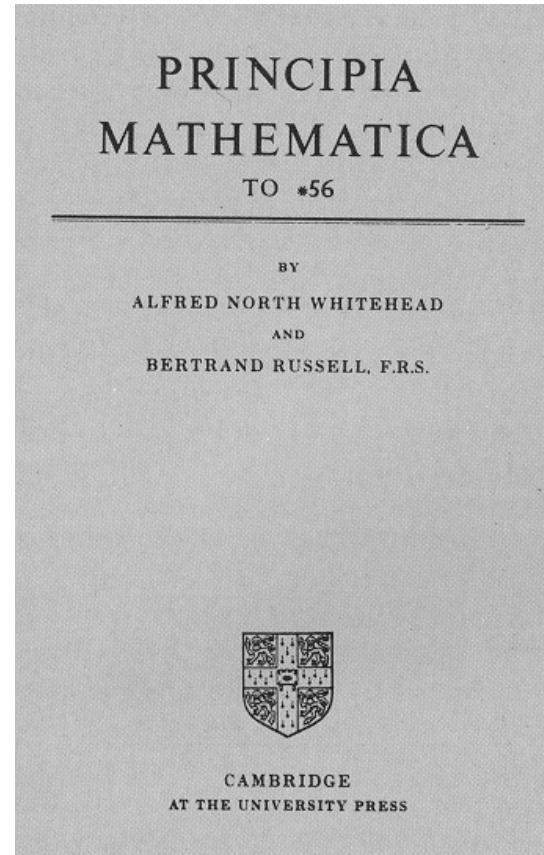




## Russell & Whitehead

The monumental *Principia Mathematica* (1910–1913):

- A three-volume work, over 2,000 pages, representing the zenith of the logicist program
- Its primary goal was to provide a **unified, formal, and axiomatic foundation for all of mathematics** based purely on logical primitives
- Introduced a vast array of new logical symbols and a precise, step-by-step derivation of mathematical concepts
- Famously, it took until page 362 of Volume I to prove that  $1 + 1 = 2$



*Principia Mathematica* by Russell and Whitehead

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## Russell & Whitehead

Addressing the paradoxes: **Theory of types**

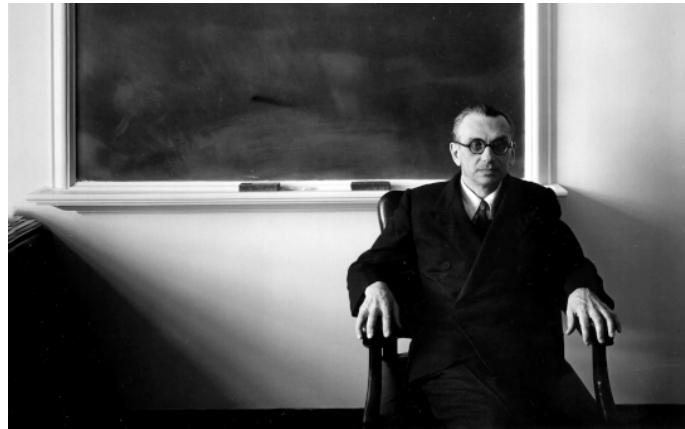
- To avoid Russell's Paradox and similar contradictions, they introduced the **theory of types**
- This theory restricts how sets can be formed and how properties can be applied, preventing “self-referential” definitions (e.g., a set cannot contain itself, and properties can only be applied to objects of a lower “type”)
- While successful in preventing known paradoxes, it made the system very complex and somewhat counter-intuitive

**The pinnacle and pitfalls of logicism:** *Principia Mathematica* was an extraordinary intellectual feat, demonstrating the possibility of formalising mathematics. However, its immense complexity and reliance on non-obvious axioms (like the Axiom of Reducibility) highlighted the challenges of the logicist ambition, paving the way for further foundational inquiries





# Gödel's incompleteness theorems



**Kurt Gödel** (1906–1978)

The unforeseen answer to Hilbert's dream:

- Austrian-American, arguably the most important logician since Aristotle
- His work profoundly impacted mathematical logic, philosophy of mathematics, and theoretical computer science
- While chronologically slightly after the “turn of the century” period (published 1931), his theorems directly addressed and fundamentally altered the goals of Hilbert's program

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# Gödel's incompleteness theorems

## Gödel's first incompleteness theorem:

- For any consistent axiomatic system capable of encoding basic arithmetic, there will always be **true statements within that system that cannot be proven within that system**
- In simpler terms: No single consistent axiomatic system can capture all mathematical truths about numbers
- This directly struck at Hilbert's goal of **completeness**

## Gödel's second incompleteness theorem:

- A consistent axiomatic system cannot prove its own consistency.
- This delivered another blow to Hilbert's program, particularly his goal of proving the consistency of arithmetic using finitary methods **within** the system itself





## Gödel's incompleteness theorems

**A profound paradigm shift:** Gödel's theorems demonstrated inherent limitations to formal axiomatic systems, showing that mathematics, despite its rigour, contains irreducible aspects of truth that cannot be fully captured by any single, consistent formal framework. This led to a re-evaluation of the nature of mathematical truth and proof





## Henri Lebesgue

### The limitations of Riemann integration:

- The Riemann integral (developed by Bernhard Riemann in the mid-19<sup>th</sup> century) works well for continuous or “nicely behaved” functions
- However, with the rise of increasingly complex and “pathological” functions (e.g., Dirichlet function, continuous nowhere differentiable functions from Weierstrass), its limitations became apparent
- Key problem: For some functions, the Riemann integral might not exist, or the order of integration and limits could not be interchanged reliably
- It summed areas of vertical “rectangles” under the curve





# Henri Lebesgue



Henri Lebesgue (1875–1941)

## Lebesgue's breakthrough:

Measuring sets (1902):

- French mathematician, a student of Émile Borel (who pioneered measure theory in more general contexts)
- Instead of slicing the domain (x-axis), Lebesgue conceived of slicing the **range (y-axis)** of the function
- He introduced the concept of **measure**: a systematic way to assign a *size* (length, area, volume) to subsets of space, including very complex ones

- The **Lebesgue integral** defines the integral of a function by partitioning its **range** into tiny intervals and summing the “measure” of the sets in the domain that map into those intervals

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# Henri Lebesgue

## The power of Lebesgue integration:

- Allows for the integration of a far broader class of functions than the Riemann integral
- Provides a robust framework for interchanging limits and integrals (e.g., Lebesgue's dominated convergence theorem)
- Absolutely foundational for:
  - **Functional analysis** (study of function spaces)
  - **Probability theory** (defining continuous probability distributions)
  - **Harmonic analysis** and **partial differential equations**

**A new foundation for analysis:** Lebesgue's measure theory provided a powerful, flexible, and rigorous generalisation of integration, becoming the standard for 20<sup>th</sup> century analysis and beyond





## Henri Poincaré

### The “Last Universalist”:

- French mathematician, theoretical physicist, engineer, and philosopher of science
- Often described as “the last universalist” for his contributions across nearly all branches of mathematics and physics of his time
- His work on celestial mechanics (the three-body problem) led him to entirely new mathematical concepts



Henri Poincaré (1854–1912)





## Henri Poincaré

### The birth of modern topology:

- Topology is the study of properties of spaces that are preserved under continuous deformations (stretching, bending, but not tearing or gluing)
- Poincaré's seminal work, *Analysis Situs* (1895–1904), is considered the foundational text of algebraic topology
- He introduced key concepts:
  - **Homology** and **homotopy**: Tools to distinguish spaces by their “holes” or “connectedness”
  - The **fundamental group**: A way to capture the “loops” in a space
- Unlike geometry (which studies rigidity) or analysis (which studies change), topology studies qualitative properties of shape





## Henri Poincaré

### Other major contributions:

- **Dynamical systems theory:** His work on the three-body problem pioneered chaos theory and qualitative analysis of differential equations
- **Special relativity:** Independent, near-simultaneous insights into relativity theory (Lorentz transformations)
- **Philosophy of science:** Argued for the conventional nature of geometry and the importance of intuition
- **Poincaré conjecture:** One of the most famous problems in topology (solved in 2003 by Perelman)

**A new lens for space:** Poincaré's invention of topology provided mathematics with a powerful new lens to understand shape and connectedness, independent of size or rigidity, opening up vast new areas of research

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## A foundation re-examined, a future unveiled

### From crises to new realms of inquiry

- **Foundational reckoning:** The seemingly robust mathematics of the 19<sup>th</sup> century faced its first major crisis with the discovery of **paradoxes in set theory** (e.g., Russell's Paradox), prompting a deep re-evaluation of its logical underpinnings
- **The axiomatic imperative:** **Hilbert's Program** provided an ambitious vision for a consistent and complete mathematics, driving the development of **mathematical logic** (Frege, Peano, Russell & Whitehead's *Principia Mathematica*)
- **Limits and new paradigms:** **Gödel's incompleteness theorems**, while coming slightly later, revealed inherent limitations to formal systems, profoundly shifting the understanding of mathematical truth
- **Seeds of new disciplines:** Simultaneously, groundbreaking work by **Lebesgue** (measure theory) and **Poincaré** (topology) established entirely new branches of mathematics that would flourish in the coming century





## A foundation re-examined, a future unveiled

*The turn of the century was a period of intense intellectual ferment. Mathematics emerged from this foundational turmoil not broken, but profoundly transformed, armed with new tools and a deeper understanding of its own nature. It was now ready to embark on its most explosive century of growth, expanding into an incredibly diverse and interconnected landscape of theories and applications*





## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)

This incredible period has been the source of inspiration for many popular books. Among those, we signal the highly enjoyable *Douglas R. Hofstadter*, Godel, Escher, Bach: An Eternal Golden Braid, Basic Books (1999)





# History of Mathematics: Lecture 14

## Syllabus:

The 20<sup>th</sup> century in Mathematics:

- Consolidation, abstraction, and new structures



## Consolidation and abstraction

### From foundations to vast new landscapes

#### The dawn of a new era:

- The 20<sup>th</sup> century witnessed an unparalleled expansion and diversification of mathematical knowledge
- Building on the rigour and abstraction of the 19<sup>th</sup> century, and the foundational debates of the turn of the century
- Mathematics becomes increasingly abstract, interconnected, and essential to other sciences





## Consolidation and abstraction

**Key focus for this lesson** (Early 20<sup>th</sup> century):

- **Consolidation and axiomatisation:** Establishing secure foundations (ZFC, Bourbaki)
- **Rise of functional analysis:** Extending calculus to infinite-dimensional spaces
- **Algebraic geometry takes off:** From classical curves to abstract varieties
- **Deepening group theory:** Exploring symmetries further





## The turn of the century's legacy

- **Foundational crisis resolved?** Russell's Paradox exposed flaws in naive set theory. The quest for solid axioms began
- **Hilbert's grand program:** A vision for a consistent, complete, and decidable mathematics, driving much research
- **Mathematical logic takes shape:** Frege, Peano, Russell & Whitehead provided the formal languages and axiomatic methods
- **Gödel's profound limits:** Incompleteness theorems showed that Hilbert's full dream was unattainable, leading to a deeper understanding of mathematical truth
- **New fields emerging:** Measure theory (Lebesgue) and topology (Poincaré) pointed to novel ways of understanding space and quantity

*The early 20<sup>th</sup> century inherited a paradox-stricken but highly formalised mathematical landscape. The task now was to use these new logical tools to rebuild foundations rigorously, while simultaneously exploring the vast new abstract spaces that the 19<sup>th</sup> century had only just glimpsed*





## Rebuilding foundations: Axiomatic set theory

### The need for restricted set formation:

- Russell's Paradox (and others) demonstrated that the “naive” idea that any definable collection forms a set leads to contradictions
- The problem lay in allowing “too large” or “self-referential” sets
- The solution: Restrict the ways in which sets can be formed using a carefully chosen set of axioms

**Ernst Zermelo** (1871–1953) and **Abraham Fraenkel** (1891–1965):

- **Zermelo** (1908) proposed the first rigorous axiomatic system for set theory to avoid the known paradoxes
- **Fraenkel** (1922) and Thoralf Skolem independently proposed refinements, leading to what is now known as **Zermelo-Fraenkel (ZF) set theory**
- The inclusion of the **axiom of choice** gives us **ZFC**, the standard axiomatic system for mathematics today





## Rebuilding foundations: Axiomatic set theory

### Key principles of ZFC:

- **Axiom of Separation:** Allows forming subsets from existing sets using a property. This prevents Russell's paradox by disallowing the “set of all sets not containing themselves”
- **Axiom of union/power set:** Allows building larger sets from smaller ones
- **Axiom of infinity:** Guarantees the existence of at least one infinite set (e.g., the natural numbers)
- **Axiom of choice:** States that for any collection of non-empty sets, it is possible to choose exactly one element from each set, even if there's no rule for choosing. (Controversial for its non-constructive nature)

**The default foundation:** ZFC provides a powerful, widely accepted, and (as far as we know) consistent framework for almost all of modern mathematics, effectively resolving the paradoxes that plagued naive set theory

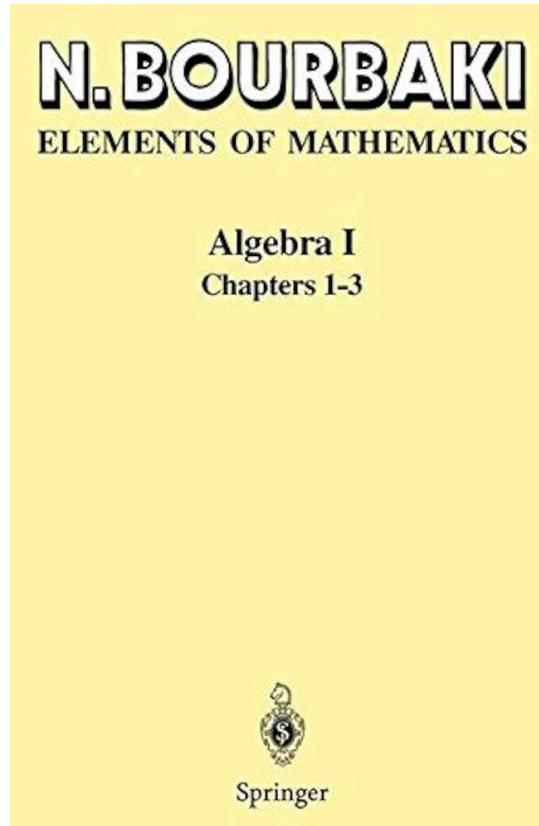




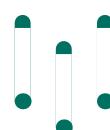
## The structuralist program

A fictional name, a real revolution:

- **Nicolas Bourbaki** is the collective pseudonym of a group of mostly French mathematicians, formed in 1935
- Included influential figures like André Weil, Claude Chevalley, Jean Dieudonné, and Henri Cartan
- Their original aim was to write a rigorous, comprehensive treatise presenting the whole of modern mathematics from an axiomatic, foundational point of view



A volume from Bourbaki's *Éléments de mathématique*





## The structuralist program

### The structuralist approach:

- Mathematics is viewed as the study of **abstract structures**
- These structures are defined axiomatically (e.g., groups, rings, fields, topological spaces, vector spaces)
- Emphasised the relationships and analogies between different mathematical areas through shared underlying structures
- Their influential *Éléments de mathématique* series systematically built up mathematics from set theory, using a highly formal and abstract style





## The structuralist program

### Impact and legacy:

- Immense influence on university mathematics curricula globally, emphasising abstract algebra, topology, and functional analysis
- Popularised the axiomatic method and the concept of “structure” as central to mathematics
- Standardised notation and terminology (e.g.,  $\emptyset$  for empty set,  $\mathbb{R}$  for real numbers, terms like “injective”, “surjective”, “bijective”)
- While sometimes criticised for excessive abstraction and lack of intuition, their project fundamentally shaped the landscape of modern pure mathematics

**The architects of modern mathematics' structure:** Bourbaki's systematic, axiomatic, and structuralist approach provided a unified framework and a common language for diverse mathematical fields, defining how much of 20<sup>th</sup> century mathematics would be organised and taught





## General topology

### Beyond metric spaces:

- The 19<sup>th</sup> century saw the development of **metric spaces** (e.g., by Fréchet, Hausdorff, Hilbert), where a distance function (metric) defines “closeness”
- However, not all notions of “closeness” or “convergence” require a specific distance
- The goal was to generalise the concepts of continuity, limits, and convergence to the most abstract possible setting





## General topology



Felix Hausdorff

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**Maurice Fréchet** (1878–1973) and **Felix Hausdorff** (1868–1942):

- **Fréchet** (French) in his 1906 thesis defined abstract metric spaces and also initiated the study of general topological spaces, though his definition was not yet the modern one
- **Hausdorff** (German) in his 1914 classic textbook *Grundzüge der Mengenlehre* (Foundations of Set Theory) gave the first formal definition of a **topological space** using axioms for “neighbourhoods” or “open sets”
- This established topology as an independent mathematical discipline





## General topology

**The axioms of a topological space:**

- A set  $X$  with a collection of subsets  $\mathcal{T}$  (called “open sets”) satisfying:
  1. The empty set  $\emptyset$  and  $X$  itself are in  $\mathcal{T}$
  2. Any (finite or infinite) union of sets in  $\mathcal{T}$  is in  $\mathcal{T}$
  3. Any **finite** intersection of sets in  $\mathcal{T}$  is in  $\mathcal{T}$
- This simple set of axioms allows for a highly general study of continuity, compactness, and connectedness
- Many different “topologies” can be defined on the same set.

**The ultimate abstraction of proximity:** General topology provided the most abstract and flexible framework for studying notions of closeness and continuity, becoming fundamental for functional analysis, geometry, and many other areas of 20<sup>th</sup> century mathematics





## Functional analysis

**Extending concepts from finite dimensions:**

- Recall linear algebra: the study of vector spaces (like  $\mathbb{R}^n$ ) and linear transformations (matrices)
- Functional analysis extends these ideas to spaces where “vectors” are functions, and these spaces can have **infinite dimensions**
- Key idea: Functions can be viewed as points in an abstract vector space





# Functional analysis

## Origins and motivations:

- Arose from the study of integral equations (e.g., Volterra, Fredholm) and problems in mathematical physics (e.g., calculus of variations)
- The need to understand infinite series, Fourier analysis, and solutions to differential equations more deeply
- The development of abstract measure theory and general topology provided the necessary rigorous framework

## Central objects of study:

- **Function spaces:** Collections of functions with specific properties (e.g., continuous functions, square-integrable functions)
- **Linear operators:** Transformations between function spaces (generalising matrices)
- Concepts like continuity, convergence, and completeness generalised to these abstract spaces





## Functional analysis

**Why infinite dimensions?** Example: Fourier Series

- A function  $f(x)$  can be represented as an infinite sum of sines and cosines:

$$f(x) = a_0 + \sum_{n=1}^{\infty} (a_n \cos(nx) + b_n \sin(nx))$$

- Each  $\cos(nx)$  and  $\sin(nx)$  can be seen as a “basis vector” in an infinite-dimensional space
- The coefficients  $a_n, b_n$  are the “coordinates” along these basis vectors
- This representation is only possible in an infinite-dimensional setting

**Bridging analysis and algebra:** Functional analysis provides powerful tools to solve problems in diverse areas, from quantum mechanics to signal processing, by abstracting analytical problems into the language of linear algebra in infinite dimensions





## Hilbert spaces

### Generalising Euclidean space:

- In finite-dimensional Euclidean space ( $\mathbb{R}^n$ ), we have notions of:
  - Vectors and vector addition
  - Scalar multiplication
  - Dot product (inner product) for length and angle
  - Distance and completeness
- A **Hilbert space** is an abstract vector space that extends all these geometric notions to infinite dimensions
- It's a complete inner product space





## Hilbert spaces

### Key features:

- **Inner product:** Allows defining length (norm) and angle between vectors (functions)
- **Completeness:** Guarantees that sequences that “should” converge, do converge within the space. This is crucial for calculus-like operations
- **Separability:** Often means there’s a countable “basis” of functions

### Example: $L^2$ space

- The space of square-integrable functions (functions  $f$  such that  $\int |f(x)|^2 dx < \infty$ )
- The inner product is defined as  $\langle f, g \rangle = \int f(x) \overline{g(x)} dx$
- This space is a central example of a Hilbert space





## Hilbert spaces

Profound importance in physics:

- **Quantum mechanics:** The state space of a physical system in quantum mechanics is represented by a Hilbert space
- Wave functions (describing particles) are elements of a Hilbert space
- Observables (like position, momentum, energy) are represented by linear operators on this space
- The “probability interpretation” relies heavily on the inner product (measuring amplitudes)

**The mathematical home of quantum mechanics:** Hilbert spaces provided the robust mathematical framework for quantum mechanics, allowing for the rigorous treatment of wave functions, operators, and quantum phenomena

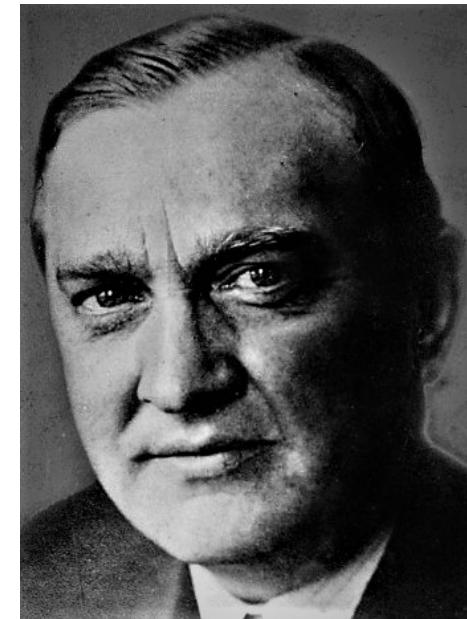




# Banach spaces and linear operators

## Beyond Hilbert spaces: Banach spaces

- While Hilbert spaces are crucial for their geometric structure (inner product), not all important function spaces possess one
- A **Banach space** is a complete normed vector space
  - It has a **norm** (a concept of “length” or “size” for vectors/functions)
  - It is **complete** (all Cauchy sequences converge within the space, preventing “holes”)
- Unlike Hilbert spaces, it does *not* necessarily have an inner product or a notion of angle
- **Stefan Banach** (1892–1945), a leading figure of the Lwów School of Mathematics, was central to developing this theory



Stefan Banach





## Banach spaces and linear operators

### Examples of Banach spaces:

- $L^p$  spaces (for  $p \geq 1$ ): Spaces of functions whose  $p$ -th power of their absolute value is integrable. ( $L^2$  is a special case that is also a Hilbert space)
- $C[a, b]$ : The space of continuous functions on an interval  $[a, b]$ , with the supremum norm ( $\|f\| = \max |f(x)|$ )





# Banach spaces and linear operators

**Linear operators:** The “matrices” of infinite dimensions

- A **linear operator** is a linear transformation between vector spaces (e.g., from one Banach space to another)
- They generalise matrices from finite to infinite dimensions
- Many fundamental operations in analysis are linear operators:
  - Differentiation:  $D(f) = f'$
  - Integration:  $I(f) = \int_a^x f(t)dt$
- **Spectral theory:** The study of eigenvalues and eigenvectors of linear operators, generalising diagonalising matrices. This is crucial for understanding the behaviour of operators and has applications in quantum mechanics, differential equations, and signal processing

**A universal language for analysis:** Banach spaces and the theory of linear operators provided a powerful and abstract framework for tackling a vast range of problems, expanding the scope and generality of analytical methods





## Algebraic geometry

### Classical roots: Geometry from polynomials

- Algebraic geometry traditionally studies geometric shapes (curves, surfaces, and their higher-dimensional analogues) that are defined by systems of polynomial equations
- Example: A circle ( $x^2 + y^2 = 1$ ), a parabola ( $y = x^2$ ), or a sphere ( $x^2 + y^2 + z^2 = 1$ )
- Problems often involved classifying these shapes, understanding their intersections, and identifying their singular points
- This field dates back to ancient Greece (conic sections) and flourished with Descartes' analytical geometry in the 17<sup>th</sup> century

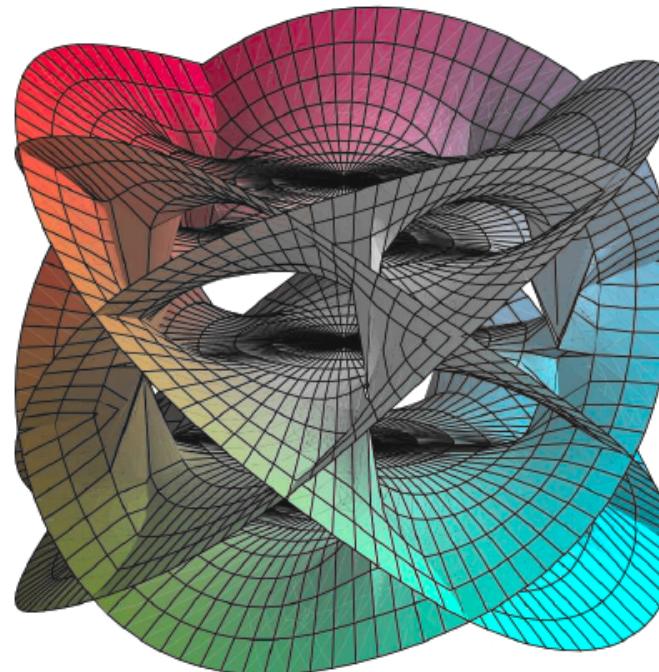




# Algebraic geometry

## The 19<sup>th</sup> century and early abstraction:

- Great progress in the 19<sup>th</sup> century (e.g., Riemann surfaces in complex analysis, projective geometry)
- Introduction of complex numbers and projective space simplified many classical theorems
- However, the tools were often ad-hoc, relying on intuition or specific coordinate systems
- The desire for a more rigorous, general, and coordinate-free approach grew



An algebraic curve defined by a polynomial equation





## Algebraic geometry

**The 20<sup>th</sup> century transformation:** Abstraction and algebraisation

- The foundational crises and the success of axiomatic methods (like ZFC) inspired a push for deeper abstraction
- Focus shifted from geometric intuition alone to the underlying algebraic structures (rings, fields, ideals) associated with geometric objects
- The goal became to define “spaces” (called **varieties** and later **schemes**) purely algebraically, then to study their geometric properties through their algebraic structure
- This allowed for the study of “geometry” over any field (finite fields, p-adic numbers), not just real or complex numbers

**A symphony of abstraction:** Algebraic geometry transformed into a highly abstract and powerful field, creating deep connections between commutative algebra, number theory, topology, and complex analysis, becoming a central pillar of modern pure mathematics





## Hilbert's algebraic foundations for geometry

### Setting the stage for abstract algebraic geometry:

- While not strictly an “algebraic geometer” in the modern sense, **David Hilbert**’s work in invariant theory and commutative algebra provided crucial foundational theorems
- His axiomatic approach and insistence on rigorous proof profoundly influenced the field





# Hilbert's algebraic foundations for geometry

**Key theorems with geometric ramifications:**

- **Hilbert's basis theorem** (1890):

- States that every ideal in a polynomial ring over a field (or a Noetherian ring) is finitely generated
- Geometrically: This means that any algebraic variety (set of zeros of polynomials) can be defined by a *finite* number of polynomial equations, even if it initially seems to require an infinite number. This was a critical finiteness result

- **Hilbert's nullstellensatz** (Zeros theorem, 1893):

- A fundamental bridge between algebra and geometry
- It relates ideals in polynomial rings to algebraic varieties. For example, it establishes a precise correspondence between algebraic sets and radical ideals
- Geometrically: If a polynomial vanishes on all the common zeros of a set of other polynomials, then it is “algebraically dependent” on them in a specific way





# Hilbert's algebraic foundations for geometry

## The twentieth century challenge: Geometry over any field

- Classical algebraic geometry often focused on complex numbers ( $\mathbb{C}$ )
- Questions arose about doing “geometry” over other fields, especially **finite fields** (e.g.,  $\mathbb{Z}_p$ ), which are crucial for number theory and cryptography
- Counting the number of points on algebraic varieties over finite fields became a central, incredibly difficult problem, linking geometry, algebra, and number theory

**Algebra as the foundation:** Hilbert's theorems provided the essential algebraic machinery that allowed algebraic geometers to move beyond intuitive geometric reasoning and build the field on a solid, abstract algebraic bedrock, enabling generalisations to vastly different contexts





## The Weil conjectures

André Weil (1906–1998) and the challenge:

- French mathematician, one of the founders of the Bourbaki group
- In 1949, **André Weil** formulated three profound conjectures (and an analogue of the Riemann Hypothesis) concerning the number of solutions to polynomial equations over finite fields
- These conjectures provided a concrete target for algebraic geometers, promising deep insights into number theory
- They connected the counting of points on varieties over finite fields to complex analysis and topology, via an analogue of the Riemann zeta function



André Weil

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## The Weil conjectures

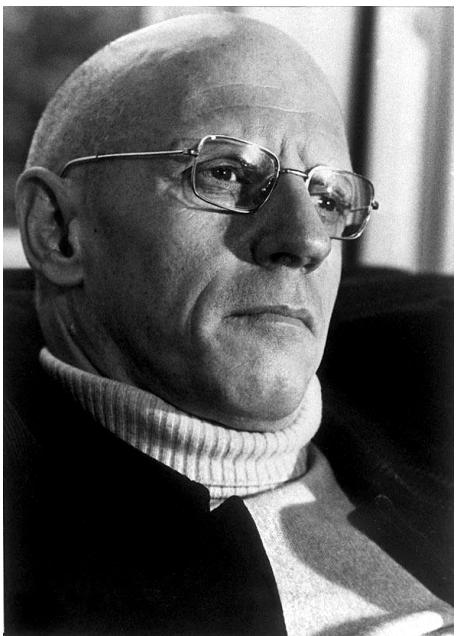
### A catalyst for new theories:

- Proving these conjectures required the development of entirely new, highly abstract mathematical theories
- The existing “classical” tools of algebraic geometry were insufficient





# The Weil conjectures



Alexandre Grothendieck

## The revolutionary work of Alexandre Grothendieck (1928–2014):

- A visionary French-German mathematician, considered one of the greatest in 20<sup>th</sup> century
- To prove the Weil conjectures, Grothendieck single-handedly revolutionised algebraic geometry, introducing the theory of **schemes**
- **Schemes**: An extreme generalisation of algebraic varieties, allowing for “geometric” intuition to be applied to a much broader class of objects (e.g., prime ideals in rings), creating bridges to number theory
- He developed **étale cohomology**, a sophisticated cohomology theory necessary to prove the conjectures

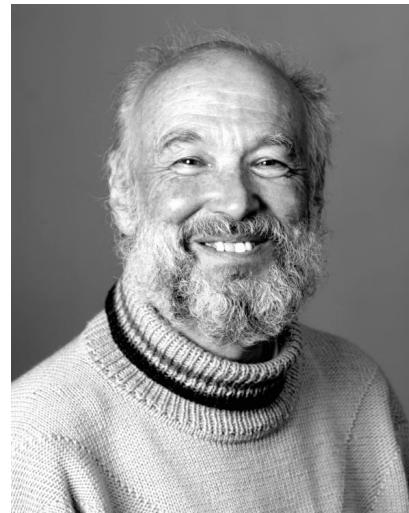




## The Weil conjectures

**Pierre Deligne** (1944–): The final proof

- Building on Grothendieck's vast framework, **Pierre Deligne** (1974) successfully proved the last and most difficult of the Weil conjectures (the Riemann Hypothesis analogue)



**A triumph of abstraction and interconnectedness:** The Weil conjectures, and their eventual proofs, exemplify the power of extreme abstraction in 20<sup>th</sup> century mathematics, demonstrating how deep problems can drive the creation of entirely new theories that ultimately connect disparate fields like number theory, geometry, and topology

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## Group theory deepens

**Building blocks of symmetry:** Finite simple groups

- Recall group theory (from Galois to Klein) as the study of symmetry
- Just as prime numbers are the building blocks for integers, **simple groups** are the fundamental, irreducible building blocks for all finite groups
- **The classification of finite simple groups (CFSG):**
  - A monumental, collaborative effort spanning over 50 years (roughly 1955–2004) by hundreds of mathematicians
  - Resulting in a proof spanning thousands of journal pages
  - It classifies all finite simple groups into several families (e.g., cyclic groups of prime order, alternating groups, groups of Lie type) and **26 “sporadic” groups** (which don't fit into obvious families, like the “Monster Group”)
- This was one of the largest mathematical projects in history, demonstrating the power of collaborative, systematic classification

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## Group theory deepens

### Continuous symmetries: Lie groups and Lie algebras

- Named after Norwegian mathematician **Sophus Lie** (1842–1899)
- **Lie groups** are groups that are also smooth manifolds, meaning they describe continuous symmetries
- Examples: Rotations in 3D space ( $SO(3)$ ), Lorentz transformations in special relativity
- The study of Lie groups often involves their associated **Lie algebras**, which are simpler, linear algebraic objects that capture the local structure of the Lie group
- **Profound connections:**
  - **Differential geometry**: Symmetries of manifolds
  - **Physics**: Fundamental to quantum mechanics (e.g., symmetries of particles), particle physics (e.g., standard model's gauge symmetries), and general relativity

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## Group theory deepens

**Symmetry: From building blocks to fundamental forces** The 20<sup>th</sup> century saw group theory provide an exhaustive catalogue of finite symmetries and a robust framework for understanding continuous symmetries, becoming indispensable for both pure mathematics and theoretical physics.

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## Expanding abstraction

### From rigour to unforeseen realms

- **Solidifying the base:** The early 20<sup>th</sup> century successfully provided **rigorous foundations** for mathematics with axiomatic set theory (**ZFC**) and the structuralist approach of **Bourbaki**
- **Generalising space:** The concept of “space” was profoundly abstracted with the development of **General topology** (Hausdorff), providing a universal framework for continuity
- **Infinite dimensions of analysis:** **Functional analysis** emerged as a powerful tool to study functions as “vectors” in infinite-dimensional spaces (**Hilbert** and **Banach** spaces), crucial for physics and PDEs
- **Abstracting geometry:** **Algebraic geometry** underwent a deep transformation, using sophisticated algebraic tools (Hilbert’s theorems, Weil conjectures driving **Grothendieck**’s schemes) to study geometric objects over various fields

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## Expanding abstraction

### From rigour to unforeseen realms

- **Symmetries categorised:** **Group theory** continued to flourish, culminating in the monumental *Classification of Finite Simple Groups* and the development of **Lie groups** for continuous symmetries, with vital applications in physics

*The early 20<sup>th</sup> century was defined by a drive for ultimate rigour and abstraction. Mathematicians successfully rebuilt logical foundations and developed entirely new, sophisticated languages — functional analysis, modern algebraic geometry, and advanced group theory — to explore structures previously unimaginable. This period of deep theoretical construction laid the groundwork for the explosion of interconnected research fields and grand problem-solving efforts that would characterise the mid-to-late 20<sup>th</sup> century*





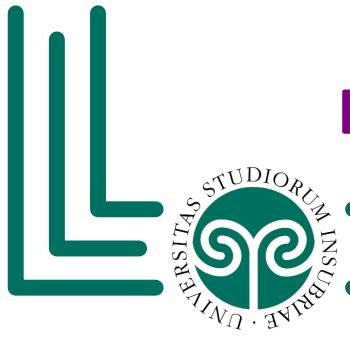
## References

The main sources for this lecture are

- *Carl B. Boyer*, A History of Mathematics, John Wiley & Sons (1968)
- *Morris Kline*, Mathematical Thought from Ancient to Modern Times, Oxford University Press (1972)

In addition, some aspects have been taken from *Piergiorgio Odifreddi*, La matematica del Novecento: Dagli insiemi alla complessità, Einaudi (2000). However, in many cases the sources are just the knowledge of the instructor





# History of Mathematics: Lecture 15

## Syllabus:

The 20<sup>th</sup> century in Mathematics:

- Interconnections and problem solving



# Interconnections and problem solving

## Mathematics as a web of theories

### The mid-to-late 20<sup>th</sup> century landscape:

- Building on the rigorous foundations and abstract structures from the early 20<sup>th</sup> century
- A period characterised by a flourishing of deep connections between seemingly disparate fields
- Mathematics becomes a powerful tool for solving long-standing problems and driving scientific progress

### Key focus for this lesson:

- **Algebraic topology flourishes:** Using algebraic tools to study geometric shapes
- **Number theory's grand challenges:** Tackling ancient and modern problems with new techniques
- **Differential geometry and physics:** The profound and often surprising interplay between geometric structures and the laws of the universe





## Early 20<sup>th</sup> century foundations

### Tools acquired, structures defined

- **Solid axioms:** ZFC set theory and Bourbaki's structuralism provided a unified, rigorous language
- **Generalised spaces:** General topology defined abstract notions of closeness and continuity
- **Infinite-dimensional analysis:** Functional analysis (Hilbert, Banach spaces, operators) opened new analytical avenues
- **Abstract algebra & geometry:** Algebraic geometry's transformation (Hilbert, Weil, Grothendieck) and deep group theory (CFTSG, Lie Groups) laid powerful algebraic groundwork

*The first half of the 20<sup>th</sup> century provided mathematics with a robust, abstract framework. The mid-to-late century would see these sophisticated tools applied to tackle profound problems, revealing unexpected symmetries and connections across the mathematical landscape*





## Algebra to the rescue of geometry

### Building on Poincaré's vision:

- Recall Poincaré's foundational work in *Analysis Situs* (1895–1904), introducing concepts like homology and the fundamental group
- Algebraic topology is the study of topological spaces using tools from abstract algebra
- The core idea: Associate algebraic invariants (groups, rings, modules) to topological spaces. These invariants don't change if the space is continuously deformed





## Algebra to the rescue of geometry

### Why algebra for geometry?

- Geometric objects can be incredibly complex (e.g., high-dimensional manifolds)
- Pure intuition often fails beyond 3 dimensions
- Algebraic structures (like groups) are often easier to compute with and classify
- If two spaces have different algebraic invariants, they cannot be topologically equivalent

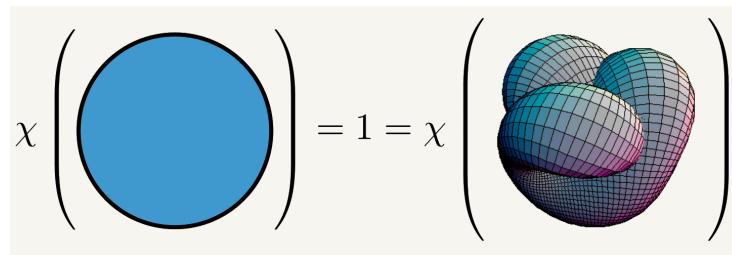




## Algebra to the rescue of geometry

### The mid-20<sup>th</sup> century flourishing:

- Rapid development, particularly after World War II, fuelled by the needs of other fields (differential geometry, number theory, even physics)
- Major figures: Emmy Noether (earlier, but influence on cohomology), Heinz Hopf, Norman Steenrod, Samuel Eilenberg, Saunders Mac Lane



Topological space and its algebraic representation





## Algebra to the rescue of geometry

**Key algebraic invariants** (Conceptual):

- **Homotopy groups** Generalise the fundamental group (Poincaré) to higher dimensions ( $\pi_n$ ). They capture how n-dimensional spheres can be continuously mapped into the space
- **Homology & cohomology theories:**
  - Provide algebraic descriptions of the “holes” in a space in various dimensions
  - Cohomology groups often have a richer algebraic structure (e.g., a ring structure) than homology groups, making them more powerful
- These theories allow mathematicians to classify and understand highly complex shapes like knots, surfaces, and higher-dimensional manifolds

**The power of translation:** Algebraic topology acts as a powerful dictionary, translating complex geometric problems into manageable algebraic questions, which then yield profound insights into the structure and properties of spaces





## Fixed-point theorems

### Interconnections across mathematics:

- Algebraic topology is not just about abstract spaces; its tools are vital across many fields:
  - **Differential geometry:** Classifying manifolds, characteristic classes
  - **Algebraic geometry:** The cohomology theories (e.g., étale cohomology mentioned with Grothendieck) used to solve the Weil conjectures have topological roots
  - **Number theory:** Connecting to arithmetic properties
  - **Functional analysis:** Studying properties of operators





## Fixed-point theorems

### A major class of results: Fixed-point theorems

- A **fixed point** of a function  $f(x)$  is a value  $x$  such that  $f(x) = x$
- Fixed-point theorems provide conditions under which a continuous function must have at least one fixed point
- These theorems are incredibly powerful for proving the existence of solutions to equations, especially in areas where direct computation is impossible

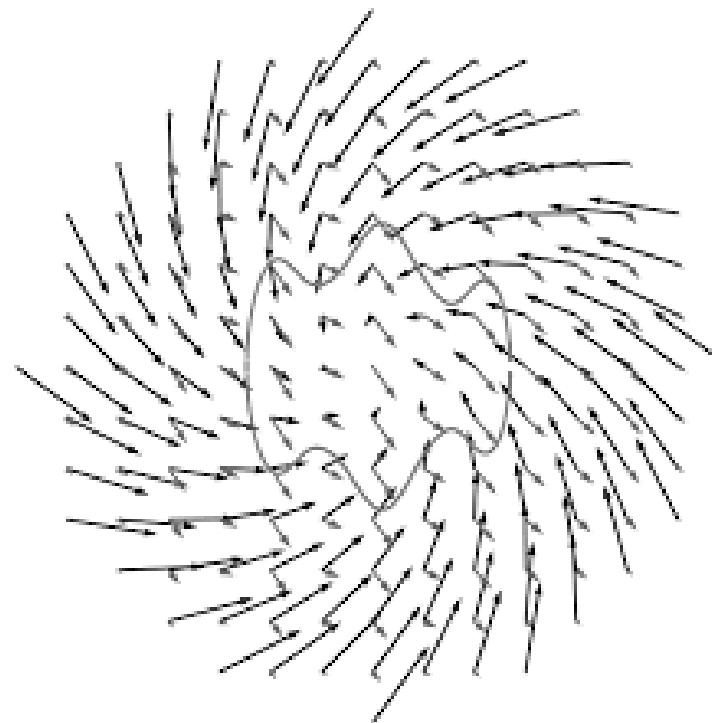




## Fixed-point theorems

**L.E.J. Brouwer** (1881–1966) and the first theorem:

- Dutch mathematician, also a key figure in intuitionism (a school of thought on foundations of math, briefly mentioned earlier)
- **Brouwer fixed-point theorem** (1911): Any continuous function from a closed disk (or solid ball) to itself must have at least one fixed point
- Intuitive example: If you stir a cup of coffee, there's always at least one point in the coffee that ends up in its original position



Brouwer's fixed-point theorem in 2D





## Fixed-point theorems

**Solomon Lefschetz** (1884–1972) and generalisation:

- American mathematician of Russian-Jewish origin
- **Lefschetz fixed-point theorem** (1926): A much more general theorem that uses homology groups to count fixed points (with multiplicity)
- It applies to a broader class of spaces and provides a criterion involving algebraic invariants

**Beyond intuition: guaranteed existence:** Fixed-point theorems, born from algebraic topology, are not just elegant results; they are fundamental tools guaranteeing the existence of solutions in diverse fields from economics (e.g., existence of market equilibrium) to differential equations

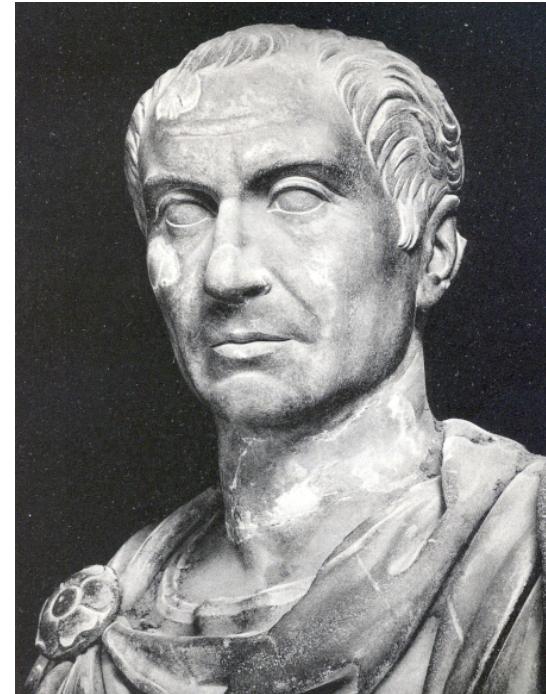




# Diophantine equations

## Diophantine equations: Ancient puzzles, enduring mysteries

- Equations where we seek only **integer solutions**
- Named after Diophantus of Alexandria (3<sup>rd</sup> century CE)
- Examples:
  - Pythagorean triples:  $x^2 + y^2 = z^2$  (e.g.,  $3^2 + 4^2 = 5^2$ )
  - Fermat's Last Theorem:  $x^n + y^n = z^n$  for  $n > 2$  (no non-trivial integer solutions)
- Finding solutions or proving their non-existence can be incredibly difficult



Diophantus of Alexandria





## Diophantine equations

**Hilbert's tenth problem** (1900): The decidability question

- One of David Hilbert's famous 23 problems posed at the 1900 International Congress of Mathematicians
- It asked for an **algorithm** (a general process) that could determine, in a finite number of steps, whether a given Diophantine equation has integer solutions
- Hilbert believed such an algorithm must exist (a testament to his formalist optimism)





## Diophantine equations

**The surprising resolution:** Yuri Matiyasevich (1970)

- Decades of work by Julia Robinson, Martin Davis, and Hilary Putnam laid the groundwork
- In 1970, Soviet mathematician **Yuri Matiyasevich** proved that **no such general algorithm exists**
- This means Hilbert's Tenth Problem is **undecidable**
- **Connection to computability theory:** Matiyasevich's proof relied fundamentally on concepts from computability theory (e.g., Turing machines, recursively enumerable sets), a field that developed much later than Hilbert posed his problem

**Limits of computability: A profound interconnection:** The resolution of Hilbert's Tenth Problem demonstrated an inherent limitation to what algorithms can achieve and exemplified the unexpected connections between seemingly disparate fields — number theory and the nascent field of theoretical computer science



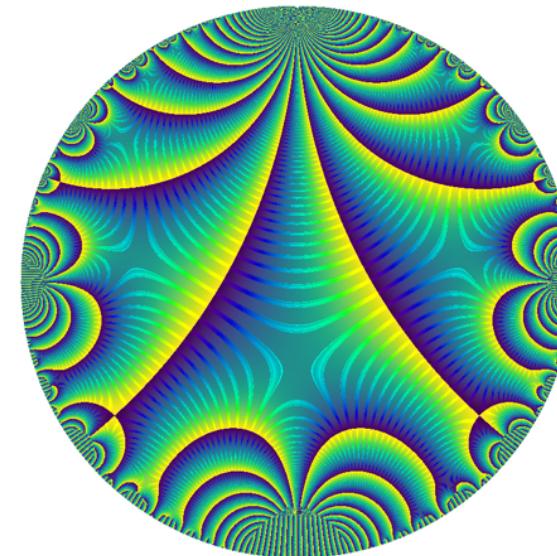


## Modular forms

### What are modular forms?

(A conceptual view):

- They are complex analytic functions that are defined on the upper half-plane of complex numbers
- Their defining characteristic is an incredible amount of **symmetry** under a specific group of transformations (the modular group, related to translations and inversions)
- They have a specific growth condition and a ‘nice’ behaviour at “infinity”
- Despite their abstract definition, their Fourier coefficients (the numbers appearing in their series expansion) encode profound arithmetic information about integers



Symmetry in the domain of the modular group

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## Modular forms

### Mysterious connections emerge:

- Discovered in the 19<sup>th</sup> century by Dedekind, Riemann, Poincaré, and Klein, their deeper significance became apparent in the 20<sup>th</sup> century
- They initially seemed to be fascinating but isolated objects
- However, mathematicians began to notice mysterious and surprising links between their coefficients and various number-theoretic quantities (e.g., partition functions, number of ways to write an integer as a sum of squares)
- One of the most unexpected links was to **elliptic curves**





## Modular forms

**Elliptic curves:** Another bridge

- An **elliptic curve** is a curve defined by an equation of the form  $y^2 = x^3 + ax + b$  (with certain conditions)
- They are fundamental objects in number theory and cryptography
- The number of points on an elliptic curve over finite fields (recall our discussion of Weil conjectures) holds deep arithmetic information

**The Taniyama-Shimura-Weil conjecture** (later theorem):

- This incredibly profound conjecture, dating back to the 1950s, proposed that **every elliptic curve over the rational numbers is associated with a unique modular form**
- It was a speculative idea, suggesting a deep, hidden connection between two seemingly unrelated branches of mathematics





## Modular forms

**From abstract symmetry to arithmetic truths:** Modular forms, initially studied for their rich symmetries, emerged as a Rosetta Stone, translating deep arithmetic properties of numbers and elliptic curves into the language of complex analysis, setting the stage for a grand resolution





## Fermat's last theorem

**The Problem:** Simple to state, impossible to prove (for centuries)

- Recall **Fermat's last theorem** (FLT): No three positive integers  $a, b, c$  can satisfy  $a^n + b^n = c^n$  for any integer value of  $n$  greater than 2
- Posed by Pierre de Fermat in 1637, famously claimed he had a “truly marvellous proof” but no room to write it
- For 350 years, it stood as a tantalising challenge, resisting all attempts by the greatest mathematicians

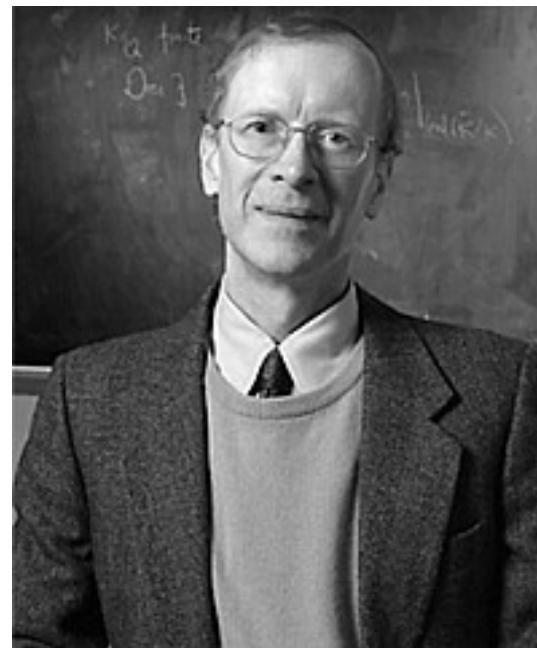




## Fermat's last theorem

### The crucial link: From elliptic curves to modular forms

- In the mid-1980s, mathematicians Ken Ribet and Gerhard Frey linked FLT to the **Taniyama-Shimura-Weil (TSW) conjecture**
- Frey suggested that if a counterexample to FLT existed, it would lead to an elliptic curve that could *not* be associated with a modular form, thus contradicting the TSW conjecture
- Ribet proved Frey's “epsilon conjecture” in 1986: if TSW is true, then FLT must be true
- Suddenly, solving a 350-year-old number theory problem became equivalent to proving a major conjecture in algebraic geometry and modular forms



Sir Andrew Wiles





## Fermat's last theorem

### Andrew Wiles: The monumental proof

- **Sir Andrew Wiles** (1953–), a British mathematician at Princeton University, embarked on a secret seven-year quest to prove the TSW conjecture for a large class of elliptic curves
- He leveraged decades of deep mathematical development in elliptic curves, modular forms, Galois representations, and Iwasawa theory
- His initial announcement in 1993 was followed by a small gap, which he fixed with Richard Taylor, publishing the final proof in 1995
- **Significance:** Not only did it prove FLT, but it validated a vast amount of abstract mathematics and demonstrated profound connections between seemingly disparate fields

**A triumph of interconnectedness:** The proof of Fermat's Last Theorem stands as one of the great intellectual achievements of the 20<sup>th</sup> century, showcasing how deep problems require crossing traditional boundaries and leveraging the interconnected strength of modern mathematical theories

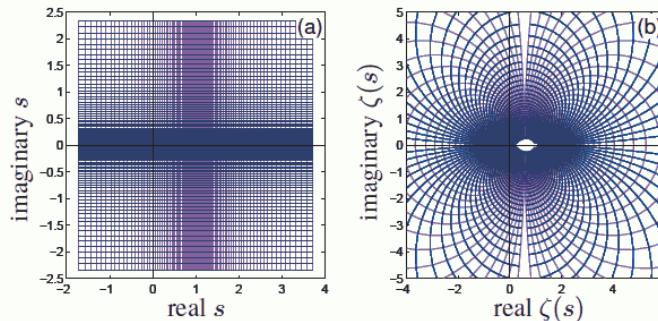




# The Riemann hypothesis

## Recall: The prime numbers and zeta function

- From our 19<sup>th</sup> century discussion: Bernhard Riemann's groundbreaking 1859 paper on the distribution of prime numbers
- He connected the primes to the behaviour of the **Riemann zeta function**  $\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$
- This function, initially defined for  $\text{Re}(s) > 1$ , can be analytically continued to the entire complex plane



The critical line for the Riemann zeta function

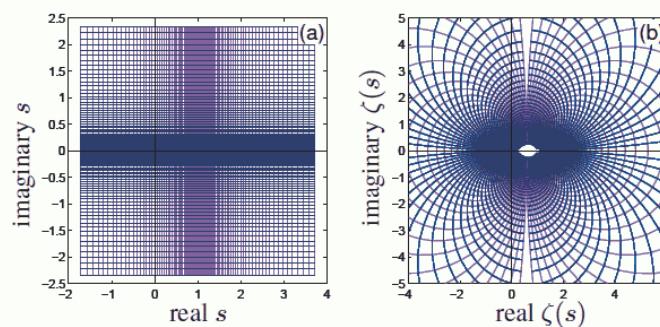




# The Riemann hypothesis

## The hypothesis:

- The **Riemann hypothesis (RH)** states that all non-trivial zeros of the Riemann zeta function lie on the critical line  $\text{Re}(s) = \frac{1}{2}$
- (The “trivial” zeros are at negative even integers:  $-2, -4, -6, \dots$ )



The critical line for the Riemann zeta function





# The Riemann hypothesis

## Why is it so important?

- Its truth would imply profound results about the **distribution of prime numbers**. For example, it would give very tight bounds on the error term in the prime number theorem
- It has deep connections to many other areas of mathematics, including analysis, number theory, and even physics (e.g., random matrix theory)
- Thousands of theorems have been proven **assuming** the Riemann hypothesis is true. If it were proven false, a significant portion of mathematics would need re-evaluation





## The Riemann hypothesis

### A Millennium prize problem:

- The Clay Mathematics Institute listed the Riemann hypothesis as one of its seven Millennium Prize problems in 2000, with a \$1 million prize for its solution
- It remains one of the most challenging and important unsolved problems in mathematics

**The unsolved heart of number theory:** The Riemann hypothesis continues to drive research in analytic number theory and beyond, serving as a powerful reminder that fundamental mysteries still lie at the core of mathematics, inspiring new tools and connections in the quest for truth





## Geometry as gravity

### Recap: Geometry beyond flatness (19<sup>th</sup> century)

- Recall Gauss's intrinsic geometry of surfaces and Riemann's generalisation to higher dimensions
- Key idea: Curvature can be an intrinsic property of a space, not just how it bends in higher dimensions
- Mathematicians developed tools to study differentiable manifolds and their metric properties (distances, angles, volumes) using calculus

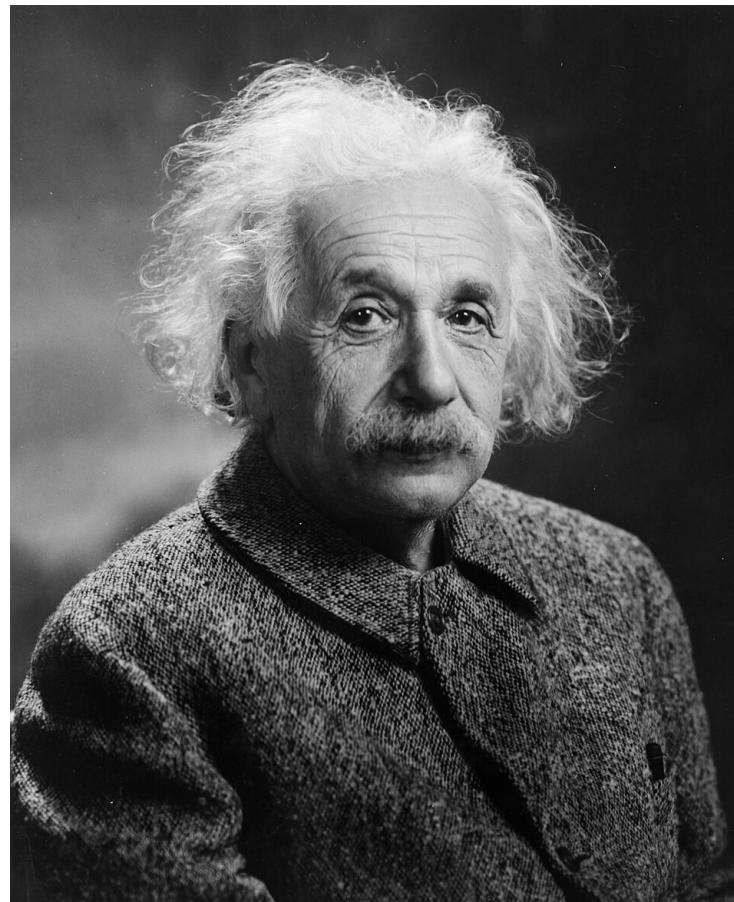




## Geometry as gravity

Albert Einstein (1879–1955) and General Relativity (1915):

- Einstein's earlier Special Relativity (1905) unified space and time into spacetime
- **General Relativity (GR)** aimed to incorporate gravity into this framework
- Revolutionary insight: Gravity is not a force acting across space, but a manifestation of the **curvature of spacetime** itself
- Mass and energy “tell” spacetime how to curve, and spacetime “tells” mass and energy how to move



Albert Einstein

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## Geometry as gravity

### The indispensable role of Riemannian geometry:

- Einstein realised that the existing tools of physics (Newtonian mechanics, Maxwell's electromagnetism) were insufficient to describe this geometric view of gravity
- He found his needed mathematical language in the advanced differential geometry developed by Riemann, Ricci-Curbastro, and Levi-Civita
- The **Riemann curvature tensor** became central to GR, quantifying how spacetime is curved
- The **Einstein Field Equations** (EFE), which relate spacetime curvature to the distribution of energy and momentum, are expressed entirely in the language of differential geometry





## Geometry as gravity

**A perfect symbiosis:** General Relativity represents a pinnacle of interdisciplinary achievement, demonstrating how abstract mathematical concepts, developed for their own sake, can provide the exact framework needed to describe the fundamental laws of the universe, fundamentally reshaping our understanding of reality





## Fiber bundles and connections

### Generalising vector fields and curvature:

- In classical differential geometry, we study vector fields (e.g., wind velocity across a map) on a manifold
- But what if the “vectors” or properties at each point are not simple vectors, but elements of a more complex space (e.g., internal symmetries, orientations)?
- This led to the abstract concept of a **fiber bundle**





## Fiber bundles and connections

**Fiber bundles** (conceptual):

- Imagine a manifold (the “base space”, like spacetime)
- At each point of the base space, attach another space (the “fiber”)
- Example: A tangent bundle (at each point of a surface, attach the plane tangent to it). Or, for a circle, a cylinder can be seen as a fiber bundle where each point on the circle has a line segment as its fiber
- They provide a rigorous mathematical framework for studying objects that vary from point to point on a manifold in a structured way





## Fiber bundles and connections

**Connections and curvature** (generalisations):

- A **connection** (or covariant derivative) on a fiber bundle provides a way to “differentiate” or “compare” objects in different fibers as you move along the base space
- It tells you how to “parallel transport” a fiber from one point to another.
- The **curvature** of a connection measures the failure of this parallel transport to close a loop
- This generalises Riemann’s concept of curvature to more abstract “fiber” spaces
- Major figures in this development include Élie Cartan, Charles Ehresmann, and Shiing-Shen Chern





## Fiber bundles and connections

**Beyond the manifold: Structured worlds at every point:** Fiber bundles and connections provided the mathematical language to describe fields with internal structures, paving the way for a deeper understanding of fundamental forces beyond gravity

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## Gauge theories

**Unifying forces through symmetry:**

- In quantum field theory, fundamental forces (electromagnetic, strong, weak) are understood as arising from “local symmetries”
- These are symmetries that can be performed independently at each point in spacetime
- The mathematical framework for describing these local symmetries and their associated force fields are **gauge theories**

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## Gauge theories

**Yang-Mills theory (1954):**

- Developed by physicists Chen-Ning Yang and Robert Mills
- It generalised quantum electrodynamics (QED) and provided a framework for describing non-Abelian local symmetries
- Initially, its mathematical structure was not fully understood by physicists

**The mathematical connection: Fiber bundles as gauge fields:**

- Mathematicians quickly realised that the mathematical structures underlying Yang-Mills theories were precisely **fiber bundles with connections**
- The “gauge potential” of physics corresponds to the connection, and the “field strength” corresponds to its curvature
- The symmetry group of the theory acts on the fiber





## Gauge theories

### Profound impact and the standard model:

- This deep mathematical-physical correspondence was revolutionary
- It allowed physicists to rigorously formulate and understand the fundamental forces
- The **Standard model of particle physics**, our current best theory of matter and forces (excluding gravity), is a complex gauge theory based on specific Lie groups
- This shows a continuum of geometric thinking, from Riemann's curvature for gravity to fiber bundles for other forces

**Geometry as the universal language of physics:** The development of gauge theories, using the sophisticated language of fiber bundles and connections, cemented differential geometry's role as the indispensable mathematical framework for understanding the fundamental forces of the universe, demonstrating a profound, unifying power

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## Mathematics as a unified web

### From abstraction to interconnected solutions

- **Bridging geometry and algebra:** **Algebraic topology** blossomed, using algebraic invariants (homotopy, homology, cohomology) to classify and understand geometric spaces, leading to powerful existence proofs like **Fixed-point theorems**
- **Solving ancient puzzles:** **Number theory's grand challenges** saw the resolution of **Hilbert's 10<sup>th</sup> problem** (Matiyasevich's undecidability proof linking to computability) and the epic triumph of **Fermat's last theorem** (Wiles, through elliptic curves and modular forms). The **Riemann hypothesis** stands as an ongoing beacon
- **Geometry explaining reality:** **Differential geometry** provided the essential language for physics, from **General relativity** (spacetime curvature) to **Gauge theories** (fiber bundles, connections) describing fundamental forces

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## Mathematics as a unified web

### From abstraction to interconnected solutions

- **The pinnacle of abstraction:** Pushing the boundaries of generalisation even further, concepts like Alexandre Grothendieck's **toposes** emerged, offering frameworks that unify logic, set theory, and geometry in unprecedented ways

*The mid-to-late 20<sup>th</sup> century witnessed the extraordinary power of abstract mathematical tools to solve long-standing problems and uncover profound interconnections across disciplines. This period cemented mathematics as a deeply unified and indispensable science, setting the stage for the computational revolution and the exploration of entirely new frontiers that would define the turn of the millennium*





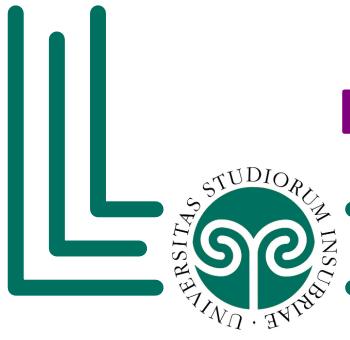
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However, the most recent aspects come from the direct knowledge of the instructor





# History of Mathematics: Lecture 16

## Syllabus:

The 20<sup>th</sup> century in Mathematics:

- Computation, complexity, and new frontiers



# Computation, complexity, and new frontiers

## Mathematics in the digital age

### The Late 20<sup>th</sup> and early 21<sup>st</sup> century landscape:

- Building upon the vast theoretical frameworks established earlier
- Marked by the rise of computation as both a tool and a field of study in its own right
- Emergence of new areas driven by technology, interdisciplinary needs, and the pursuit of complexity
- Continued focus on grand challenges, both solved and open

### Key focus for this lesson:

- **The age of computation:** Theoretical foundations and limits of computing
- **Chaos theory and fractals:** Exploring complexity and non-linearity
- **Probability and statistics ascendant:** Increasing rigour and broad applications
- **Major unsolved problems:** A look at the persistent frontiers





## A century of abstraction

- **Early 20<sup>th</sup> century:** Focused on **consolidation and abstraction**
  - Rigorous foundations (ZFC, Bourbaki)
  - Generalised spaces (topology, functional analysis)
  - Abstract algebraic structures (modern algebraic geometry, deep group theory)
- **Mid-to-late 20<sup>th</sup> century:** Characterised by **interconnections and problem-solving**
  - Algebraic topology bridging algebra and geometry
  - Solution of long-standing problems (Fermat's last theorem, Hilbert's 10<sup>th</sup>)
  - Deep interplay between differential geometry and physics (general relativity, gauge theories).
  - Pinnacle of abstraction (e.g., toposes)

*Having built powerful abstract tools and demonstrated their profound interconnectedness, mathematics in the late 20<sup>th</sup> century was poised for new revolutions, particularly driven by the emergence of computing and the exploration of complex, non-linear phenomena*





## Alan Turing

### The crisis of foundational mathematics (Revisited):

- Recall Hilbert's program (1920s): to formalise all of mathematics and prove its consistency and decidability
- Kurt Gödel's incompleteness theorems (1931) showed fundamental limits to formal systems, dashing Hilbert's full dream
- This led to the pressing question: What exactly *is* a computable function or an algorithm? Is there a precise mathematical definition?





## Alan Turing

**Alan Turing** (1912–1954): A visionary mathematician

- British mathematician, logician, and computer scientist
- Instrumental in formalising the concept of an algorithm and computation
- His work had a profound impact during WWII (code-breaking at Bletchley Park, underlying the “Enigma” machine)



Alan Turing





## Alan Turing

**The Turing machine** (1936): A conceptual breakthrough

- Turing introduced a simple, abstract mathematical model of computation: the **Turing machine**
- It consists of:
  - An infinitely long tape divided into cells
  - A read/write head that moves along the tape
  - A finite set of internal states
  - A set of rules that dictate behaviour based on the current state and symbol under the head
- **Significance:** Despite its simplicity, it can simulate *any* algorithm or computation that a modern computer can perform. It defines precisely what “computable” means

**Defining the undefinable: What is computation?** Turing’s genius lay in providing a rigorous mathematical definition for the intuitive notion of an algorithm, paving the way for the entire field of theoretical computer science and distinguishing between what is computable and what is not





## The Church-Turing thesis and undecidability

**The Church-Turing thesis (1936):** A foundational assertion

- Independently of Turing, American mathematician **Alonzo Church** (1903–1995) developed a different formal system for computation called **lambda calculus**
- Remarkably, Church and Turing (along with others like Stephen Kleene and Emil Post) showed that their different formalisms were **equivalent** in computational power
- **The thesis:** Any function that can be computed by an algorithm (in the intuitive sense) can be computed by a Turing machine (or equivalently, by lambda calculus)
- **Significance:** It's a fundamental belief, not a theorem (as "intuitive sense" isn't formally defined), but it provides the bedrock for theoretical computer science. It posits that Turing machines define the absolute limits of computation





# The Church-Turing thesis and undecidability

## The uncomputable: Undecidable problems

- A profound consequence of precisely defining “computable” is the realisation that some problems are **uncomputable** or **undecidable**
- This means no algorithm, no Turing machine, can ever solve them for all possible inputs in a finite amount of time

## The halting problem:

- Posed by Turing himself: Given an arbitrary program and an input, can we determine if the program will eventually stop (halt) or run forever?
- Turing proved in 1936 that the halting problem is **undecidable**. There is no general algorithm that can answer this question for all possible programs and inputs





## The Church-Turing thesis and undecidability

**Connecting back: Hilbert's 10<sup>th</sup> problem:**

- As we discussed before, Hilbert's 10<sup>th</sup> problem asked for an algorithm to determine if a Diophantine equation has integer solutions
- Matiyasevich's theorem (1970) proved this problem is also **undecidable**, relying directly on the work in computability theory initiated by Turing and Church

**Profound limits: Not all questions have algorithmic answers:** The Church-Turing thesis and the discovery of undecidable problems fundamentally changed our understanding of what can be achieved through computation, revealing inherent limitations to algorithmic solutions, even for clearly defined mathematical questions





## Computational complexity

### Beyond computable: The question of efficiency

- While computability theory (Turing, Church) tells us **if** a problem can be solved by an algorithm, it doesn't say **how long** or **how much memory** it will take
- **Computational complexity theory** studies the resources (time and space) required to solve computational problems
- It's about classifying problems based on their inherent difficulty

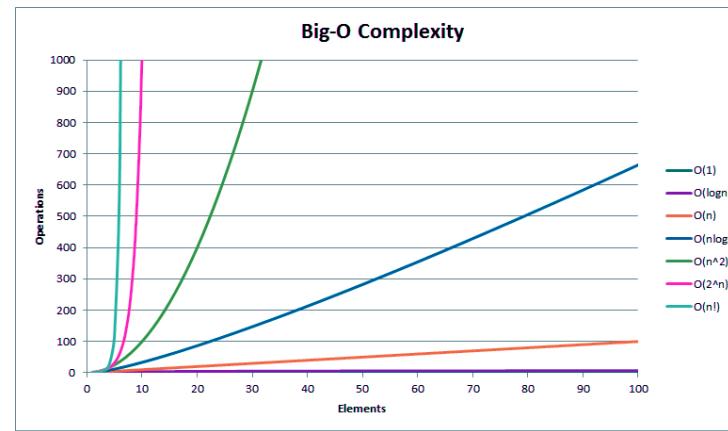




# Computational complexity

## Measuring complexity: Growth rates

- We measure complexity as a function of the input size ( $n$ )
- **Polynomial time** (Efficient): Algorithms whose running time grows as a polynomial function of  $n$  (e.g.,  $n^2$ ,  $n^3$ ). These are generally considered “tractable” or “efficient”.
- **Exponential time** (Intractable): Algorithms whose running time grows exponentially (e.g.,  $2^n$ ,  $n!$ ). These quickly become impossible for large inputs



Polynomial vs. exponential time growth

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## Computational complexity

### Key complexity classes and P vs. NP:

- **Class P** (Polynomial time): Contains decision problems for which a solution can be **found** (computed) by a deterministic algorithm in polynomial time. (e.g., sorting a list, multiplying numbers)
- **Class NP** (Non-deterministic Polynomial): Contains decision problems for which a proposed solution can be **verified** by a deterministic algorithm in polynomial time. (e.g., given a list of cities and connections, is there a route that visits all cities exactly once with total length less than  $X$ ?)
- Every problem in P is also in NP (if you can find a solution efficiently, you can certainly verify it efficiently)





## Computational complexity

### The P vs. NP problem:

- **Is  $P = NP$ ?** This is one of the greatest open problems in mathematics and computer science
- It asks: If a solution to a problem can be **quickly verified**, can it also be **quickly found?**
- Most computer scientists believe  $P \neq NP$

**A million-dollar question with profound implications:** The P vs. NP problem has immense practical implications for cryptography, artificial intelligence, optimisation, and nearly every area of science and engineering. Its resolution would redefine the limits of what we consider computationally feasible





## Chaos theory: Order in apparent randomness

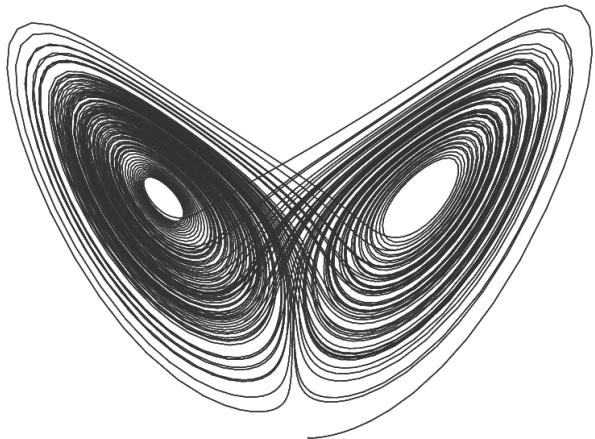
**Beyond predictability:** When simple rules yield complex behaviour

- For centuries, scientists believed that if one knew the initial conditions precisely, the future state of a system could be perfectly predicted (Laplace's demon)
- **Chaos theory** emerged to study dynamic systems that, despite being deterministic (governed by fixed rules), exhibit highly unpredictable and seemingly random behaviour
- This unpredictability arises from an extreme sensitivity to initial conditions





# Chaos theory: Order in apparent randomness



The Lorenz attractor

**“The Butterfly Effect”:** Sensitive dependence on initial conditions

- Coined by meteorologist **Edward Lorenz** (1917–2008) in the 1960s
- He discovered that tiny, imperceptible differences in initial input to his weather model led to vastly different long-term predictions
- Metaphor: A butterfly flapping its wings in Brazil could theoretically set off a tornado in Texas weeks later
- This fundamental property means long-term prediction in chaotic systems is often impossible, even with powerful computers





# Chaos theory: Order in apparent randomness

## Mathematical tools for chaos:

- Chaos theory utilises tools from:
  - **Dynamical systems:** The study of how systems change over time
  - **Topology:** Understanding the qualitative behaviour of trajectories
  - **Measure theory:** Quantifying probabilities and averages in chaotic systems
- Chaotic systems often settle into strange attractors – complex, fractal-like geometric shapes that describe their long-term behaviour. The Lorenz attractor is a famous example

**From determinism to unpredictability:** Chaos theory revealed that determinism does not always imply predictability, fundamentally altering our understanding of phenomena ranging from weather patterns and fluid dynamics to population growth and even brain activity





## Fractals: The geometry of roughness

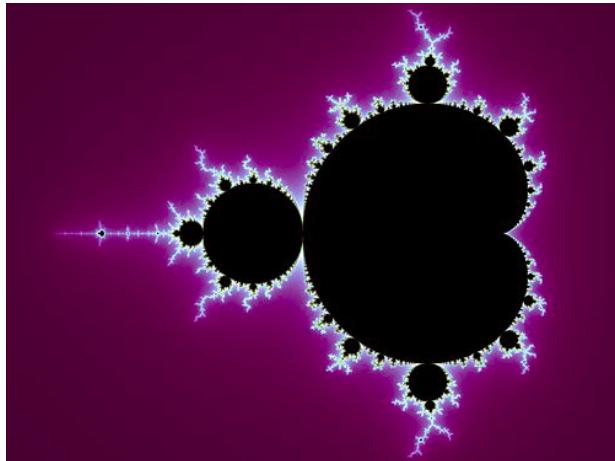
### Beyond Euclidean geometry: A new language for Nature

- Traditional Euclidean geometry describes smooth shapes (lines, circles, spheres)
- But what about coastlines, clouds, trees, or mountains? These are rough, irregular, and defy simple description
- Mathematician **Benoit Mandelbrot** (1924–2010) coined the term “**fractal**” in 1975 to describe these shapes





# Fractals: The geometry of roughness



The Mandelbrot set

## Defining properties of fractals:

- **Self-similarity** A: fractal displays similar patterns at increasingly small scales. Zooming in reveals smaller copies of the whole
- **Fractional (or *Fractal*) dimension:** Unlike conventional shapes (lines=1D, squares=2D, cubes=3D), fractals often have non-integer dimensions. For example, a coastline might have a fractal dimension between 1 and 2, indicating how “space-filling” it is
- **Infinite detail:** They exhibit intricate detail at arbitrarily small scales





## Fractals: The geometry of roughness

### Iconic examples:

- **Koch snowflake**: A curve of infinite length enclosing a finite area, demonstrating self-similarity
- **Sierpinski gasket/triangle**: A recursive construction creating intricate patterns
- **The Mandelbrot set**: Perhaps the most famous fractal, generated by iterating a simple complex number equation. It reveals astonishing complexity and beauty





# Fractals: The geometry of roughness

## Connections and applications:

- **Chaos theory:** Strange attractors in chaotic systems often have fractal structures
- **Nature:** Modelling coastlines, river networks, tree branching, snowflakes, blood vessel systems
- **Computer graphics:** Generating realistic landscapes and special effects
- **Signal processing, medicine, finance:** Analysing complex data and patterns

**Unveiling hidden geometry:** Fractal geometry provided mathematicians and scientists with the tools to describe, analyse, and even generate the complex, irregular patterns prevalent in both natural phenomena and abstract mathematical systems, fundamentally expanding our understanding of geometry itself





## Probability and statistics

**From intuition to axioms** (early 20<sup>th</sup> century):

- While probability had roots in gambling and statistics in statecraft, their full mathematical rigour was established in the early 20<sup>th</sup> century
- **Andrey Kolmogorov**'s (1933) axiomatic framework put probability theory on a solid measure-theoretic foundation (linking it to pure analysis)
- This allowed for rigorous study of random processes (stochastic processes)





## Probability and statistics

### The data explosion and statistical inference:

- The mid-to-late 20<sup>th</sup> century saw an explosion in data generation (from scientific experiments to surveys and later, digital data)
- Statistics moved beyond description to sophisticated **inference**: drawing conclusions about populations from samples, quantifying uncertainty, and testing hypotheses
- Development of advanced techniques like regression analysis, ANOVA, multivariate analysis





## Probability and statistics

**Bayesian statistics:** Updating beliefs with data

- While rooted in Thomas Bayes' 18<sup>th</sup> century work, **Bayesian methods** experienced a renaissance in the late 20<sup>th</sup> century, particularly with the advent of powerful computer
- Core idea: Start with a “prior belief” about a hypothesis, then update that belief based on new evidence (data) using **Bayes' theorem**
- Offers a coherent framework for dealing with uncertainty and is particularly powerful in situations with limited data or requiring sequential decision-making





# Probability and statistics

## Foundations for the data-driven world:

- The mathematical theories of probability and statistics became foundational for
  - **Financial modelling:** Quantifying risk, derivatives pricing
  - **Quality control and engineering:** Reliability, design of experiments
  - **Epidemiology and medicine:** Clinical trials, disease modelling
  - **Artificial intelligence & machine learning:** The mathematical bedrock of modern data science (e.g., neural networks, pattern recognition, predictive analytics)

**The language of uncertainty and information:** Probability and statistics evolved into powerful mathematical disciplines essential for managing uncertainty, extracting insights from vast datasets, and driving evidence-based decision-making across nearly every field of human endeavour





## Major unsolved problems

### **The unfinished symphony of mathematics:**

- Despite the monumental achievements of the 20<sup>th</sup> century — solving long-standing conjectures (FLT, Hilbert's 10<sup>th</sup>) and developing vast new theories — the mathematical landscape is still filled with profound unanswered questions
- These open problems often act as powerful driving forces for new research, pushing the boundaries of existing theories and leading to unexpected connections





# Major unsolved problems

## The Millennium Prize Problems (2000):

- The Clay Mathematics Institute (CMI) in 2000 announced seven “Millennium Prize Problems”, offering a \$1 million prize for the first correct solution to each
- They represent some of the most challenging and fundamental open problems in mathematics
- So far, only one has been solved (Poincaré conjecture)

### 7 Millennium Problems

[Clay Mathematics Institute](#)

1. [Yang–Mills and Mass Gap](#)
2. [Riemann Hypothesis](#)
3. [P vs NP Problem](#)
4. [Navier–Stokes Equation](#)
5. [Hodge Conjecture](#)
6. [Poincaré Conjecture](#) (solved, Grigori Perelman/Richard Hamilton)
7. [Birch and Swinnerton-Dyer Conjecture](#)

The Clay Millennium Prize problems

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## Major unsolved problems

A glimpse at remaining challenges:

- **P vs. NP problem:** (Already discussed) Is finding a solution as easy as checking one? Crucial for computer science
- **Riemann hypothesis:** (Already discussed) The distribution of prime numbers
- **Yang-Mills existence and mass gap:** To establish a rigorous foundation for quantum field theories used in particle physics (related to gauge theories)
- **Navier-Stokes existence and smoothness:** To understand the existence and properties of solutions to equations describing fluid flow (crucial for weather, aerodynamics)
- **Birch and Swinnerton-Dyer conjecture:** Deep problem in number theory about elliptic curves
- **Hodge conjecture:** A problem in algebraic geometry relating topology to algebraic curves

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## The enduring frontiers

**The endless quest:** These unsolved problems are not deficits but rather invitations — proof that mathematics is a vibrant, expanding universe of ideas, continuously challenging our intellect and inspiring new generations of mathematicians to explore its boundless frontiers





## 20<sup>th</sup> century mathematics

### A century of revolution, unification, and endless discovery

- **Foundational scrutiny & abstraction** (early 20<sup>th</sup> century): Began with a crisis of foundations, leading to unprecedented rigour, axiomatic systems (**ZFC**, **Bourbaki**), and the rise of highly abstract structures (general topology, functional analysis, modern algebra & geometry)
- **Interconnections & grand problem solving** (mid-20<sup>th</sup> century): Mathematics matured into a deeply interconnected web. New tools from one field solved problems in another (e.g., **Fermat's last theorem** via elliptic curves and modular forms; **Hilbert's 10<sup>th</sup> problem** via computability). Geometry provided the language for physics (**general relativity**, **gauge theories**)





## 20<sup>th</sup> century mathematics

### A century of revolution, unification, and endless discovery

- **Computation, complexity & new frontiers** (late 20<sup>th</sup>/early 21<sup>st</sup> centuries): The advent of the **Turing machine** and **computability theory** revolutionised mathematics and laid the groundwork for the digital age. Fields like **chaos theory** and **fractal geometry** explored complexity, while **probability & statistics** became indispensable for data-driven insights





## 20<sup>th</sup> century mathematics

**Profound impact:** The 20<sup>th</sup> century transformed mathematics from a discipline primarily focused on physical models into a vast, abstract, and deeply unified science. Its intellectual output has not only expanded human knowledge but has profoundly shaped modern technology, medicine, finance, and our fundamental understanding of the universe.

**The future of mathematics:** As we step into the 21<sup>st</sup> century, mathematics continues its dynamic evolution. Driven by new technologies, massive data, and enduring unsolved mysteries like the **Riemann hypothesis** and **P vs. NP**, mathematicians are constantly forging new connections, developing new tools, and exploring an ever-expanding universe of ideas. The journey of discovery is far from over.





## References

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However, the most recent aspects come from the direct knowledge of the instructor





Palazzo Davanzati, Firenze

 Marco Benini 2025–26